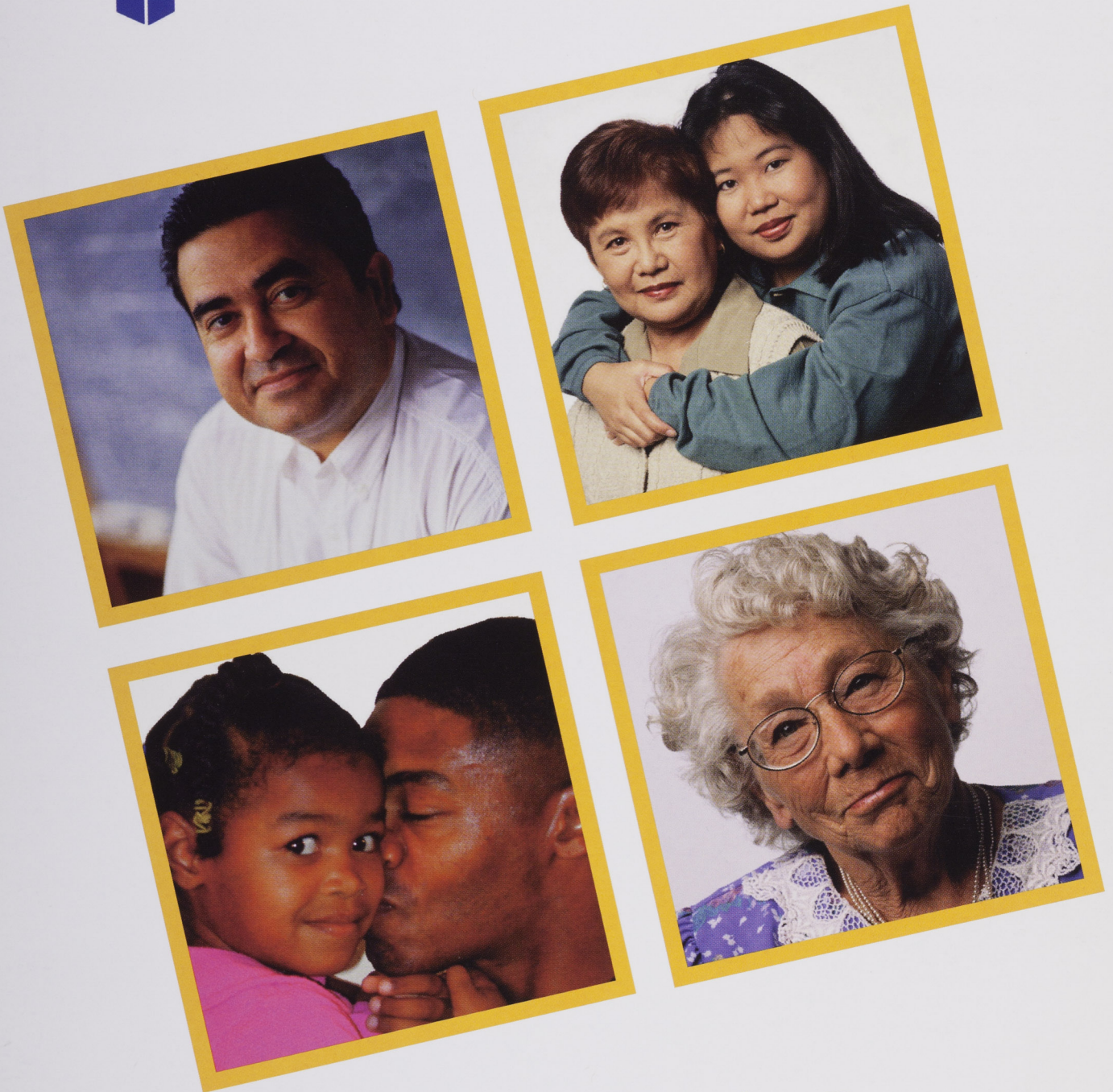




HOGG FOUNDATION
FOR MENTAL HEALTH



ANNUAL
REPORT
1998-1999

Hogg Family History

The Hogg Foundation for Mental Health was established by the children of one of Texas' greatest governors and businessmen, James Stephen Hogg, the first native governor of Texas.

Born near Rusk, Texas on March 24, 1851, James Hogg studied law and served as a newspaper editor for several years before being elected attorney general in 1886, and then governor in 1890. A staunch Democrat, Hogg became recognized as one of the most progressive governors in the country.

After the death of his wife Sallie in 1895, Hogg declined a run for the U.S. Senate and returned to practicing law. Though in debt when he left the governor's office, Hogg built a sizable family fortune through his law practice and investments. Most importantly, he instilled in his children an interest in public service before his death in Houston, March 3, 1906.



The Hogg brothers, left to right, Mike, Will, and Tom

The Governor's eldest son, William C. Hogg, followed his father into public service. Born in Quitman, Texas, on January 31, 1875, Will attended Austin public schools and Southwestern University at Georgetown before earning his law degree at The University of Texas in 1897. Will practiced law in San Antonio before joining his father's firm in Austin. His father's death in 1906 made it necessary for him, along with his brothers Mike and Tom, to take charge of the family properties and investments. Known as both a prolific businessman and philanthropist, Will was active in The University of Texas Ex-Students Association, and from 1914 to 1916 was a member of The University of Texas Board of Regents. One of his most valuable services to the University came in 1917, when he struggled with—and persevered over—Governor James E. Ferguson concerning the autonomy of the University. Will died on September 12, 1930, and bequeathed his estate to various Texas institutions, but reserved the bulk for the University of Texas.



The family of Governor James S. Hogg. From left to right: Ima, Will, Tom, Governor Hogg, Mike, and Sallie.



Miss Ima Hogg, Circa 1908

It was Will's sister, Miss Ima Hogg, who decided that her brother's endowment would go to develop and conduct "... a broad mental health program of great benefit to the people of Texas." Miss Ima was born in Mineola, Texas, on July 10, 1882, and attended the Coronal Institute in San Marcos and The University of Texas. Miss Ima, who had played the piano since the age of three, spent the next several years studying music in New York, Berlin and Vienna. Returning to Houston, Miss Ima gave piano lessons and helped found the Houston Symphony Orchestra. She became ill in late 1918 and spent the next two years in Philadelphia under the care of a specialist in mental and nervous disorders. On her return to Houston in the 1920s, Miss Ima began collecting early American art and antiques when not devoting herself to a range of philanthropic projects.

In 1929 Miss Ima founded the Houston Child Guidance Center, an agency which provided therapy and counseling for disturbed children and their families. In 1943 she won election to the Houston School Board, where she worked to establish symphony concerts for schoolchildren, to get equal pay for teachers regardless of sex or race, and to set up a painting-to-music program in the public schools. In 1966 she presented Bayou Bend, the River Oaks mansion she and her brothers had built in 1927, and all her collection of art and antiques within it, to the Museum of Fine Arts in Houston.

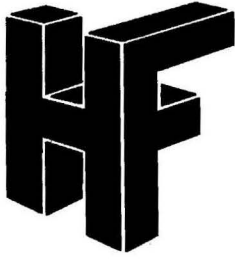
Years later, Miss Ima would create her own endowment within the Hogg Foundation for Mental Health to support direct mental health services for children in the Greater Houston area. On August 19, 1975, at the age of 93, Miss Ima died of complications from a traffic accident while she was vacationing in London. Her funeral was held at Bayou Bend in Houston.



William C. Hogg




Miss Ima Hogg, 1956



Hogg Foundation for Mental Health

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HOGG FOUNDATION FOR MENTAL HEALTH

VISION

To be the philanthropic
leader in shaping the future
of mental health

MISSION

To develop and conduct
"... a broad mental health
program of great benefit
to the people of Texas"
(Miss Ima Hogg, 1939)

GOALS

To improve the quality,
scope, and delivery of mental
health services in Texas

To contribute to that body
of scientific knowledge
related to mental health

To increase public
understanding of
contemporary
mental health issues

To advance training
in mental health and other
human services

To exemplify the highest
professional and ethical
standards of organized
philanthropy

MESSAGE FROM THE EXECUTIVE DIRECTOR

When readers of this annual report think about what foundations do, their first response will probably be "grantmaking." Indeed, the vast majority of the 44,000 foundations in the United States focus primarily or exclusively on grantmaking activities. However, about 2,400 attempt to fulfill their donor's mandate in a quite different way—by "operating" their own programs. The substance and activities of these operating programs cover many of the same areas targeted by grantmaking foundations and include, for example, purchasing art and loaning it to museums and other venues, conducting educational programs on topics ranging from the First Amendment to lay theology, and operating various community services for the poor, sick, aged and/or "needy," to mention just a few.

A growing number of foundations, including the Hogg Foundation, are now engaged in both grantmaking and operating activities. In most cases, traditional grantmaking foundations have added operational programs, including convening, evaluation, and education/communication to increase the impact or improve the outcomes of their grantmaking activities. By contrast, the Hogg Foundation was established as an operating foundation with a focus on mental health education. Other activities, including grantmaking, were added much later as the Foundation's endowment increased.

Today, sustained efforts are made to integrate both grantmaking and operating activities to achieve both the Foundation's general goals and the specific goals of our three program areas—Children and Their Families, Minority Mental Health, and Youth Development. Depending upon the nature of a project and its goals, the mix of grantmaking and operating activities can vary from a primary emphasis on the former, roughly equal emphasis on both, or major (but seldom exclusive) emphasis on the latter. Convening activities are often the major foci in the first stages of planning large projects that may involve both multiple funders and grant recipients, while our communications program may become more involved when the project is implemented and as it develops.

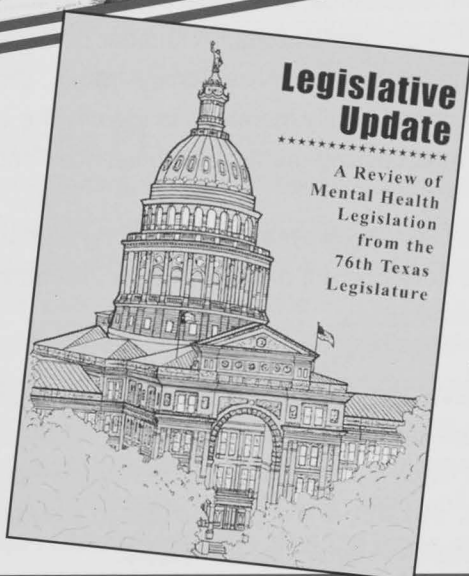
While almost 60 percent of our total budget is designated for external grants, more than half of all other budget items (including staff salaries) support our operating activities. While these fiscal distinctions are necessary for both accountability and planning purposes, they are the result of our continuing effort to address pressing mental health issues with the most effective means possible—grantmaking, operating, or—in most cases—both.

The following pages describe our operating and grantmaking programs in some detail and offer examples of how both contribute to program and project successes. More importantly, we hope this annual report demonstrates how—when combined effectively—our grantmaking and operating programs bring about a multiplier, rather than simply an additive, effect.

We sincerely appreciate your interest in our activities and look forward to receiving your questions, suggestions, ideas, and any other comments by mail, telephone, fax, or email at hoggfdn@uts.cc.utexas.edu.

Charles M. Bonjean
Executive Director

Operating Programs



Hogg Foundation Honored With CSF Founders Award

A very special honor was bestowed on the Hogg Foundation for Mental Health when the Conference of Southwest Foundations (CSF) presented it with the 1998 Founders Spirit Award.



Each year since 1985, the CSF has presented the honor to individuals that have embodied the spirit of philanthropy envisioned by its founders. This was the first time that the award was given to an organization.

The award itself is a large Waterford crystal vase etched with the CSF and Hogg Foundation logos. It now rests in a place of honor in the Foundation's Robert Lee Sutherland Conference Room.

"We were both surprised and deeply touched by this generous tribute," said Hogg Foundation Executive Director Charles M. Bonjean.

The award was especially fitting since the Hogg Foundation's first director, Dr. Robert L. Sutherland, was the principal architect of the CSF in the 1940s, when he envisioned a forum in which foundations could share experiences and information.

Convening Activities

Since its inception, the Hogg Foundation has been committed to bringing together representatives from a variety of different mental health-related organizations and agencies to share information or to collaborate on worthy projects. Such efforts led to the founding of the Conference of Southwest Foundations in 1948 and the enactment of Texas' first Mental Health Code in 1965.

In 1978 the Foundation inaugurated a series of biennial statewide seminars named in honor of Dr. Robert Lee Sutherland, who served as director, and later as president, of the Hogg Foundation from 1940 to 1970. During his tenure, Dr. Sutherland sought to bring together people and ideas in the pursuit of innovation and cooperation for mental health initiatives in Texas. These seminars are a living tribute to his academic, philanthropic, and mental health contributions.

The current Sutherland Seminar is focusing on minority mental health—particularly on improving access to services, increasing culturally and linguistically relevant assistance, and enhancing opportunities to train minorities in mental health professions. A number of focus group meetings were held among mental health service administrators, clinicians, service providers, consumers and family members to identify the obstacles that minorities confront in accessing mental health services and to find ways to overcome them.

The Foundation has also played key convening and/or supporting roles in the founding and development of several other international, national, state, and local organizations:

Grantmakers Evaluation Network, an affinity group of the Council on Foundations, was created in 1992 through the activities of the Council's Research Committee and a meeting hosted by the Hogg Foundation. Its goals, activities, and support by the Foundation are described in the Evaluation Research Program section below.

The Texas/World Health Organization Collaborating Center was established in 1994 with the Hogg Foundation designated as its headquarters and Wayne H. Holtzman as its director. A more detailed description of the center is provided in its own section below.

Texas Grantmakers In Health and Human Services (TGIHHS) was founded in 1994 as the result of convening activities co-sponsored by the Hogg Foundation and Grantmakers In Health, an educational association serving philanthropic organizations dedicated to health and related human services. Several times a year, TGIHHS brings together representatives from Texas foundations and state and federal health officials to examine critical state health and human service issues, and to discuss how foundations can respond to them. The Hogg Foundation serves as its headquarters and has hosted many of its meetings.

The **Greater Houston Collaborative for Children** was established in 1996 as an organization consisting of more than two dozen funding agencies and providers of children's services. The Collaborative grew out of the Foundation's Tenth Robert Lee Sutherland Seminar, and its activities are outlined in the Children and Their Families Program Area section of this Annual Report.

Over the past year, the Foundation also continued working to improve the integration of its operating programs within the goals of its three program areas: Children and Their Families, Youth Development, and Minority Mental Health. For example, the director of the Children and Their Families Program Area led in the implementation of a statewide Fatherhood Initiative, described in more detail in Children and Their Families section of this report. Such convening efforts are among the most important ways that the Foundation seeks to stay current on new information, emerging issues, and innovations in mental health and related human services—especially those most central to its program areas. These convening activities also serve as a catalyst for collaborative efforts with other foundations and organizations across the state.

Communications Program

The Foundation's Communications Program supports the Foundation's grantmaking and operating programs and works to increase public understanding of contemporary mental health issues. The Communications Program carries on the Foundation's long tradition of improving the mental health of Texans by supporting effective programs, increasing public awareness and education, and reducing stigmas associated with the diagnosis and treatment of behavioral disorders.

The Communications Program is divided into two separate, but interdependent, divisions: News and Information and Publications. The News and Information Division communicates the scope and efficacy of the Foundation's grantmaking and operating programs to audiences across the state through news releases, media events, the Foundation's World Wide Web page and the *Hogg Foundation News* newsletter. The News and Information Division also supplies promotional/informational support to important Foundation initiatives or collaborative efforts (e.g., the Greater Houston Collaborative for Children, the Texas Fragile Families Initiative, Texas Grantmakers in Health and Human Services, among others).

The Publications Division is itself divided into two equally important functions. The first supports the Foundation's institutional publication/informational material needs. The second develops and publishes a series of publications that illustrate significant Foundation-funded research or service projects in order to facilitate their replication; contributes to existing knowledge regarding contemporary issues in the fields of mental health or philanthropy; and educates and informs Texans about issues related to mental health, behavioral disorders, or methods and resources for treatment.

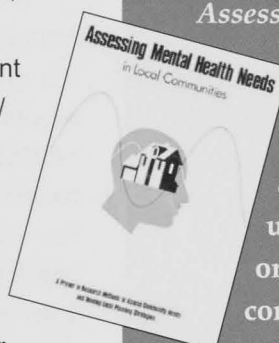
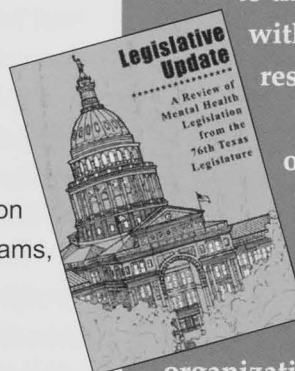
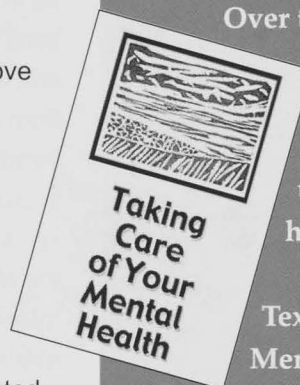
HF Releases New Mental Health Publications

Over the past year, the Hogg Foundation released three significant publications focused upon areas of mental health and philanthropy.

In conjunction with Texas Department of Mental Health and Mental Retardation, the Foundation published *Taking Care of Your Mental Health*, an easy to understand guide to the symptoms associated with mental illnesses and resources for help.

Given the broad range of changes wrought by 76th Texas Legislature, the Hogg Foundation joined with the state's leading mental health advocacy organizations and agencies to produce *Legislative Update: A Guide to Mental Health-Related Legislation from the 76th Texas Legislature*. The publication describes the legislative changes and discusses the impact these changes may have on consumers and service providers.

Assessing Mental Health Needs in Local Communities, is a "how-to" manual providing civic leaders, planners, and service providers with user-friendly suggestions on organizing, evaluating, and conducting community mental health assessments.



Robert Lee Sutherland Seminars

Since 1978, the Hogg Foundation has conducted biennial statewide Robert Lee Sutherland Seminars.

Named in honor of the Foundations first president, Dr. Robert Lee Sutherland, the seminars provide an opportunity to address some of the overriding mental health issues facing Texans.

The seminars honor the lifetime of commitment Dr. Sutherland made to expanding and improving the humane and effective treatment of the mentally ill in Texas, and are a memorial to his contributions.

Traditionally, the RLS Seminars have served not only as a forum to discuss issues, but have sparked initiatives designed to meet the challenges posed by those issues. For example, the Greater Houston Collaborative for Children (discussed in the Children and Their Families Program Area of this report) was a direct result of the Tenth RLS Seminar in 1994.

The most recent RLS Seminar focuses on minority mental health, particularly relating to the provision of culturally competent mental health services to minority populations. The direction and results of this seminar are discussed more completely in the Minority Mental Health Program Area.



Dr. Robert Sutherland

Hogg Foundation Library

The Hogg Foundation Library provides both individuals and groups with comprehensive information about grantsmanship, nonprofit management, evaluation, and volunteer issues through its collections and resources.

Since 1962, the Library's Regional Foundation Collection has participated in The Foundation Center's national network of 210 cooperating collections that maintain reference and support materials directly related to grants and funding. Additional materials, such as annual reports and newsletters from more than 1,200 funders, provide a snapshot of current philanthropic trends, as well as specific supported projects, which can be a valuable source of statistics and background information to support funding appeals.

In addition, the Library collaborates with the Greater Austin Chapter of the National Society of Fund Raising Executives to furnish resources and materials related to fundraising, development, nonprofit management, evaluation, volunteerism, and planned giving. The Library also houses volunteer management materials to aid nonprofit organizations looking to tap into volunteers' energy and resources, such as the Directors of Volunteers in Austin.

Because information is often the "link" between a good project and the best possible funder, the library staff provides workshops, classes, and presentations throughout the year to community nonprofit entities, schools, and volunteer organizations. The diverse variety of participating organizations—ranging from those representing the arts, to those focused on health and human services—demonstrates the comprehensive nature of the materials available.

The library is open between the hours of 8 a.m. and 5 p.m., Monday through Friday, except for a limited number of University of Texas holidays. Materials are available for in-library use only. The facility is barrier-free with special parking available upon request.

Evaluation Research Program

For more than three decades, the Hogg Foundation has strongly endorsed the premise that program evaluation is essential to effective grant-making. Its Evaluation Research Program is one of the oldest, strongest, and most innovative in the nation, due in no small part to the resources and expertise provided by its affiliation with The University of Texas at Austin.

The centerpiece of the Foundation's evaluation effort is a fellowship program that has provided more than 60 doctoral students with in-depth training and experience in program evaluation and philanthropy. Fellows, as well as other interested students, enroll in a fall graduate seminar on evaluation methods and approaches and, in the spring, receive independent credit for a practicum experience in evaluation. The students work with Foundation officers, several of whom hold academic appointments with The University of Texas at Austin. During their tenure at the Foundation, Fellows learn not only about evaluation but also about the history, goals, and activities of organized philanthropy. Since 1976, the Foundation's evaluation

fellows have been particularly helpful in designing and conducting evaluations of the Foundation's own grantmaking and internal programs.

Directors of all projects funded by the Hogg Foundation are required to submit a narrative evaluation of program activities at the end of each grant period. A description of what happened—generally including counts and demographic information on persons benefiting from the program—is a minimum. For projects where evaluation is critical (e.g., a demonstration program with national significance), a more rigorous internal evaluation by project staff, or an external evaluation by a consultant paid by the Foundation, may be warranted. Occasionally, the Foundation's staff provides technical evaluation assistance to organizations other than its grantees.

The Hogg Foundation was among the founders of the Grantmakers Evaluation Network (GEN) in 1993 to "... promote the development and growth of evaluation in philanthropy." The Foundation hosts the GEN's mid-year executive committee meeting, co-edits and publishes its newsletter, and has two officers who have served on its executive committee. Board members or staff of any foundation are invited to join this no-dues "voluntary" association by requesting a membership application from the Hogg Foundation by mail, telephone, fax, or email (ralph.culler@mail.utexas.edu).

Ima Hogg Scholarship

The Ima Hogg Scholarship is a biennial award given by the Hogg Foundation to a graduate student at The University of Texas at Austin, and continues to be one of the most prestigious and highly sought scholarships on campus.

The scholarship follows the vision set forth decades ago by Miss Ima Hogg by providing support for a graduate student committed to serving children and families in a public or not-for-profit setting. In recent years, this scholarship has frequently enabled students to take advantage of low-paid or unpaid training positions or internships they might not have otherwise considered. Candidates are from the fields of social work, psychology, educational and school psychology, nursing, or child development.

The scholarship for 1999-2000 was awarded to Ivette L. Castillo, a doctoral candidate in School Psychology from The University of Texas at Austin, whose area of specialization is multicultural issues.

Texas/WHO Collaborating Center

The World Health Organization (WHO) and the Pan American Health Organization are sponsors of the WHO Collaborating Center at the Hogg Foundation. The Center promotes collaborative efforts to improve mental health and the quality of life in Texas and Mexico, especially along the U.S.-Mexico border. In addition to the Foundation, founding members of the center are: The University of Texas at Austin, The University of Texas Health Science Center at San Antonio, The Texas A&M University Health Science Center at Temple, The University of Texas Medical Branch at Galveston, and,

HF Evaluation Research Fellows

Three doctoral students at The University of Texas at Austin served as Hogg Foundation Evaluation Research Fellows for 1998-1999.

The fellowships are part of the Foundation's Evaluation Research Program, which provides doctoral students with in-depth training in program evaluation and philanthropy. The Fellows work with Foundation program officers to learn not only about evaluation, but also the history, goals and activities of philanthropy.

As part of their fellowships, the students receive independent credit for a practicum experience in evaluation, usually evaluating Hogg Foundation-funded projects.

Michelle Ballan, a doctoral student in the School of Social Work, evaluated the Austin Collaborative for Children.

Emily A. Leventhal, a doctoral student in the Department of Sociology, evaluated the Tandem Teen Prenatal Parenting Project.

Hsin-Tine Liu, a doctoral student in counseling psychology, evaluated Project Asian American Connect.

Over its history, the program has provided more than 60 doctoral students with in-depth training and experience in program evaluation and philanthropy.

Marrett Serves as Foundation's First Visiting Scholar

The Hogg Foundation's Visiting Scholar for 1999 was Dr. Cora Bagley Marrett, vice chancellor for academic affairs at the University of Massachusetts-Amherst.

A distinguished behavioral scientist, Dr. Marrett previously served as a professor in the departments of sociology and African-American studies at the University of Wisconsin-Madison.

Most of Dr. Marrett's time at the Foundation was spent in serving as a consultant for the Youth Development Program Area. She also contributed a significant amount of time at The University of Texas at Austin's Departments of Sociology and Social Work.

A former member of the Hogg Foundation's National Advisory Council, Dr. Marrett has also served as assistant director of the National Science Foundation from 1992-96, leading the Directorate for the Social, Behavioral and Economic Sciences.



Dr. Cora Bagley Marrett

from Mexico, the Universidad Nacional Autonoma de México, Universidad Autonoma de Nuevo Leon in Monterrey, and Universidad Autonoma de Tamaulipas in Ciudad Victoria.

Goals of the coalition are pursued on four tracks: 1) research on mental health epidemiology, service delivery, and psychosocial factors in health; 2) training to increase the capacity for high quality, culturally competent mental health care; 3) development of programs designed to decrease psychosocial risk factors in disease; and, 4) international collaboration and exchange. More than a dozen scientists affiliated with the WHO Center already are pursuing a number of research projects in fields related to the coalition's goals—focused largely on the understanding of causes and manifestations of mental disorders in different populations such as the elderly, residents of rural areas, and refugees and immigrants.

The Center's most recent activities are closely focused upon developments jointly sponsored by the U.S. National Institute of Mental Health and WHO. The first is further development and use of the Composite International Diagnostic Interview (CIDI) schedule for epidemiology studies of different populations throughout the world. The latest development is transforming the hand-scored or recorded version of CIDI into a more effective and efficient computer-based version. At a CIDI workshop in April 1999, participants discussed implementing cross-national research on the prevalence and incidence of affective disorders, schizophrenia and schizophreniform disorders, phobias and anxiety disorders among general populations—particularly Mexican-origin people in both countries. A previous workshop featured a hands-on demonstration of the computer-based CIDI system.

WHO also is planning a global mental health survey in the year 2000—in which the Center will be a major partner—focusing upon Mexico and the United States.

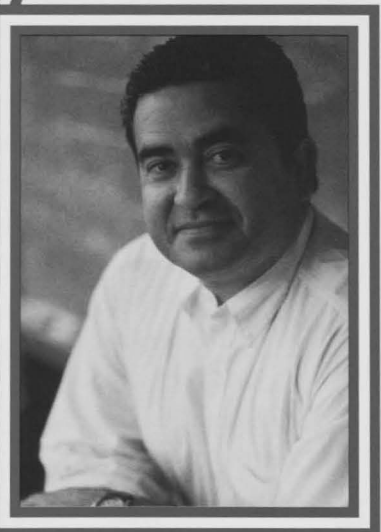
Hogg Foundation Visiting Scholar Program

Each biennium, the Hogg Foundation awards a visiting scholarship to a scholar, or "person eminent for learning," who has strong interests and expertise in one of the Foundation's major program areas of Children and Their Families, Youth Development, or Minority Mental Health.

The Foundation's visiting scholarship offers an opportunity for intensive study of a mental health topic or issue of concern to the scholar which is consistent with the Foundation's goals. A stipend, based on the recipient's current salary, may be awarded when funds are not available from other sources. The scholar is provided with an office, part-time secretarial assistance, a computer, supplies, and collegial support.

The scholar is expected to be available for an occasional lecture, seminar, or consultation sponsored by the Foundation. At the end of the scholarship term the recipient is expected also to provide a written report describing the work undertaken.

Grantmaking Programs



Children and Their Families

The Children and Their Families Program Area draws upon the Hogg Foundation's rich history of grantmaking activity to proactively identify key issues and develop initiatives to address the needs of children and their families.

One of the Foundation's benefactors, Miss Ima Hogg, dedicated much of her life to children by establishing the Houston Child Guidance Center, serving on the Houston Board of Education, and endowing a scholarship at The University of Texas to train professionals in mental health services for children and their families.

Yet, perhaps her most lasting legacy was the establishment of the Ima Hogg Endowment in 1977, which now generates close to one million dollars a year for mental health services to children and their families in the Greater Houston area.

Texas Fragile Families Initiative

The largest initiative undertaken in the Children and their Families Program Area over the last year was the Texas Fragile Families Initiative (TFF), a new statewide initiative to support community organizations as they help young fathers expand their role in providing emotional and financial support for their child—even when the parents are not married.

A partnership of the Hogg Foundation and the Center for Public Policy Priorities (CPPP), TFF is a child-centered collaboration of Texas foundations, service providers and state agencies dedicated to assisting community-based organizations that support young, never-married fathers in meeting their child's emotional, physical, and financial needs.

An estimated 104,039 children were born to unmarried parents in Texas in 1998. Moreover, children living with unmarried mothers are five times more likely to live in poverty than those living with both parents.

Public assistance programs primarily focus on the mother and child as a family unit; treating the father as a separate entity responsible only for financial support. However, studies indicate that children without a strong paternal influence are more likely to do poorly in school, suffer behavioral prob-

lems, and get in trouble with the law.

A growing body of research indicates that both parents initially expect the father to be involved in their child's growth, but that this involvement tends to erode over time.

"If we are going to be truly effective in improving children's lives and making them less dependent upon welfare, social service agencies must take into account both mothers and fathers and the non-traditional nature of these families," said Marion Tolbert Coleman, Children and Their Families program director.

TFF utilizes a multi-layered strategic process that will help community-based groups by promoting awareness and public education, providing staff training and technical assistance, and by demonstrating proven fatherhood program models. Most importantly, TFF will award project development grants to qualified community programs. The proposal process has already begun, with awards expected to be made in early 2000.

The Hogg Foundation has committed nearly \$700,000 over the next four years to meet TFF administrative



Texas Attorney General John Cornyn (right) joined with TFF partners (from left to right) Michael Hayes, TFF executive director, Dianne Stewart, executive director of CPPP; and Marion Tolbert Coleman, Hogg Foundation program director to announce the Texas Fragile Families Initiative in April 1999.



Texas Fragile Families

needs, finance research projects focused on fragile families, and support community-based service projects. In addition, TFF has received financial commitments from the Meadows Foundation of Dallas, the Charles S. Mott Foundation, the Ford Foundation, and the Coalition of Community Foundations for Youth to implement the initiative.

Healthy Steps for Young Children

The Children and Their Families Program Area is also committed to the Healthy Steps for Young Children program, a national pilot project to expand pediatric care to include mental and developmental well-being as much as physical health. It also seeks to help parents foster the healthy growth and development of their children from birth to age three.

Initiated with a \$4.5 million grant from The Commonwealth Fund of New York, Healthy Steps is being conducted at 21 sites nationwide and focuses on two simple objectives: to help health care providers understand and satisfy parents' questions and needs, and to reorient pediatrics toward a greater awareness of child development.

Research shows that children's physical and cognitive development is most important during the first three years, when they mature from wholly dependent infants into a walking, talking, reasoning toddlers. The attention and support they get during those 36 months is crucial. Healthy Steps argues that if parents can be educated about their child's development—on everything from well-baby checkups to early learning—and can foster a closer relationship with their pediatrician, then the health and well-being of their child can be improved.

The Hogg Foundation joined the project in 1997 and helped secure other local funders in order to locate four Healthy Steps sites in Texas: at the Fort Bend Family Health Center in Richmond, at Healthcare Professional Associates in Amarillo, at Texas Children's Hospital in Houston and at the private practice of Dr. Daniel Trevino in San Antonio. The Hogg Foundation has committed more than \$700,000 in funding and technical assistance to the sites.

Currently, Texas accounts for 600 of the 3,700 families participating in the project nationally.

As Healthy Steps reaches the midway point, the Hogg Foundation is partially funding an evaluation of the program's clinical effectiveness; particularly, its impact on children and families, its cost, and its potential for expansion.

The Amarillo and Richmond sites are included in the evaluation, while the Houston and San Antonio sites are affiliates.

Experts from the Johns Hopkins University School of Public Health are currently collecting data that will document the project's effectiveness.

It's expected that the data will convince private managed care companies of the benefits of the Healthy Steps approach in improving

the health and development of their young patients, and reduce long-term health costs.

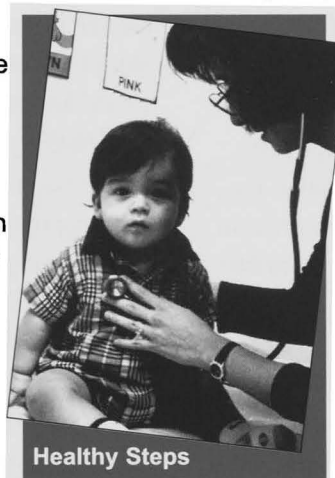
Initial results indicate that it won't be a hard sell, since the Healthy Steps approach is being seen as both medically effective and cost-efficient. Preliminary findings suggest that

Healthy Steps produces a per-child savings of \$1,400 a year; largely by lowering the incidence of illnesses, reducing emergency room visits, and catching problems earlier.

Greater Houston Collaborative for Children

Significant energy and resources also have been dedicated to the Greater Houston Collaborative for

Children (GHCC), an innovative partnership of more than two dozen foundations and leading human service providers committed to helping Houston's



Healthy Steps



Program Area

at-risk children. GHCC provides long-term fiscal and technical assistance to projects that—through mutual cooperation—are able to maximize resources, streamline services, and substantially improve the lives of Houston's underprivileged children ages 0 to 18 and their families.

Initiated by the Hogg Foundation in 1994 as a way to explore creative approaches to meeting the needs of Houston's children, GHCC awarded five-year grants to two projects in 1998. The Bridge/El Puente received \$1.7 million to create an apartment-based model of community organization and human services among the largely poor, Hispanic immigrants of southwest Houston's Gulfton area.

The "urban village" created by The Bridge/El Puente helps provide culturally and linguistically relevant services for families in the Napoleon Square Apartments in the heart of Gulfton. The project is building community-oriented programs that nurture children's physical development, promote social maturity and learning, and provide preventive health care.

Within its first year, The Bridge/El Puente has launched day care cooperatives, parenting and early childhood development classes, health education workshops, and literacy instruction. The project enrolls and tracks approximately 250 children aged 0 to 6 annually, with an aggregate total over five years of more than 1,000 children and 500 families.

The other GHCC-funded project is the Family Centered Child Care Collaborative (FC⁴), which was awarded \$3 million over five years to improve the quality of child care for the more than 200,000 children attending Houston's estimated 5,000 licensed day care facilities each day.

FC⁴ brings together parents, child

care professionals, and social service agencies in a partnership to create programs for the emotional and intellectual growth of young children. It provides professional development opportunities for center staff and expand child care centers into places where parents and teachers can engage in a mutually informative dialogue and families can access community services.

Participating child care centers have access to a variety of FC⁴ resources, including on-site training for center staff, child development resources, parent education materials, physical and mental health services, and technical support. FC⁴ is also helping centers provide parents with resources they may not have known existed, or had no other way of accessing, such as developmental and health screenings, job training information and referrals, literacy training, parenting classes, and drug and alcohol prevention. The project initially focused on 15 child care centers, but is expanding to 70 facilities over its five-year funding period.

Children's Medication Algorithm Project

One of the most persistent myths about mental health is that children cannot be depressed. In reality, experts predict that one in 33 children—and one in eight adolescents—may suffer from depression. More troubling is that two-thirds of children suffering mental illnesses, including depression, do not get help.

Until recently, treatment of children with depression relied largely on modified adult models that were not always appropriate. There was no uniform strategy specially focused upon treating the disorder in children.



The Hogg Foundation joined with the Texas Department of Mental Health and Mental Retardation, The University of Texas Southwestern Medical Center in Dallas, the College of Pharmacy at The University of Texas at Austin—among many others—for the Children's Medication Algorithm Project (CMAP).

CMAP drew together national experts in child and adolescent mood disorders, psychiatric researchers, child psychologists, clinicians, agency administrators, and consumers to integrate research and clinical experience to develop user-friendly, step-by-step medication decision trees (a.k.a. algorithms) to increase the uniformity of preferred treatment for childhood depression. The idea is that by decreasing the variables in medication approaches, patient outcomes can be improved.

CMAP will soon outline its systematic strategies for treatment interventions and recommend tactics for implementation; will release a training and reference manual with detailed information regarding aspects of the algorithm's tactics, dosing, side-effects, and other factors; and, will develop and test more specific treatment approaches to improve the clinical outcomes for children with depression.

Children and Their Families Grants 1998-99*

Project H.O.P.E. (Helping One Person Excel)

Ms. Becky Nikolaisen

Adolescent Pregnancy Prevention, Inc.
919 Summit Avenue, Suite 202
Fort Worth, Texas 76102

This project received second-year support to expand an adolescent pregnancy prevention program in the Ripley Arnold Housing Community. The expansion addresses the psychosocial needs of parents and other significant adults to better serve troubled adolescents.

\$15,000

Child Abuse Resource Enhancement (CARE) Project: A Model Prevention Program for At-Risk Children and Youth

Ms. Marlyn Gibbs

Alamo Children's Advocacy Center
7130 West U.S. Highway 90
San Antonio, Texas 78227-3515

A first-year grant supported the implementation of a 12-agency collaboration to provide a series of supportive, age-appropriate services to abused children by training agency staff, community volunteers, and parents participating in the CARE Project.

\$36,000

Healthy Steps for Young Children Program- Amarillo

Mr. Jim Allison

Amarillo Area Foundation, Inc.
801 South Fillmore Street
Amarillo, Texas 79101

Third-year funds were awarded for the development of an innovative, preventive program aimed at promoting the healthy growth and development of very young children. The program expands the traditional pediatric model by using parent education, home visits, a parental advice telephone line, written materials, and referrals to help new parents ensure that their infants thrive physically and emotionally.

\$50,000

Establishment of Fund for Austin's Children

Ms. Cindy Rodriguez

Austin Collaborative For Children
2405 Sonora Court
Austin, Texas 78756

A one-time grant supported the planning process of the Austin Collaborative for Children, a group of Austin-area foundations interested in improving the quality and scope of child care services in Austin.

\$10,000

Para Las Familias: A Neighborhood-Based Model Demonstration

Dr. Joan Gibson Burnham

The Austin Project
900 Neal Street
Austin, Texas 78702

Funding for the fourth and final year provided support for a comprehensive program to improve the lives of children and families within the school setting. Specifically, grant monies were used for the Resiliency Program component (designed by the Penn Depression Prevention Project Team), the mental health intervention component, and the evaluation of the program.

\$60,000

Family Development Project to Promote the Mental Health of At-Risk Children and Families

Ms. Linda Smelley

Buckner Children and Family Services, Inc.
3402 Daniel McCall Drive, #21
Lufkin, Texas 75904

A second-year grant was given to the Buckner Family Place, a residential, multi-service, self-sufficiency program for welfare dependent families to provide counseling services as well as job training and parenting education.

\$46,910

Volunteer Guardian Ad Litem Representation for Abused and Neglected Children

Ms. Karen Cox

CASA of Travis County, Inc.
6330 Highway 290 East, Suite 350
Austin, Texas 78723

First-year support was awarded to research both the impact of the new permanency requirements on child abuse cases with Court Appointed Special Advocates (CASA) volunteers and the effect of changing CASA volunteers' status from friends of the court to guardian ad litem.

\$30,078

Post-Adoption Program

Ms. Kathleen Foster

Casa de Esperanza de los Niños, Inc.
P.O. Box 66581
Houston, Texas 77266-6581

Fourth- and final-year funding was provided to continue a post-adoption program for special-needs children in the Houston area.

\$15,000

*It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.

Texas Fragile Families Initiative

Ms. Dianne Stewart

Center for Public Policy Priorities
900 Lydia Street
Austin, Texas 78702

A first-year grant supported the administrative, planning, and training portions of a public-private partnership dedicated to helping community-based organizations work with young, non-custodial fathers.

\$75,000

Effects of Child Advocate Volunteer Intervention for Abused and Neglected Children

Ms. Sonya Galvan

Child Advocates, Inc.
2515 West Main, Suite 300
Houston, Texas 77098

Funding for the second year was provided for a longitudinal study to determine the effects of Court Appointed Special Advocate (CASA) child advocate volunteers when they intervene on behalf of abused and neglected children.

\$40,000

Helping Hands/Manos Juntas Program

Ms. Stephanie Dodson

Child Crisis Center of El Paso
2100 North Stevens
El Paso, Texas 79930

First-year support was given to implement a training program for peer educators who, in turn, will educate parents and community participants in parenting skills, child safety techniques, and child abuse prevention.

\$35,700

Mediated Permanency Planning Project

Dr. Curtis C. Mooney

DePelchin Children's Center
100 Sandman
Houston, Texas 77007

A second-year grant was awarded for the continuation of an innovative mediation-based permanency planning effort for abused and neglected children in custody of Child Protective Services.

\$50,000

Investigating the Impact of Medicaid Managed Behavioral Health Care on Children and Adolescents in Harris County

Dr. Steven B. Schnee

Mental Health and Mental Retardation
Authority of Harris County
P.O. Box 25381
Houston, Texas 77265-5381

This grant funding supported a study on the effectiveness and impact of Medicaid managed care on children suffering from severe mental illness or emotional disorders in the Houston area.

\$30,000

Young Fathers in Families Project

Mr. Ernest McMillan

Fifth Ward Enrichment Program, Inc.
4014 Market Street, Suite 105
Houston, Texas 77020

This first-year grant funded the development of a program in Houston's Fifth Ward neighborhood to help young fathers become more involved in their children's lives.

\$89,376

Prenatal Care and Pediatric Services at Healthcare Center

Ms. Janet S. Donath

Good Neighbor Healthcare Center
277 West Gray
Houston, Texas 77019-5496

Third-year support was provided for data collection activities associated with the evaluation of the Healthy Steps for Young Children program in Richmond, which is part of a national demonstration project.

\$15,160

Greater Houston Collaborative for Children

Dr. Peggy Smith

Greater Houston Community Foundation
4550 Post Oak Place, Suite 317
Houston, Texas 77027-3106

Continued funding for the third year was given to support the mental health-related components of multi-agency projects chosen for funding by the Greater Houston Collaborative for Children, an innovative partnership of foundations and human service providers dedicated to improving the lives of Houston's children and families.

\$214,714

ChildBuilders

Ms. Janet S. Dumas

Houston Advocates for Mental Health in Children (HAMIC)
P.O. Box 56611
Houston, Texas 77256-6611

This second-year grant was made for the development of a comprehensive community education program designed to distribute children's mental health information and to increase the number of children receiving early assessment and treatment in the Greater Houston area.

\$57,500

Teaching Children (and Their Parents) Parenting Skills Primary Prevention Program

Ms. Janet S. Dumas

Houston Advocates for Mental Health In Children (HAMIC)
P.O. Box 56611
Houston, Texas 77256-6611

First-year support was provided for a new parent education component of a highly successful primary prevention program for school children. The component includes teaching positive philosophies, practices, and skills.

\$17,800

First Impressions: Nurturing Babies' Minds

Ms. Patricia J. Chappell

North Texas Public Broadcasting, Inc.

KERA (Channel 13)

3000 Harry Hines Boulevard

Dallas, Texas 75201-101200

Funding for the second year supported a national television programming and outreach initiative to inform parents and caregivers about the importance of early experiences in children's brain development.

\$86,014

Frontier Satellite Program

Ms. Jane Quantan Piper

Texas CASA, Inc.

800 Brazos, Suite 710

Austin, Texas 78701

A grant for the first year was awarded to develop a satellite Court Appointed Special Advocates (CASA) program serving a seven-county region of West Texas.

\$47,700

Child Development Center

Dr. Jacquelyn Alexander

Our Lady of the Lake University

School of Education and Clinical Studies

411 S.W. 24th Street

San Antonio, Texas 78207-461711

A fourth- and final-year grant was made for a program that seeks to empower parents to serve as advocates for their children enrolled in the Child Development Center and mentors for other parents.

\$14,709

African-American Paternal Re-engagement Program

Mr. Fred Thomas

Parents and Children's Educational

(P.A.C.E.) Project, Inc.

3710 Cedar Street, #20

Austin, Texas 78705

A 14-month grant was awarded to develop a program to engage non-custodial African-American fathers in the lives of their children and to diminish social and policy disincentives that have historically kept these men from providing parental support.

\$34,712

"Fostering Resiliency: The Role of the School Social Worker" Conference

Dr. Barbara W. White

The University of Texas at Austin

School of Social Work

Austin, Texas 78712-1203

This one-time grant provided partial support for the honorarium and travel expenses of the opening keynote speaker at a School of Social Work conference in Austin.

\$1,000

Learning Basket Approach Program

Ms. Lori A. Stankiewicz

Project Vida

3607 Rivera Avenue

El Paso, Texas 79905

A one-time grant was provided to train parent educators and family support workers of the Healthy Families Program in the "Learning Basket" curriculum. The curriculum enhances learning abilities among children and promotes parental involvement.

\$6,880

Special Outreach Services Program

Ms. Connie Stauter

Red River Valley Girl Scout Council of Texas, Inc.

2025 N.W. Loop 286

Paris, Texas 75460-160125

Second-year funds were awarded to a model project that provides special-needs girls in a nine-county region of rural East Texas with programs to help them become mentally and emotionally capable adults.

\$26,254

Acres Home Community Project

Ms. Mary M. Vitek

San Jacinto Girl Scouts, Inc.

3110 Southwest Freeway

Houston, Texas 77098

Support for the first year funded the implementation of a comprehensive community development effort which will provide appropriate after-school programming for girls in partnership with AmeriCorps, parents, neighborhood adults, and area schools.

\$50,000

In-Home Family Counseling Project for Head Start of Greater Dallas

Dr. Robert Beavers

Southern Methodist University

Robert Beavers Family Studies Center

P.O. Box 750442

Dallas, Texas 75275-0442

This first-year grant provided support for the development of a family counseling service component for the Head Start of Greater Dallas, Inc. program.

\$39,000

Achieving Permanence of Foster Children Through A Collaborative Adoption Network

Mr. James N. Whitehead

Spaulding for Children

710 North Post Oak Road, Suite 500

Houston, Texas 77024-3832

Second-year funds supported the development of a network of child placement agencies to increase the number of special needs foster children moving from foster care to adoption.

\$72,000

Friend of the Family Capacity Building Program and Linked Family Resource Center Services

Ms. Sheila B. Savannah

People in Partnership
Douglass Family Resource Center
3000 Trulley
Houston, Texas 77004

Funding supported a project to increase access to, and acceptance of, culturally relevant mental health services for children and their families in the Third Ward, Sunnyside, and South Park neighborhoods of Houston.

\$75,000

Healthy Steps for Young Children Program – Houston

Dr. Jan E. Drutz

Texas Children's Hospital
6621 Fannin Street
Houston, Texas 77030-2399

Third-year support continued an innovative, preventive program aimed at promoting the healthy growth and development of young children. The program expands the traditional pediatric model by using parental education, home visits, a parental advice telephone line, written materials, and referrals to help new parents ensure that their infants thrive physically and emotionally.

\$50,000

Children's Medication Algorithm Project

Dr. Marcia Toprac

Texas Department of Mental Health and Mental Retardation
P.O. Box 12668
Austin, Texas 78711-2668

This second-year grant funded this project's patient and family education component, which involves a feasibility test focusing on physician and patient (child) adherence to the algorithms and algorithm treatment.

\$50,800

Reducing Child Maltreatment

Dr. Ernest N. Jouriles

University of Houston
Department of Psychology
Houston, Texas 77204-5341

A first-year grant was awarded for the implementation and evaluation of a comprehensive, home-based service program for families referred to Child Protective Services.

\$49,592

Children and Welfare: A Three-City Study

Dr. Ronald J. Angel

The University of Texas at Austin
Population Research Center
Austin, Texas 78712-1088

Funding for the second year was awarded for the San Antonio portion of a nationwide study exploring the impact that federal and state welfare reforms are having on African-American and Hispanic children.

\$39,896

Evaluation of Court-Based Services for Families of Divorce

Dr. John P. Vincent

University of Houston
Department of Psychology
4800 Calhoun Road
Houston, Texas 77204-5341

Second-year funding was given to evaluate an innovative program seeking to minimize the trauma of divorce for families through education, mediation, and counseling.

\$45,641

Statewide Database/Professional Development for Parent Educators/Parent Involvement Staff in Texas

Dr. Arminta Jacobson

University of North Texas
Center for Parent Education
P.O. Box 311337
Denton, Texas 76203-1337

Support for the second year provided funding for a statewide database of parental involvement personnel and parent educators which is searchable according to types of training offered, geographical areas, and audiences.

\$17,500

Changing Adoption Agency Practices: Mental Health Implications for Birthparents, Adoptive Parents and Adopted Children

Dr. Ruth McRoy

The University of Texas at Austin
Center for Social Work Research
Austin, Texas 78712-1203

A one-year grant was awarded for a ten-year follow-up study on how the frequency of "open adoptions" has influenced agency policies and practices.

\$28,704

Fragile Families and Child Well-Being

Dr. Yolanda C. Padilla

The University of Texas at Austin
Center for Social Work Research
Austin, Texas 78712-1203

This second-year grant funded the Texas portion of a nationwide study focused on the nature of relationships in families with unwed parents and the factors affecting their social and psychological well-being.

\$62,500

Wife Batters as Fathers: Influence of Child and Parent Characteristics on the Quality of Parenting

Dr. George W. Holden

The University of Texas at Austin
Department of Psychology
Austin, Texas 78712

Second-year support went to a study of the quality of parenting provided by men who physically abuse their wives and the relationship between spousal and child abuse.

\$31,835

Hogg Foundation Evaluation Research Fellowship

The University of Texas at Austin
School of Social Work
Austin, Texas 78712-1203

Funding supported Ms. Michelle Ballan, one of the 1998-99 Hogg Foundation Evaluation Research Fellows, to conduct a process evaluation of the development of the Austin Collaborative for Children.

\$16,000

Implications of Healthy Steps Program for Home Environment, Parent-Child Interaction, and Child Development

Dr. Margaret O'Brien Caughy

The University of Texas Health Science Center at Houston
Office of Contracts and Grants Management
(for the School of Public Health)

P.O. Box 20036

Houston, Texas 77225-0036

First-year support was given for an evaluation of the developmental, affective, and behavioral variables related to the success of the Healthy Steps for Young Children Program. The program's goals are enhancing the developmental potential of young children, strengthening the involvement of parents, and reorienting pediatric practice to emphasize child development, parenting competence, as well as child health and physical growth.

\$45,998

Parent Attitudes, Attributions, and Communications Regarding Stimulant Medications in Treatment of Children with ADHD

Dr. David R. Pillow

The University of Texas at San Antonio
Division of Behavioral and Cultural Sciences
6900 North Loop, 1604 West
San Antonio, Texas 78249-0652

A first-year grant was awarded for a study examining the effects of parents' attitudes about and behaviors responding to their child's use of stimulant medications to treat Attention Deficit Hyperactivity Disorder (ADHD).

\$32,340

LIGHT (Living In Good Healthy Treatment) Project

Dr. Bruce Epps

Volunteers of America Texas, Inc.

P.O. Box 200276

Arlington, Texas 76006-0276

Funding for the first year supported a project designed to overcome barriers to independent living by providing substance abuse treatment, coordinated mental health services, parent mentoring, and other ancillary services to homeless women and their children.

\$25,000

Youth Development

The Youth Development Program Area focuses on the factors which influence the healthy development of adolescents and young adults.

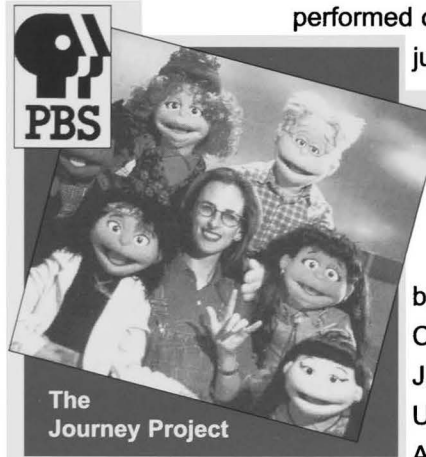
The nation's focus over the past year on school violence, child and adolescent development, and the changing system of health care delivery have underscored our urgency to better understand the mental health needs of adolescents and young adults and address those needs with effective, well-planned services.

The Youth Development Program Area concentrates on the design, delivery, and funding of mental health services; identifies critical mental health research needs; discovers best practices in the delivery, funding, and evaluation of mental health services for adolescents; and works with higher education institutions to understand the changing mental health needs of today's student populations and what resources are needed to respond appropriately.

The Journey Project

One of the most prominent project events of the past year was Austin PBS Station KLRU-TV's capturing of the National Public Television Service's prestigious Agnes Scott Ready to Learn Award.

The Hogg Foundation was among the funders for The Journey Project in 1997—one of the two programs recognized by the award—which helps teach diversity and tolerance to school children.



The Journey Project curriculum helps teachers prevent behaviors that perpetuate prejudice and stereotypes. It creates environments where children learn to respect others, develop self-esteem, solve problems and respect diversity.

Teams of teachers from seven schools in the Austin Independent School District (AISD) were trained in ways to promote diversity and shown how to incorporate the children's programs *Different and the Same* and *Puzzle Place* into their classrooms. The TV shows use animation and puppets to present fundamental issues of individuality and respect.

A mentorship component was also initiated with four other Texas television stations in San Antonio, Waco, Houston and Amarillo to create a

support mechanism for station trainers and to permit the sharing of information and resources.

Needs Assessments of Juvenile Offenders

Dramatic disparities in the way mental health assessments are performed on offenders within Texas' juvenile justice system were identified by a study funded by the Hogg Foundation in 1998.

The study, conducted by the Center for Criminology and Criminal Justice Research at The University of Texas at Austin, indicates a

tremendous lack of uniformity among local juvenile courts in conducting and interpreting mental health assessments during the juvenile court's initial intake process.

The reasons for these variations include a generally poor understanding of the objectives and applications of the assessment process; a wide disparity in the levels of education, experience, and training of those conducting the assessments; a paucity of counseling and treatment resources; and a serious concern among practitioners about the validity of the assessment tools.

The issues raised by the survey are important, since decisions based on these assessments largely decide whether juvenile offenders experiencing mental or behavioral disorders will

receive appropriate mental health or rehabilitative services. In fact, the future of a majority of juveniles is determined by the screening, assessment and referral process performed by juvenile court intake units.

These intake units are commonly com-

posed of probation officers and case-workers who are responsible for examining each case and recommending to the court whether to dismiss charges, issue warnings, conduct further clinical assessments, refer to appropriate treatment programs, or pursue prosecution and possible incarceration.

This survey comes two years after the Texas Legislature required juvenile probation departments to conduct risk and needs assessments of all offenders in juvenile courts. In response to this mandate, the Texas Juvenile Probation Commission developed the Standard Assessment Tool (SAT) to evaluate juvenile offenders. Currently all jurisdictions use the SAT or an authorized alternative in performing assessments or managing cases.

Daniel Mears, a post-doctoral research fellow with the Center and a former Hogg Foundation Evaluation Research Fellow, conducted the



Juvenile Assessments

study earlier this year by relying upon three sources of data: observations of the staff of the Travis County Juvenile Court; in-depth interviews with probation officers, prosecutors, judges, defense attorneys, program staff, and clinicians in selected jurisdictions; and a survey of chief juvenile probation officers in Texas. In each instance, Mears focused on how and to what extent the needs assessment and

referral process is perceived to be efficient and effective.

"What we found was that while most jurisdictions value appropriate assessment and referrals, not all are equally well-positioned to provide them," said William Kelly, professor and director at the Center. "These variations represent a central challenge to developing criteria for evaluating the appropriateness of referrals of juveniles with mental health or other needs."

The findings and recommendations were presented to top administrators of the TJPC. As a result, both the TJPC and the UT Center for Criminology and Criminal Justice Research have indicated an interest in pursuing research aimed at systematically assessing juvenile mental health needs and programming across Texas.

"This study put us ahead by a couple of years," said Rom Haghighi, a research specialist at TJPC.

Enterprising Girl Scouts Beyond Bars

Unquestionably, the parent-child relationship is crucial to the emotional development of children. But what happens when a parent—particularly a mother—is serving jail time and is unable to provide the time to nurture and engage their child?

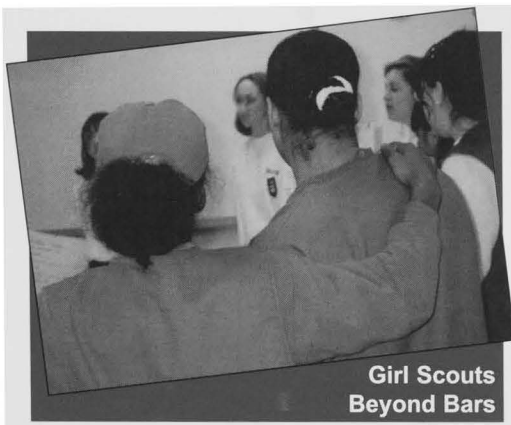
Criminal justice experts have long argued that the largest determinant for juvenile offenders is having a parent in prison—particularly sons following their fathers into prison cells. Now officials say they are seeing the trend being increasingly replicated in women—particularly since the national female incarceration rate has jumped by more than 516 percent between 1990 and 1998.

However, the mother-daughter relationship is different than that of fathers and sons, with experts suggesting that a mother's imprisonment can have deeper and longer-lasting



Girl Scouts Beyond Bars

Program Area



consequences on her daughter.

Deeply entrenched feelings of anger, despair, abandonment, and loneliness often manifest themselves in negative and damaging behavioral disorders.

Nurturing the parent-child bond through enhanced prison visiting programs supports the mother-child relationship, facilitates eventual reunification, and, hopefully rehabilitates the imprisoned parent.

Five Austin agencies—the Crime Prevention Institute, Young Women’s Christian Association, Travis County Community Justice Center, and The University of Texas School of Social Work—are working with the Lone Star Girl Scout Council on a grant of \$124,262 awarded by the Hogg Foundation in 1998 for the Enterprising Girl Scouts Beyond Bars Project (EGSBB).

EGSBB seeks to reduce the trauma suffered by families as a result of incarceration; preserve the mother/daughter relationship through enhanced visitation and increased communication opportunities; reduce the likelihood that daughters will engage in at-risk behavior by providing programs aimed at character enhancement, self-esteem, and role modeling; provide services for released mothers to establish self-sufficiency; and, enhance the participation of guardians to facilitate improved family relations.

Over the past year, EGSBB’s

Troop 1500 has registered 25 girls, 15 mothers and ten caregivers to participate in traditional Girl Scout fare: arts and crafts, camping, holiday events, and competitive games. But the troop also focuses on non-traditional events such as healing workshops, group therapy sessions, AWARE drug awareness programs, Planned Parenthood presentations and day camps.

But by far, the most important activity has been the structured, bi-weekly group meetings of mothers and daughters in the Travis County Jail. The meetings eschew the crowded, assigned-seat environment that normally characterizes jail visitations. Instead, the meetings provide a sense of openness in which daughters can express themselves about topics they would never broach with grandparents or guardians. The often tearful meetings permit mother and daughter to establish or strengthen a relationship that has been disrupted by the mother’s incarceration.

Benefits quickly become apparent. A teacher for one of the girls remarked on her student’s improved behavior and academics since joining Troop 1500.

“Her mom is the most important influence in her life. She has a hunger for her mother’s love,” the teacher said. But now, “her confidence is up, she’s relaxed, and is able to concentrate. She’s not nearly as anxious or as needy for attention and approval as she was.”

Root Cause Project

Over the past several months, the Youth Development Program Area has also been working with the St. David’s Foundation as it develops the Root Cause Health Leadership

Project within the Austin area.

As its name implies, the Root Cause Project goes beyond the visible symptoms of community health problems to address the behaviors and attitudes that cause them.

The project is bringing together a broad spectrum of schools, churches, businesses, and civic associations to determine the community’s health goals, and to provide the tools, education and resources necessary to encourage citizens to make choices for their health, lives, and future.

The Root Cause Project is unique for its focus upon community leadership and participation; an emphasis on the causal factors for sickness or suffering that the community has the power to change; and, a commitment to measuring the project’s progress and making short- and long-term modifications based upon “key health indicators” that have been designed by community leaders.



Root Cause Project

The key health indicators will be comprised of between 60 to 80 elements, including acute and chronic diseases, criminal justice issues, accidents, immunizations, teen pregnancy, and substance abuse.

Involvement of the Hogg Foundation’s Youth Development Program Area in helping initiate the Root Cause effort indicates that adolescent mental health factors are certain to continue receiving attention in the planning of the project, and could mean the Hogg Foundation’s broader commitment in the future.

Youth Development Grants 1998-99*

Epidemiology of Depression and Drug Abuse Among Adolescent Mothers

Dr. Constance M. Wiemann

Baylor College of Medicine, Department of Pediatrics
One Baylor Plaza

Houston, Texas 77030-3498

First-year support enabled the continuation and expansion of research into depression and drug abuse among more than 900 adolescent mothers from 24 to 48 months post-delivery.

\$30,082

Teens In Charge Program

Mr. Bobby D. Lewis

Consortium On a Substance Abuse Free Environment
6320 Snowheights Court

El Paso, Texas 79912

A one-time grant was provided for coalition-building activities in this program, which trains students to develop drug abuse prevention activities in their own schools.

\$25,200

Mental Health Services on Mobile Medical Outreach Unit

Dr. Carla M. Cooper

Episcopal Health Charities
6900 Fannin, Suite 440

Houston, Texas 77030

Second-year funding supported the addition of a psychiatric social worker to the staff of a mobile outreach unit that provides health services to homeless adolescents in Houston.

\$49,875

Residential Sex Offender Treatment Program

Mr. Elmer Bailey, Jr.

Harris County Juvenile Probation Department
3540 West Dallas

Houston, Texas 77019-1796

This first-year grant was awarded for the implementation and evaluation of a sex offender treatment program at the newly opened Burnett Bayland Reception Center.

\$79,869

Mental Health CPR Program

Mr. Kermit F. Klaerner

Mental Health Association in Abilene, Inc.
P.O. Box 7282

Abilene, Texas 79608-7282

First-year support was given for the implementation of an elementary school phase of a suicide awareness and prevention program previously proven successful in high schools of the Abilene Independent School District.

\$10,373

Tandem Teen Prenatal and Parenting Program

Ms. Robin Rosell

People's Community Clinic
2909 North IH-35

Austin, Texas 78722

A grant for the first year funded an interagency, collaborative project designed to provide well-coordinated, comprehensive services to teen parents and their children; to promote optimal emotional/social, educational/vocational, medical, and family functioning in teens; and to reduce the risk of subsequent unplanned pregnancies among this population.

\$40,000

Preventing Substance Abuse in Aggressive Children

Dr. Timothy A. Cavell

Texas A&M Research Foundation
(for the Texas A&M Department of
Educational Psychology)

P.O. Box 3578

College Station, Texas 77843-4225

This fourth-year grant was provided for the evaluation of Prime Time, a theory-driven, school-based approach, including a booster intervention, to prevent later drug abuse in aggressive children.

\$20,561

Mentoring Relationships with Aggressive Children

Dr. Timothy A. Cavell

Texas A&M Research Foundation
(for the Texas A&M Department of Psychology)

P.O. Box 3578

College Station, Texas 77843

Second-year funds were awarded for a study of the student mentorship components of Prime Time, a theory-driven, school-based approach to preventing drug abuse and related problems.

\$17,082

Hogg Foundation Evaluation Research Fellowship

The University of Texas at Austin
Department of Sociology
Austin, Texas 78712

Funding supported Ms. Emily Leventhal, one of the 1998-99 Hogg Foundation Evaluation Research Fellows. As part of her Fellowship experience, Ms. Leventhal evaluated the Tandem Teen Prenatal Parenting Project.

\$16,000

***It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.**

Minority Mental Health

Texas' ethnic and cultural diversity presents unique challenges for mental health issues. Minorities comprise a disproportionately high percentage of Texas' economically disadvantaged, and thereby often lack access to affordable, culturally relevant services. Even when programs are accessible, the mental health service providers frequently have little cultural training to be truly effective.

Through its Minority Mental Health Program Area, the Hogg Foundation works to identify gaps and to examine how social and demographic factors affect mental health services for minority populations

In particular, this area seeks to improve the quality of mental health services; broaden the knowledge of minority mental health by advancing culturally relevant research on and by ethnic and racial minorities; meet the challenge of training the next generation of culturally diverse mental health professionals; and, improve public understanding of timely minority mental health topics.

Culturally Competent Mental Health Services

The mental health needs of minorities in Texas are diverse, reflecting not only differences between ethnic groups, but also geographic, socioeconomic, and cultural differences between individuals within each of those groups.

These differences present challenges for defining and providing culturally competent mental health services—especially given the scarcity of resources and the still unfolding changes to our behavioral health care delivery system. But such issues in the structure and funding of mental health services can also be seen as an opportunity to develop products and approaches that can enhance the delivery of cost-effective mental health services to Texas' minorities.

Over the past year, the Hogg Foundation for Mental Health's Robert L. Sutherland (RLS) Seminar has addressed this issue by focusing on

ways to improve minority access to culturally competent mental health services. Planners of the RLS Seminar began by conducting focus groups with a wide range of minority populations across the state. The focus groups included mental health consumers, service providers and administrators from the Hispanic American, African American, Native American, and Asian American communities in order to hear their concerns, to identify service gaps and needs, and to assess strategies for addressing them.

What is arising from those focus groups—and from consultations with experts in the field of minority mental health—is a number of proposals that seek to expand both public and professional understanding of cultural issues and factors in prevention and treatment issues affecting minority populations. The Hogg Foundation is certain to maintain a leadership role in promoting and addressing these issues in the coming year.



Effects of Family Separation on Immigrants from Mexico and Central America

A study funded by the Hogg Foundation in 1997 indicates that a large number of Mexican and Central American immigrants in Texas could be experiencing mental and

emotional disorders resulting from long-term separation from their families.

Investigators at the Center for Immigration Research at the University of Houston—including Drs. Jacqueline Hagan and Norma Olvera, along with Dr. Antonio Ugalde of The University of Texas—initiated a study in 1997 to investigate the effects of family separation and coping strategies on the mental health conditions of immigrants from Mexico and Central America.

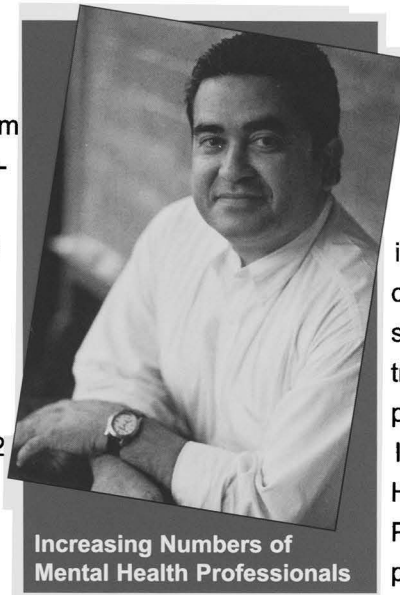
The problem is exceedingly timely given the cultural prevalence of close-knit family structures among these immigrant populations and the diminished ability of undocumented migrants—as well as immigrants awaiting legal residential status—to leave to visit families in their countries of origin. The Illegal Immigrant Reform and Immigrant Responsibility Act of 1996 and stricter enforcement measures by the U.S. Border Patrol is making it more difficult for these immigrants to stay in close contact with their families back home. The effect of this separation is presumed to have negative mental and emotional consequences on these immigrants, specially feelings of isolation, depression and loneliness.

With a \$84,423 grant from Hogg Foundation, minority graduate students were able to gain valuable professional experience under the direction of Dr. Nestor P. Rodriguez, the Center's director. Students collected data resulting from interviews with 421 immigrants (62 per-

cent of whom were undocumented immigrants) who have lived in the United States for less than 12 years. The study measured sociodemographic and family characteristics, various levels of stressors, symptoms of depression, duration and severity of mental health problems, and the modes of coping strategies to deal with them.

Although researchers continue to analyze the data, the preliminary findings indicate a significant association between family separation and depression among the participants. Immigrants without any family members in the United States are more likely to report experiencing depression than those who have family members in the United States. Immigrants whose spouses live in their home country are also more likely to experience depression than those whose spouses reside in the United States.

Moreover, an immigrant's legal status itself appears to be related to experiences with depression. Immigrants without documentation of citizenship reported experiencing depression more frequently than those with proper documentation.



George R. Ragland Scholars Program

One of the most frequently identified issues in discussions of culturally competent mental health services is the availability of trained minority professionals to provide services.

In order to meet this issue, the Hogg Foundation joined with Prairie View A&M University by providing technical assistance and a planning grant to develop the George R. Ragland Scholars Program.

With a Hogg Foundation grant of \$164,323 over four years, the program will develop academic and professional training curricula, establish mentoring programs with distinguished minority professionals, and forge internships that will offer African-American students the opportunities and experience to prepare for careers in the mental health professions.

Named in honor of Dr. George R. Ragland, a long-time professor and department head for Prairie View A&M's Department of Sociology, it is hoped the scholars program will not only benefit racial, ethnic, and culturally diverse students, but will educate the entire community of the need for unique mental health treatments, policies, and approaches for diverse cultures.

Hogg Foundation funds were used for the planning and development of the program, with its eventual launch in October of 1999.

Program Area

Project Asian American Connect

Houston/Harris County has seen few ethnic populations grow as dramatically over the last 20 years as its Asian American community.

Because there exists such enormous linguistic and cultural barriers for health and mental health-related services—coming both from the service providers and from the prospective consumers—community leaders have sought ways to narrow the gaps and improve the condition of many within this population.

In 1997 one of those leading organizations, the Asian American Family Counseling Center (AAFCC), established Project Asian American Connect, a comprehensive service seeking to connect Asian American children and families with appropriate health, mental health, and human services resources.

With a three-year, \$148,473 grant from the Hogg Foundation, Project Asian American Connect first sought to maximize existing mental health services in the Houston area by producing an *Asian American Mental Health Resource Directory*. The directory includes information on



culturally and linguistically competent Asian and non-Asian mental health practitioners, as well as services offered by public agencies.

At the same time, project developers instituted a Screening, Referral and Follow-up (SRF) service to assist Asian-American clients in identifying and locating appropriate mental health providers. Dr. Patrick Leung, director of the project at AAFCC, says that most of the callers to the SRF request services in Vietnamese and Chinese, with nearly 80 percent of the calls coming from health care

professionals (e.g., social workers, school counselors, hospital staff) who called to find appropriate services for their Asian clients.

Because many Asian Americans may be reluctant and uninformed about getting help for mental health problems, SRF specialists provide informed, appropriate and culturally relevant competent assistance to meeting their needs. What makes the project more unique is the personal assessment and follow-up given to each client who comes seeking services.

Project Asian American Connect also developed a clinical program by which student interns from the University of Houston School of Social Work—under the supervision of certified community psychologists and social workers—conduct assessments, undertake counseling sessions and provide case management services to clients. The interns also developed a curriculum guideline to teach Asian American youth on relationships and self-identity at the Korean Youth Center and other Asian community organizations across Houston.

Minority Mental Health Grants 1998-99*

Acculturation and Mental Health in Mexican-American Families in a Texas Border Community

Dr. Manuel Ramirez III

The University of Texas at Austin
Department of Psychology
Austin, Texas 78712

Second-year support was provided for a research project on acculturation and acculturative stress, family unity, and psychological distress in three generations of Mexican-American families in the border community of Roma, Texas.

\$17,441

George R. Ragland Scholars Program

Dr. Sarah B. Williams

Prairie View A&M University
Department of Social Work, Behavioral
and Political Sciences
P.O. Box 2983

Prairie View, Texas 77446-2983

This eleven-month planning grant assisted in the development of an academic and professional training program to meet the demand for well-trained African-American professionals within the fields of mental health services and research.

\$10,675

Project Asian American Connect

Dr. Patrick Leung

Asian American Family Counseling Center
6220 Westpark, Suite 104
Houston, Texas 77057

This third-year grant funded screening, referral, and follow-up services targeting Asian-American children and their families in Harris County.

\$50,600

Supper Clubs Project

Ms. Dorothy J. Jenkins

Austin Outreach and Community Service Center, Inc.
8101 Cameron Road, Suite 104
Austin, Texas 78754

One-year support was provided for a pilot project helping minority women build neighborhood-based social support networks as they make the transition from welfare to work.

\$20,000

Translation and Field Testing of Anxiety Measures For Spanish-Speaking Adults

Dr. Diane M. Novy

The University of Texas Health Science Center
at Houston, Department of Anesthesiology
P.O. Box 20708
Houston, Texas 77225

Second-year funding was awarded for the Spanish translation and field testing of various questionnaires concerned with anxiety and related disorders. The purpose of the surveys is to aid in the diagnosis and treatment of such disorders among Hispanic adult populations.

\$19,850

Diversity Initiative for the Expansion of Direct Services and Professional Training to Clients

Ms. Betty Button

Capital Area Mental Health Center, Inc.
1106 Clayton Lane, Suite 105-West
Austin, Texas 78723

Second-year funding was awarded to improve services, advance training of minorities in the mental health professions, and identify opportunities for serving minority populations.

\$50,000

Community-Based Family Counseling Program

Ms. Mary Jo May

El Centro de Corazon
5001 Navigation
Houston, Texas 77011

A grant for the fourth year of a five-year commitment was awarded for a comprehensive mental health intervention program in Houston's Second Ward that targets low-income, high-risk children and their families.

\$38,875

Hogg Foundation Evaluation Research Fellowship

The University of Texas at Austin
Department of Psychology
Austin, Texas 78712

Funding supported Ms. Hsin-Tine (Tina) Liu, one of the 1998-99 Hogg Foundation Evaluation Research Fellows. As part of her Fellowship experience, Ms. Liu evaluated a project and assisted in conducting focus groups as part of the Robert L. Sutherland Seminar.

\$16,000

Brief, Group Cognitive-Behavior Therapy: Clinical and Cost Effectiveness in a Public Sector Population

Dr. Delia Saldaña

The University of Texas Health Science
Center at San Antonio
Department of Psychiatry
7703 Floyd Curl Drive
San Antonio, Texas 78284-7792

A one-time grant supported a study of this therapy and its effectiveness when applied to a public sector population in San Antonio.

\$32,709

Cross-Cultural Study of Adolescent Mental Health

Dr. Israel Cuéllar

The University of Texas – Pan American
Department of Psychology and Anthropology
1201 West University Drive
Edinburg, Texas 78539-2999

One-time funding was given for a cross-cultural research project to investigate the relationship between mental health and ethnicity in childhood and adolescence.

\$5,000

Blueprint for Board Members: A Minority Leadership Development Project

Ms. Cynthia Nunes-Colbert

United Way/Capital Area
2000 East Martin Luther King, Jr. Blvd.
Austin, Texas 78702

Support for the first year was provided to help recruit and train minority volunteers to serve on the boards of community-based organizations, especially those serving people with mental illnesses.

\$20,000

***It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.**

Meeting Other Needs

When suggesting the purposes of the Hogg Foundation and its administration of the W.C. Hogg Memorial Fund, Miss Ima Hogg envisioned "a broad mental health program for bringing great benefits to the people of Texas."

In later years, Miss Ima underscored that commitment by asserting that the Foundation had an obligation "to use scholarly standards and methods in all phases of work." While not a service agency, the Foundation helps institutions, communities, and professional groups to implement new treatment and prevention methods.

The Hogg Foundation has long used a broad definition of mental health to define its roles and purpose. While the Foundation gives priority to grants in its three program areas it continues to make grants addressing a wide range of mental health service and research projects.

Each year, literally thousands of mental health consumers miss out on needed government benefits because of the Social Security system's confusing and frustrating bureaucracy.

The statistics are disappointing for individuals applying for benefits when they have a debilitating mental illness. The Social Security Administration rejects 68 percent of applications for Social Security Income assistance or Social Security Disability Income, and reverses only 15 percent of those rejections on appeal.

This is especially troubling given that reported incidence of long-term mental illnesses have doubled over the last decade. In 1997, Texas was projected to have more than 498,000 people suffering from a severe and disabling mental illness. Given Texas' graduation to a managed mental health care model for Medicaid services, many of these patients will likely find it difficult to navigate Social Security's benefits application process.



To meet this need, the Hogg Foundation awarded a one-time grant of \$22,350 to the Alliance for the Mentally Ill of Collin County to develop training materials to educate families, consumers, and providers to serve as advocates for persons disabled by mental illnesses to access benefits.

The trainings equip advocates to personally assist consumers—particularly those in traditionally underserved rural areas—in applying for benefits and submitting appeals.

The project builds upon one of the Hogg Foundation's most successful grants: the AMI-Collin County' *Social Security Benefits for People with Mental Illnesses* workbook. To date, more than a thousand consumers have used the workbook to as a guide through the intricacies of the Social Security system.

The new, enhanced workbook and training program will include Spanish translations, step-by-step materials on the determination process, and the appropriate bureaucratic terminology.

Meeting Other Needs Grants 1998-99

Deaf Mental Health Advocacy Project

Mr. James E. Comstock-Galagan
Advocacy, Incorporated
7800 Shoal Creek Boulevard, #171-E
Austin, Texas 78757-1024

This final year's grant was awarded for a statewide project dedicated to improving awareness of, and access to, mental health services for the hearing impaired through outreach, training, and advocacy.

\$28,800

Social Security Benefits Training Project

Ms. Carol Schaper
Alliance for the Mentally Ill of Collin County
2709 Bedfordshire
Plano, Texas 75075

Support on a one-time basis was given to develop materials devoted to educating and training consumers and providers on how to access health benefits available to them under state and federal programs.

\$22,350

Integration of Family Counseling Services and Rural Primary Health Care

Mr. Lloyd H. Sidwell

Family Service Center
2707 North Loop West, Suite 520
Houston, Texas 77008

Third-year funding was provided to a family behavioral health services program at the Fort Bend Family Health Center.

\$16,626

Collaborative Mental Health Training Project

Ms. Kathy Reid

Homeless Network of Texas
200 East 8th Street
Austin, Texas 78701

A grant for the first year funded the improvement of services to the state's mentally ill, homeless population by providing professional training in emergency shelters, transitional housing, and agencies serving the homeless.

\$23,340

Consumer Services Program

Ms. Betsy Schwartz

Mental Health Association of Greater Houston, Inc.
2211 Norfolk Street, Suite 810
Houston, Texas 77098-4044

Third-year support continued a program of education, advocacy, and support for mental health consumers.

\$10,000

"Making Connections" Conference

Ms. Janice A. Hannah

SouthWest Society of Service Coordinators
2846 Birmingham Drive
Grand Prairie, Texas 75052

Funding on a one-time basis covered airfare expenses for a conference speaker at the annual meeting of the SouthWest Society of Service Coordinators, whose members arrange for, coordinate, supervise, and/or monitor services and supports for people with developmental disabilities.

\$247

Production of "Taking Care of Your Mental Health" Brochure

Ms. Laurie Lentz

Texas Department of Mental Health and Mental Retardation
P.O. Box 12668
Austin, Texas 78711-2668

Support was provided for developing and printing 15,000 copies of a revised version of the "Taking Care of Your Mental Health" brochure.

\$5,000

Statewide Alzheimer's Care Management Program

Ms. Kena Dubberly

Texas Tech University Health Sciences Center
Department of Neuropsychiatry and Behavioral Science
3601 4th Street, Room 3A116
Lubbock, Texas 79430

A one-time grant was awarded to create a statewide project for Alzheimer's care management services.

\$50,000

Partner's Injury of Low-Income Inner-City Women

Dr. Linda L. Marshall

University of North Texas
Department of Psychology
P.O. Box 13587

Denton, Texas 76203-6587

Second-year funding was given to complete a longitudinal, comprehensive study of domestic violence in low-income women.

\$29,204

Establishment of Clinical Research

Laboratory in Gerontology

at Austin Groups for the Elderly

Dr. Waneen Spirduso

The University of Texas at Austin
Institute of Gerontology
Austin, Texas 78712

Support for the first year was provided for the development of a clinical research laboratory to address the mental health issues affecting the elderly in the Austin/Central Texas area.

\$15,000

Grantmaking Activities

Applying for a Grant

The Foundation defines mental health broadly for its grantmaking program, and therefore invites proposals dealing with any aspect of mental health. However, priority is given to projects targeting one of the Foundation's three primary program areas: Children and Their Families, Youth Development, and Minority Mental Health.

Applicants are encouraged to review the Guidelines for Grant Applications located at the end of this report. It may also be obtained either by mail or through the World Wide Web at:

hogg.lac.utexas.edu.

Letters of inquiry and proposals should be sent to:

Dr. Charles M. Bonjean
Executive Director
Hogg Foundation
for Mental Health
P.O. Box 7998
Austin, Texas 78713-7998

During the 1998-99 fiscal year, the Hogg Foundation awarded 70 grants and three fellowships totaling \$2,608,072 from interest on its funds and endowments. This was the fourth year since the Foundation established program funding areas, and the distribution of funds reflects these emphases:

Children and Their Families	\$1,837,313	(70 percent)
Youth Development	\$ 289,042	(11 percent)
Minority Mental Health	\$ 281,150	(11 percent)

It is worth noting that these totals are not necessarily restricted to a specific program area. In fact, many grants target populations within two or all three of the priority areas. Nonetheless, 92 percent of our funds supported projects in these three areas, including projects for mental health service delivery, program development, research, education, and professional training.

The Will C. Hogg Fund

The Will C. Hogg Fund is our largest endowment and is used to support mental health projects throughout the state of Texas. During the 1998-99 fiscal year, awards ranged from \$247 to \$86,014 with an average of \$36,909. Grants from this fund totaled \$1,569,977.

The Ima Hogg Endowment

Grants from the Ima Hogg Endowment are restricted to the support of children's mental health services in the greater Houston area. In 1998-99 grant amounts ranged from \$15,000 to \$214,714 with an average of \$56,802. The grants total for the year was \$965,628.

Other Funds

Other smaller funds administered by the Foundation include the Ima Hogg Scholarship Fund, the Harry Estill and Bernice Milburn Moore Fellowship Fund, the Frances Fowler Wallace Fund, the DeRossette Thomas Fund, and the Varner-Bayou Bend Heritage Fund. Administration of these funds follows the designation of their donors.

Financial Statement

Fiscal Year 1998-99

ENDOWMENTS (8/31/99)

W. C. Hogg Fund	\$91,800,609
Ima Hogg Endowment	25,569,612
Other Funds	852,492
Total	\$118,222,713

TEMPORARY RESERVE FUNDS (8/31/99)

W. C. Hogg Fund	\$196,251
Ima Hogg Endowment	4,940
Total	201,191

Total Endowment and Reserve Funds	\$118,423,904
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INCOME

Endowment Earnings	\$4,512,668
Endowment Earnings Reinvested	(214,289)
Total Income	\$4,298,379

EXPENSES

Salaries, Wages and Fringe Benefits	\$1,277,138
Consultants	61,022
Maintenance, Operation and Equipment	72,329
Travel	60,378
Publishing and Mailing	45,840
Conferences and Other Internal Program Activities	62,122
Grants	2,641,822
Returned from Grantees	(58,217)
Total Expenses	\$4,162,434

BALANCE	\$135,945
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EXECUTIVE STAFF 1998-99

Charles M. Bonjean, Ph.D., Executive Director
Ralph E. Culler III, Ph.D., Associate Director
Reymundo Rodríguez, Program Director
Adrian Rhae Fowler, Program Director

Marion Tolbert Coleman, Ph.D., Program Director
Jeffery R. Patterson, Communications Director
Wayne H. Holtzman Ph.D., Special Counsel
Bert Kruger Smith, Executive Associate Emeritus

SUPPORT STAFF 1998-99

Margarita T. Alvarez, Administrative Associate
Maria Bumpass, Administrative Associate
Mary Campbell, Administrative Assistant
Lisa Cisneros, Administrative Assistant (Library)
David W. Dibble, Library Assistant
Michael Dobecka, Computer Programmer
Sherry L. Forman-Ricks, Executive Assistant
Freda K. Hamric, Administrative Assistant
Cathy L. Heaslet, Administrative Assistant

Betty D. McMillan, Administrative Services Officer
Traci D. Patterson, Public Affairs Specialist
Janell E. Ross, Executive Assistant
Jai Jai St. Barry, Receptionist
Allison C. Supancic, Librarian
Mary B. Vance, Administrative Associate
Mary L. Vaughn, Administrative Assistant
Dolores Zepeda, Fiscal Officer

EVALUATION RESEARCH FELLOWS 1998-99

Michelle Ballan, School of Social Work, The University of Texas at Austin
Emily A. Leventhal, Department of Sociology, The University of Texas at Austin
Hsin-Tine (Tina) Liu, Department of Psychology, The University of Texas at Austin

NATIONAL ADVISORY COUNCIL 1998-99

Dr. Dolores Sands

*Dean, School of Nursing, and Laura Lee Blanton Chair in Nursing
The University of Texas at Austin, Chair*

1994-1999

Dr. Bertram Brown

Executive Vice President, Forensic Medical Advisory Service Corporation

1999-2000

Dr. Karen Wolk Feinstein

President, The Jewish Healthcare Foundation, Pittsburgh

1996-2000

Dr. Charles J. Holahan

Professor, Department of Psychology, The University of Texas at Austin.

1998-2001

Dr. Jewel L. Prestage

Professor of Political Science, Prairie View A&M University

1996-2000

Dr. David Smith

President, Texas Tech University Health Sciences Center

1998-2001

Dr. Charles Willie

*Professor of Education and Urban Studies,
Graduate School of Education, Harvard University*

1998-2001

Ms. Rosie Zamora

President, Telesurveys Research Associates

1999-2002

FORMER NATIONAL ADVISORY COUNCIL MEMBERS*

Dr. William C. Adamson
Professor of Psychiatry
Hahnemann Medical College and Hospital
1959-1961

Dr. Myrl Alexander
Director, U.S. Bureau of Prisons
Professor, University of Florida
1969-1972

Dr. David M. Austin
Bert Kruger Smith Centennial Professor
School of Social Work
The University of Texas at Austin
1992-1996

Dr. Robert H. Barnes
The University of Texas Medical School at San Antonio
1969-1970

Dr. Moody C. Bettis
Head of the Sociological Research Division
Houston State Psychiatric Institute
1966-1969

Dr. Joseph M. Bobbitt
Executive Director
Joint Commission on Mental Health of Children, Inc.
1959-1960

Dr. Charles M. Bonjean
Chair of the Department of Sociology
The University of Texas at Austin
1972-1973

Dr. John A. Boston, Jr.
Child Psychiatrist, Austin
1964-1967

Dr. T. Berry Brazelton
Professor of Pediatrics Emeritus
Harvard Medical School and Children's Hospital
1992-1996

Mrs. Ann Brinkerhoff
former Chair, Centennial Commission
The University of Texas Medical Branch at Galveston
1994-1998

Dr. Eugene G. Brody
Professor and Chairman of Psychiatry Emeritus
University of Maryland
Secretary General, World Federation for Mental Health
1986-1989

Dr. Bertram S. Brown
Director
National Institute of Mental Health
1970-1973

Dr. Robert N. Butler
Director, National Institute on Aging
Department of Geriatrics and Adult Development
The Mount Sinai School of Medicine
1980-1983

Dr. Carmen Carrillo
Director of Adult Acute Services
Division of Mental Health, Substance Abuse,
and Forensic Services
San Francisco Department of Public Health
1988-1991

Dr. James P. Comer
Maurice Falk Professor of Child Psychiatry
Yale Child Study Center
Yale University
1983-1986

Dr. John J. Conger
Professor, Division of Clinical Psychology
University of Colorado Medical Center
1979-1982

Mr. Louis E. DeMoll, Jr.
Associate Professor of Social Work
The University of Texas at Austin
1974-1981

Dr. Rogelio Diaz-Guerrero
Professor Emeritus
National University of Mexico
1970-1974

Mr. Hugh Downs
Radio-Television Broadcaster
Host of "20/20"
1982-1985

Dr. Toni Falbo
Professor, Department of Educational Psychology and
Population Research Center
The University of Texas at Austin
1991-1994

Dr. Robert H. Felix
Director, National Institute of Mental Health
former Dean, St. Louis University Medical School
1961-1964

Dr. Donald J. Foss
Chair, Department of Psychology
The University of Texas at Austin
1988-1991

* The position listed is the one held during the member's term on the National Advisory Council

Mr. Herman E. Gallegos
Chairman of the Board
Human Resources Corporation
1978-1981

Ms. Leslie Ganyard
Executive Director
Rosenberg Foundation
1962-1965

Dr. Ernest R. Hilgard
Professor of Psychology
Laboratory of Human Development
Stanford University
1960-1963

Dr. J. Cotter Hirschberg
William C. Menninger Distinguished Professor of
Psychiatry
Menninger Clinic
1968-1971

Dr. Nicholas Hobbs
Director, Center for the Study of Families and Children
Vanderbilt University
1963-1966

Dr. Wayne H. Holtzman
Dean of the College of Education
The University of Texas at Austin
1964-1970

Dr. Ira Iscoe
Ashbel Smith Professor of Psychology
The University of Texas at Austin
1962-1982

Ms. Geneva B. Johnson
President and Chief Executive Officer, Family Service
America, Inc., Milwaukee, Wisconsin (retired)
1994-1998

Dr. Charles W. Laughton
Associate Dean, Graduate School of Social Work
The University of Texas at Austin
1964-1974

Dr. Robert L. Leon
Chairman, Department of Psychiatry
The University of Texas Health Science Center at San
Antonio
1964-1969

Dr. Eugene C. McDanald, Jr.
The Titus Harris Clinic
The University of Texas Medical Branch at Galveston
1964-1967

Dr. Reuben R. McDaniel, Jr.
Charles and Elizabeth Prothro Regents Chair
in Health Care Management
Department of Management,
The University of Texas at Austin
1983-1986

Dr. Cora Bagley Marrett
Assistant Director for Social, Behavioral
and Economic Sciences
National Science Foundation
1990-1994

Dr. Darrel J. Mase
Associate Chairman for Academic Affairs
Department of Community Health and Family Medicine,
University of Florida
1966-1969

Dr. David Mechanic
René Dubos Professor of Behavioral Sciences
Rutgers University
1987-1990

Dr. Roy W. Menninger
President
The Menninger Foundation
1989-1992

Dr. Alan D. Miller
Professor of Psychiatry and Behavioral Sciences
State University of New York at Stony Brook
1974-1977

Dr. Don P. Morris
Child Psychiatrist, Dallas
1967-1970

Mr. Brian O'Connell
President, Independent Sector
1991-1994

Mr. Martin A. Paley
Partner, Paley and Raphael Organizational Management
Consultation Services
1985-1988

Dr. F. Carter Pannill
Dean
The University of Texas Medical School at San Antonio
1966-1968

Dr. Thomas F. Plaut
Deputy Director
National Institute of Mental Health
1975-1978

Mrs. Mary D. Poole
President
Association of Junior Leagues International, Inc.
1981-1984

Dr. Manuel Ramírez III
Professor of Psychology
The University of Texas at Austin
1982-1985

Dr. Julius B. Richmond
Assistant Secretary of Health
U.S. Department of Health and Human Services
1977-1980

Ms. Jeannette Rockefeller
President
National Mental Health Association
1963-1966

Dr. Howard P. Rome
Senior Consultant
Section of Psychiatry, Mayo Clinic
1966-1969

Dr. Ricardo Romo
Vice Provost for Undergraduate Studies and Associate
Professor of History
The University of Texas at Austin
1987-1990

Dr. M. Lamar Ross
Internal Medicine, Galveston
1967-1970

Dr. Alice S. Rossi
Harriet Martineau Professor of Sociology
The University of Massachusetts
1984-1987

Ms. Elspeth D. Rostow
Professor, LBJ School of Public Affairs
The University of Texas at Austin
1984-1987

Dr. Eleanor B. Sheldon
President, Social Science Research Council
1976-1979

Dr. Melvin P. Sikes
Professor of Educational Psychology
The University of Texas at Austin
1974-1983

Dr. Althea T. L. Simmons
Director, Washington Bureau, NAACP
1973-1976

Dr. M. Brewster Smith
Professor Emeritus of Psychology
University of California at Santa Cruz
1972-1975

Dr. Janet T. Spence
Alma Cowden Madden Centennial Professor and Ashbel
Smith Professor of Psychology,
The University of Texas at Austin
1985-1988

Dr. Robert L. Stubblefield
Chair, Department of Psychiatry
The University of Texas Medical Branch at Galveston
1967-1970

Dr. Teresa A. Sullivan
Chair, Department of Sociology
The University of Texas at Austin
1989-1992

Dr. Ralph Tyler
Director Emeritus, Center for Advanced Study in
Behavioral Science
Stanford University
1959-1962

Mr. Homer C. Wadsworth
Director, The Cleveland Foundation
1965-1968

Dr. David C. Warner
Wilbur C. Cohen Fellow in Health and Social Policy
LBJ School of Public Affairs
The University of Texas at Austin
1990-1993

Dr. Paul L. White
Director, Student Health Center
The University of Texas at Austin
1967-1970

Dr. Robert B. White
Department of Psychiatry
The University of Texas Medical Branch at Galveston
1967-1970

Dr. Martha Williams
Dean, School of Social Work
The University of Texas at Austin
1981-1984

Dr. Louis A. Zurcher, Jr.
Ashbel Smith Professor of Social Work
and Professor of Sociology
The University of Texas at Austin
1986-1989

Grant Application Guidelines

Grantmaking Objectives

The definition of mental health used by the Hogg Foundation is a broad one. The Foundation is interested in programs which implement and evaluate innovative projects that are designed to meet the broad mental health needs of Texans. While the Foundation invites proposals dealing with any aspect of mental health, priority is given to projects that target its three primary program areas:

- **Children and Their Families**
- **Youth Development**
- **Minority Mental Health**

General proposal review criteria include timeliness, relevance, and significance with respect to mental health needs. Other factors are:

- Innovation in addressing mental health issues
- Staff ability to bring about intended results
- Evidence of accountability and evaluation
- Targeting underserved populations
- Collaboration with other organizations

For mental health **SERVICE PROJECTS**, the Foundation welcomes proposals focused upon the implementation and provision of mental health services to individuals and groups, as well as those projects aimed at mental health education, professional training, and program development.

RESEARCH PROJECT proposals should display a clear, strong mental health focus and evidence of investigator competence in the research area. Particular interest is afforded to submissions with implications for improving mental health services, and that will contribute to existing knowledge.

Application Process

Only those nonprofit organizations, public institutions, or institutions of higher learning that have completed the 501(c)(3) application process, are eligible for funding.

There are no submission deadlines. The Foundation's Executive Committee meets monthly for proposal review and discussion, and awards are made on a continual basis. New requests are placed on the agenda for discussion at the next scheduled meeting. Receipt of submitted materials are acknowledged promptly by postcard. Applicants can expect to receive a response from the Foundation within approximately six weeks but should be realistic when setting proposed start-up dates. The entire process from initial inquiry to final decision may require several months. In some instances, research proposals may be sent for outside review to an expert in the area of study, thus adding slightly to the process time.

To assure equal access to all applicants, personal contacts with the Foundation's program officers and staff are discouraged prior to the receipt of a brief (no more than 4-page) letter of inquiry. This letter should include:

- Name and mission of the applicant organization and/or affiliation of researcher
- Name, address and phone number of contact person
- Statement of need and mental health implications
- Brief description of the project, methodology and proposed timeline
- Estimated total project cost
- Grant amount sought

If the Foundation encourages a formal application, **EIGHT** copies of a full proposal covering the items in the original letter should be prepared. The Foundation does not have a standard application form, but expects proposals to be concise and paginated.

SERVICE PROPOSALS should make clear the *goals* of the project, the *specific objectives* that are derived from those goals, the *implementation plan* including a *timeline*, the *specific population to be served*, the names and/or qualifications of *project personnel*, the *project budget*, and a plan for *project evaluation*.

Applicants affiliated with college or state institutions should include a letter of endorsement from the appropriate administrative head or board authority. Private, nonprofit agencies should include a letter of support from the organization's board chair, a copy of the IRS status approval letter, and the Texas charter number (if applicable). Resumes of project personnel and/or job descriptions for positions to be filled in the project and a roster of current board members should also be included.

RESEARCH PROPOSALS should include a literature review, statement of the problem, the questions or hypothesis, and the methodology. The formal proposal should also make clear the implications of the work for improving mental health, knowledge, service and/or policy. The proposal should also contain the projected timeline, names and qualifications of personnel, the budget, and plans for dissemination of the results to relevant audiences. Vitae of the primary investigators should also be attached. The Foundation does not support the completion of theses or dissertations.

Applicants affiliated with universities or state institutions should include a letter of endorsement from the appropriate administrative head or board authority (including approval by the institution's Human Subjects Review Panel when required). Researchers affiliated with private, nonprofit agencies should include a letter of support from the organization's board chair, a copy of the IRS status approval letter, and the Texas charter number (if applicable).

Other Key Issues

BUDGET

In general, the Foundation limits its financial support to expenses directly related to the implementation of the project or to research assistance. Thus, budget items such as staff salaries and benefits and program costs such as supplies, telephone, printing and mailing are generally supportable. University researchers can request no more than two months' summer salary, provided that they do not teach summer school and that such intensive time investment can be justified in the proposed work plan.

WHAT THE FOUNDATION CANNOT SUPPORT

To ensure the optimal use of its grant funds for meeting the mental health research and service needs of Texans, the Hogg Foundation DOES NOT SUPPORT:

- Organizations or projects outside the state of Texas
- Indirect/administrative costs
- Capital improvements, construction or purchase costs
- Equipment purchases (e.g., computers, video equipment, vehicles, laboratory apparatus)
- Endowments
- General operating expenses
- Travel to professional meetings
- Dissertation or student research
- Fundraising campaigns
- For-profit agencies or organizations

PROJECT DURATION

SERVICE PROJECTS funded by the Hogg Foundation are expected to eventually become self-sustaining. While multi-year requests are accepted, the term of funding seldom exceeds five years and amounts in subsequent years usually decline significantly. The final decision for support beyond the first years is made only after successful completion of the previous year's work. In addition, from the onset, it is important that applicants have specific, realistic plans for the project's continuation once Hogg Foundation support is completed. Of equal importance is the grant seeker's commitment to the evaluation of the project. Appropriate plans for evaluation are a necessary part of any program's design.

RESEARCH PROJECTS are generally supported for one year, although multi-year requests are sometimes approved. Funding terms rarely exceed three years. Multiple, or supplemental, funding arrangements are encouraged, especially support from the principal investigator's own institution. A final decision on second- or third-year support is made only after successful completion of the previous year's work.

For Additional Information

For more information on the Hogg Foundation, or to find out more about the grant awards the Foundation has made in the past year, refer to the Hogg Foundation Web Page at **WWW.HOGG.LAC.UTEXAS.EDU**. Additional copies of the *Hogg Foundation Annual Report* and the *Guidelines for Grant Applications* are available from the Foundation's Publications Division by calling (512) 471-5041, or through email at: hogg.fdn@uts.cc.utexas.edu.

All letters of inquiry and formal proposals should be addressed to:

Dr. Charles M. Bonjean
Executive Director
Hogg Foundation for Mental Health
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Austin, Texas 78713



Hogg Foundation for Mental Health
The University of Texas at Austin