

## KEPLER-424 b: A “LONELY” HOT JUPITER THAT FOUND A COMPANION\*

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### ABSTRACT

Hot Jupiter systems provide unique observational constraints for migration models in multiple systems and binaries. We report on the discovery of the Kepler-424 (KOI-214) two-planet system, which consists of a transiting hot Jupiter (Kepler-424b) in a 3.31 day orbit accompanied by a more massive outer companion in an eccentric ( $e = 0.3$ ) 223 day orbit. The outer giant planet, Kepler-424c, is not detected transiting the host star. The masses of both planets and the orbital parameters for the second planet were determined using precise radial velocity (RV) measurements from the Hobby–Eberly Telescope (HET) and its High Resolution Spectrograph (HRS). In stark contrast to smaller planets, hot Jupiters are predominantly found to be lacking any nearby additional planets; they appear to be “lonely”. This might be a consequence of these systems having a highly dynamical past. The Kepler-424 planetary system has a hot Jupiter in a multiple system, similar to  $\nu$  Andromedae. We also present our results for Kepler-422 (KOI-22), Kepler-77 (KOI-127), Kepler-43 (KOI-135), and Kepler-423 (KOI-183). These results are based on spectroscopic data collected with the Nordic Optical Telescope (NOT), the Keck 1 telescope, and HET. For all systems, we rule out false positives based on various follow-up observations, confirming the planetary nature of these companions. We performed a comparison with planetary evolutionary models which indicate that these five hot Jupiters have heavy element contents between 20 and 120  $M_{\oplus}$ .

**Key words:** planetary systems – stars: individual (KOI-22, KOI-127, KOI-135, KOI-183, KOI-214, Kepler-43, Kepler-77) – techniques: radial velocities

**Online-only material:** color figures

### 1. INTRODUCTION

The *Kepler* mission (Borucki et al. 2010) was designed to determine for the first time the frequency of Earth-sized planets in the classical habitable zone of Sun-like stars. By combining quasi-continuous photometric monitoring of  $\sim 160,000$  stars in the *Kepler* search field with the high level of photometric precision obtained by *Kepler*, this mission also allows us an unprecedented statistical overview of the size distribution of exoplanets. It has been shown by Borucki et al. (2011) and Batalha et al. (2013) that the vast majority of planets that *Kepler* finds are small planets in the radius range from 1 to 4  $R_{\oplus}$  and that the hot Jupiters, gas giant planets with radii similar to Jupiter and with orbital periods of a few days, are a very small minority of the overall planet population in the Kepler field. Howard et al. (2012) estimate the frequency of hot Jupiter candidates in the *Kepler* search volume as  $0.5\% \pm 0.1\%$ . Interestingly, this is only about half the occurrence rate of this type of planets

derived from precise radial velocity (RV) surveys of the solar neighborhood. Wright et al. (2012) estimate a local hot Jupiter frequency of  $1.2\% \pm 0.38\%$  based on the Lick and Keck RV survey results.

An interesting aspect of hot Jupiter-class planets is their apparent lack of additional, nearby planetary companions. These Jupiters are “lonely” in the sense that searches in the *Kepler* photometry and using transit timing variations (TTVs) do not find any other nearby planets in these systems (Steffen et al. 2012). This is in stark contrast to smaller, lower-mass planets which are very often found in multi-planet systems (e.g., Latham et al. 2011; Rowe et al. 2014), and sometimes in mean-motion resonances.

One hypothesis for the formation of such systems is that the migration of the inner planet is caused by planet–planet scattering (e.g., Rasio & Ford 1996) rather than by disk-driven tidal migration (e.g., Goldreich & Tremaine 1980). The large eccentricity of the inner planet’s orbit, pumped up by a close encounter with a massive second giant planet in the system, is subsequently circularized by tidal forces at small separations to the host star and establishes the hot Jupiter orbit. The outer planet’s orbit remains eccentric since tidal

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interactions are too weak at these separations. However, the planet–planet scattering process might be quite violent and could potentially lead more often to the loss of the inner planet by falling into the star than by forming a hot Jupiter. Conversely, the strong planet–planet interactions could also lead to the ejection of the outer planet, leaving behind a single hot Jupiter planet. Observational footprints of planet–planet interactions include moderate to high eccentricities of the outer planet (if one exists), an inclined orbital plane of the inner planet with respect to the stellar spin axis, and significant non-zero mutual inclinations. Interestingly, McArthur et al. (2010) measured a mutual inclination of  $\sim 30^\circ$  for the orbits of  $\nu$  And c ( $P = 241$  days) and d ( $P = 1282$  days). While the inclination of  $\nu$  And b ( $P = 4.6$  days) remains unknown, this could indicate a very dynamical past for this system.

Moreover, hot Jupiter planets appeared to rarely have outer giant planets at longer periods detected by RVs (e.g., discussion in Bryan et al. 2012). This paucity of additional planets in hot Jupiter systems was usually interpreted as evidence for significant dynamical evolution in the past that cleared out all or most of the other (detectable) planets. Systems where a hot Jupiter is found in a multi-planet system (e.g., the  $\nu$  Andromedae system) are therefore ideal testbeds to search for evidence of dynamical evolution of the system.

Knutson et al. (2014) presented the first results of their RV survey of hot Jupiter systems to detect additional massive companions that could dynamically effect the close-in giant planets. They estimate a frequency of  $51\% \pm 10\%$  for high-mass companions (from giant planets to brown dwarfs) at larger orbital separations. They also did not find a difference in the occurrence rates of systems with misaligned or eccentric orbits and well-aligned and circular orbits.

In this paper, we present the data and their analysis performed by members of the *Kepler* team and follow-up observers at the McDonald Observatory (HET & 2.7 m telescope), La Palma (NOT), Mauna Kea (Keck I), and Kitt Peak (WIYN) for five *Kepler* objects of interest (KOIs): KOI-22, KOI-127, KOI-135, KOI-183, and KOI-214. We highlight the Kepler-424 (=KOI-214) system, a new two planet system where we have found a second (outer) giant planet in this system using precise RV measurements.

## 2. KEPLER PHOTOMETRY AND TRANSIT SIGNATURES

We analyzed 16 quarters (Q1–Q16) of highly precise *Kepler* photometry to determine the transit parameters. The long cadence data, with 30 minute sampling, was processed using the standard *Kepler* pipeline (Jenkins et al. 2010). The *Kepler* photometry is publicly available at the Mikulski Archive for Space Telescopes (MAST<sup>14</sup>) at the Space Telescope Science Institute.

These five targets were flagged early on in the *Kepler* mission as KOIs with transit periods of 7.89 days (KOI-22), 3.58 days (KOI-127), 3.02 days (KOI-135), 2.68 days (KOI-183), and 3.31 days (KOI-214). All transit signals were deep (5000–18,000 ppm), high signal-to-noise ratio (S/N) events typical of transits of giant planets. Basic parameters, like  $V$  magnitude, coordinates, and KeplerID numbers, are given in Table 1.

The data validation (DV) reports for each KOI include an analysis of the centroid fit to the pixel response function (PRF) and compare the centroids of in-transit to out-of-transit images.

**Table 1**  
Basic Parameters of the Target Stars

KOI	KepID	$K_p$ (mag)	$V$ (mag)	R.A. (2000)	Decl. (2000)
22	9631995	13.435	13.642	18:50:31.11	46:19:24.10
127	8359498	13.938	14.197	19:18:25.92	44:20:43.52
135	9818381	13.958	14.082	19:00:57.78	46:40:05.70
183	9651668	14.290	14.499	19:31:25.36	46:23:28.24
214	11046458	14.256	14.497	19:54:29.99	48:34:38.82

**Notes.** Kepler, KOI, and KeplerID numbers are given, along with *Kepler* magnitude  $K_p$ ,  $V$ -band magnitude, and coordinates.

A detailed description of the overall method can be found in Bryson et al. (2013). A significant shift of the centroids in the difference images can indicate a false positive, in particular, a false positive caused by unresolved background eclipsing binaries. However, this is not the only reason for a centroid shift, crowding of the field can also produce a significant offset during transit, as in the case of Kepler-15 (KOI-128) which has a measured centroid offset of 0.1 arcsec with a significance of  $6.5\sigma$  (see discussion in Endl et al. 2011).

For all five targets we have DV reports that cover multiple quarters (Q1–Q12). Three of the these targets have non-significant mean centroid offsets: KOI-127 ( $0.16\sigma$ ), KOI-135 ( $0.24\sigma$ ), and KOI-214 ( $0.85\sigma$ ). KOI-183 has a low significant centroid offset of  $2.3\sigma$  and KOI-22 just reaches the warning threshold of  $3\sigma$  by showing a multi-quarter offset of 0.0223 arcsec at a significance of  $3.09\sigma$ . KOI-22 is therefore a possible false positive that required additional high angular resolution imaging for validation (see Section 3.2). We note that KOI-22 also has the highest false positive probability (FPP), of 3%, of these five KOIs as estimated in Morton & Johnson (2011), with the remaining four all having an FPP of 1%. However, this is still below the 6% mean FPP of the 1235 KOIs considered in Morton & Johnson (2011). Santerne et al. (2012) estimated a relatively high general false positive rate of 35% for hot Jupiter KOIs, which means that in a sample of five we could expect one false positive. Extra care has to be taken to obtain all of the required follow-up observations to rule out a false positive scenario.

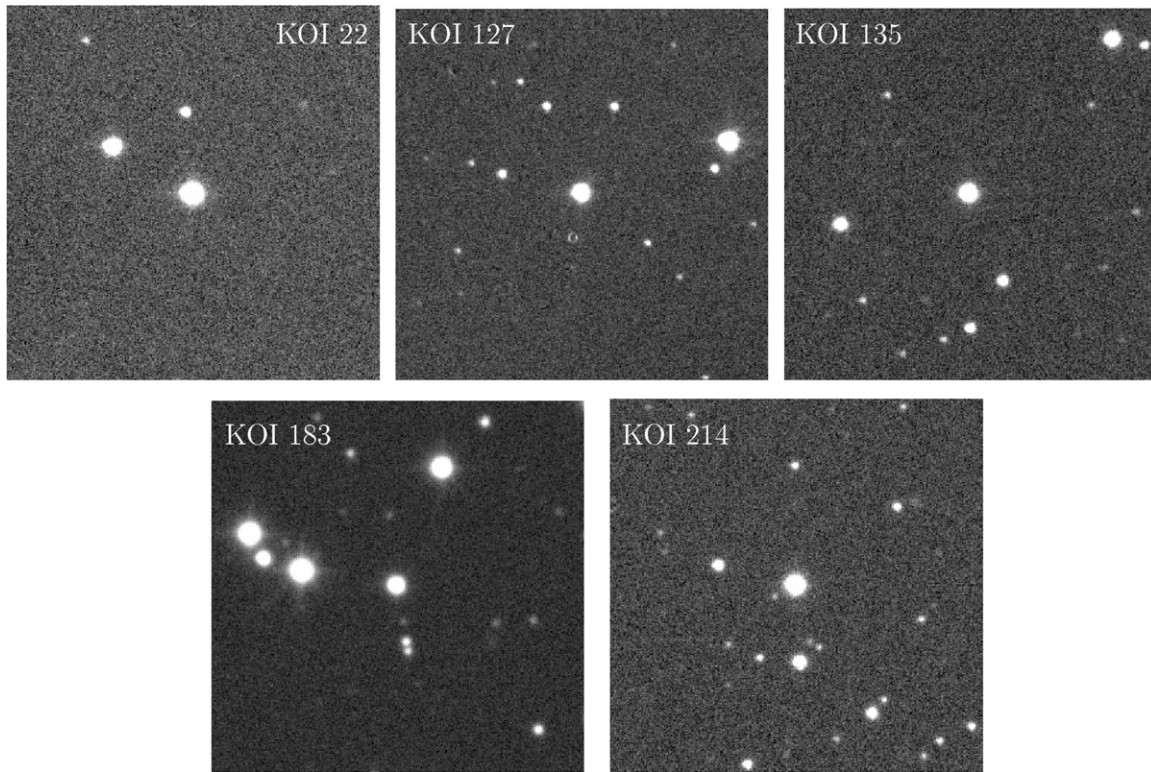
## 3. FOLLOW-UP OBSERVATIONS

### 3.1. Reconnaissance Spectroscopy

One of the first steps in the *Kepler* Follow-up Observing Program (FOP) for KOIs is the acquisition of reconnaissance spectra, which allow for a first spectroscopic determination of the  $T_{\text{eff}}$ ,  $\log g$ , and  $v \sin i$  of the host star. We usually take two spectra at opposite quadratures of the expected RV orbit (based on the transit ephemeris) to quickly rule out grazing eclipsing binaries as a source of false positives.

Reconnaissance spectra of the five KOIs were obtained with the Tull Cúde Spectrograph (Tull et al. 1995) at the Harlan J. Smith 2.7 m Telescope at McDonald Observatory, with the Fibre-fed Échelle Spectrograph (FIES) at the 2.5 m Nordic Optical Telescope (NOT) at La Palma, Spain (Djupvik & Andersen 2010), and with the Hamilton Spectrograph at Lick Observatory. For all five KOIs, the result of this initial reconnaissance showed that these objects are slowly rotating, single, solar-type stars that are suitable for continued follow-up observations with the goal of confirming the planet and determining its mass and density.

<sup>14</sup> [http://archive.stsci.edu/kepler/data\\_search/search.php](http://archive.stsci.edu/kepler/data_search/search.php)



**Figure 1.** UKIRT  $1 \times 1$  arcmin  $J$ -band images of the fields around the five systems. KOI is at the center of each image. North is up and east is left. None of the KOIs have a nearby contaminating star.

### 3.2. Imaging

Figure 1 shows the UKIRT  $J$ -band images of these five targets taken in 2009 July as part of the UKIRT survey of the *Kepler* field.<sup>15</sup> The images have FWHM of 0.75 to 1.0 arcsec. Sources down to  $J = 19$  mag (Vega system) are detected with near 100% completeness. The ring seen to the south of KOI-127 is an electronic cross-talk artifact caused by a very bright star located 2 arcmin to the west. Inspection of all images reveals a single star with no nearby bright contaminating stars.

For KOI-22, the possible false positive candidate from the DV report, we obtained speckle observations at the WIYN 3.5 m telescope on Kitt Peak using the same procedures as described in Howell et al. (2011). No companion (or background) star was revealed by these  $2.76 \times 2.76$  arcsec images down to a magnitude limit below the target star of 4.0 magnitudes in  $R$  and  $V$ . KOI-22 was also observed by Robo-AO (Law et al. 2013) and no new companions were detected within 2.5 arcsec. We also obtained  $J$ -band images for this KOI using the Palomar 200 inch telescope and its adaptive optics system. These images have a FWHM resolution of 0.1 arcsec and also do not show any additional star within 1 arcsec down to a level of 5 delta magnitudes and down to 7.5 delta magnitudes at 2 arcsec radial distance. Given that the KOI-22 transits are deep (11.29 mmag) and that both AO and speckle did not detect any nearby stars, we regard this target as a viable planet candidate.

### 3.3. Precise Radial Velocity Measurements

We obtained precise RV follow-up measurements for KOI-214 with the Hobby–Eberly Telescope (HET) and its HRS spectrograph (Tull 1998) at McDonald Observatory. We employed the same instrumental setup ( $R = 30,000$ ) and data

reduction pipeline as for Kepler-15 (Endl et al. 2011). From 2010 July to 2013 April, we observed KOI-214 30 times with the iodine cell for the RV determination and once without the cell to acquire a stellar “template” spectrum. Exposure times range from 20 to 40 minutes per spectrum. It quickly became obvious that the expected 3.31 day RV signal is modulated by a second, longer-period signal. Thanks to continued monitoring with the HET, we were able to characterize the second signal as due to a more massive, outer planet.

Radial velocity data for KOI-22 were taken with Keck/HIRES with a resolving power of  $R = 60,000$ , using an instrumental setup similar to the California Planet Search (e.g., Howard et al. 2010). The iodine cell setup was utilized to monitor real time instrumental variations relevant to measuring precise radial velocities. Exposures taken in 2010 and 2011 utilize a sky subtraction technique that improves RV precision by removing contaminating moonlight from the spectra. Exposures taken in 2009 do not have sky subtraction, and are less precise than those taken in spring 2010 onward. The HIRES data were reduced using the same methods as described in Batalha et al. (2011).

Spectroscopic observations of KOI-127 and KOI-135 were obtained using the fiber-fed Echelle Spectrograph (FIES) on the 2.5 m Nordic Optical Telescope (NOT). We acquired 10 spectra of KOI-127 between 2010 July 2 and October 16 and 14 FIES spectra of KOI-135 between 2010 July 5 and October 20. We used the medium- and the high-resolution fibers ( $1''/3$  projected diameter) with resolving powers of  $\lambda/\Delta\lambda \approx 46,000$  and 67,000, respectively, giving a wavelength coverage of  $\sim 3600\text{--}7400$  Å. We used the wavelength range from approximately  $\sim 3900\text{--}5800$  Å to determine the radial velocities. The exposure time was between 45 and 60 minutes, yielding an average S/N per resolution element of 32 and 36, respectively, near

<sup>15</sup> <http://keplergo.arc.nasa.gov/ToolsUKIRT.shtml>



**Table 2**  
Precise Radial Velocity Measurements of Kepler-424 (KOI-214)

BJD (days)	dRV (m s <sup>-1</sup> )	Error (m s <sup>-1</sup> )	Instrument
2455390.7061	-11651.2	46.0	HRS/HET
2455398.6922	-11817.6	22.2	HRS/HET
2455399.6872	-11941.0	24.2	HRS/HET
2455400.8879	-11678.4	24.0	HRS/HET
2455405.6585	-11965.6	50.5	HRS/HET
2455408.9012	-12021.4	25.1	HRS/HET
2455409.8791	-12013.0	29.3	HRS/HET
2455476.7011	-11925.9	39.7	HRS/HET
2455502.6109	-11960.1	51.3	HRS/HET
2455515.5966	-11965.6	29.4	HRS/HET
2455518.5628	-11992.1	51.2	HRS/HET
2455674.9104	-12097.2	80.0	HRS/HET
2455677.9101	-12183.7	74.5	HRS/HET
2455689.8917	-11948.8	38.5	HRS/HET
2455710.8406	-12120.4	44.0	HRS/HET
2455718.8050	-11820.5	30.6	HRS/HET
2455729.7733	-11903.3	26.8	HRS/HET
2455734.7532	-11877.7	19.5	HRS/HET
2455739.7648	-11902.4	24.0	HRS/HET
2455745.7485	-11704.1	23.7	HRS/HET
2455883.5846	-12142.2	49.3	HRS/HET
2455884.5760	-11959.3	25.8	HRS/HET
2455886.5792	-12240.8	25.3	HRS/HET
2455887.5533	-12004.1	26.5	HRS/HET
2455889.5618	-12230.1	30.4	HRS/HET
2456033.9355	-11507.4	30.8	HRS/HET
2456043.9233	-11456.5	36.4	HRS/HET

**Table 3**  
Precise Radial Velocity Measurements of Kepler-422 (KOI-22)

BJD (days)	dRV (m s <sup>-1</sup> )	Error (m s <sup>-1</sup> )	Instrument
2455014.9026	13.9	17.7	Keck/HIRES
2455017.0576	15.8	17.7	Keck/HIRES
2455041.9829	-22.4	18.2	Keck/HIRES
2455042.8107	-30.7	18.0	Keck/HIRES
2455044.0113	-54.7	18.2	Keck/HIRES
2455045.0133	-27.9	18.0	Keck/HIRES
2455048.8795	85.8	18.8	Keck/HIRES
2455074.8224	-20.0	17.4	Keck/HIRES
2455075.8558	-6.9	17.4	Keck/HIRES
2455078.8808	108.6	17.6	Keck/HIRES
2455084.8208	-34.0	17.4	Keck/HIRES
2455437.8116	-28.2	4.0	Keck/HIRES
2455465.8030	18.6	4.0	Keck/HIRES
2455789.8189	20.2	3.6	Keck/HIRES
2455792.9381	-37.2	3.8	Keck/HIRES

the MgB triplet. The procedures used to reduce the FIES spectra and extract the radial velocities are described in Buchhave et al. (2010).

We also obtained additional precise RV measurements with HET/HRS for KOI-127 and KOI-183. From 2010 August to 2011 April, we collected 9 spectra for KOI-127 with exposure times ranging from 20 to 30 minutes. KOI-183 was observed 16 times from 2010 July to 2012 June with exposure times between 20 and 40 minutes.

Tables 2–6 list all of the precise RV data for the five KOIs acquired with the three different telescopes and spectrographs. These measurements are purely differential, and not absolute RV measurements. The data for KOI-22, KOI-127, KOI-135,

**Table 4**  
Precise Radial Velocity Measurements of Kepler-77 (KOI-127)

BJD (days)	dRV (m s <sup>-1</sup> )	Error (m s <sup>-1</sup> )	Instrument
2455432.7847	-43.2	20.8	HET/HRS
2455442.7527	27.5	6.4	HET/HRS
2455476.6646	-86.6	35.3	HET/HRS
2455481.6440	74.9	19.0	HET/HRS
2455483.6388	-73.9	26.7	HET/HRS
2455507.5791	-41.9	31.1	HET/HRS
2455508.5783	-69.6	21.7	HET/HRS
2455512.5673	-17.6	22.7	HET/HRS
2455674.8853	102.3	35.5	HET/HRS
2455379.6416	-44.9	15.1	NOT/FIES
2455380.5392	105.6	24.6	NOT/FIES
2455382.5104	-8.0	18.0	NOT/FIES
2455383.6729	-22.4	18.4	NOT/FIES
2455384.5210	118.3	17.5	NOT/FIES
2455428.3972	91.8	16.6	NOT/FIES
2455429.4562	-24.6	24.7	NOT/FIES
2455431.3953	119.5	17.2	NOT/FIES
2455480.4322	21.0	21.4	NOT/FIES
2455486.4220	0.0	15.1	NOT/FIES

**Table 5**  
Precise Radial Velocity Measurements of Kepler-43 (KOI-135)

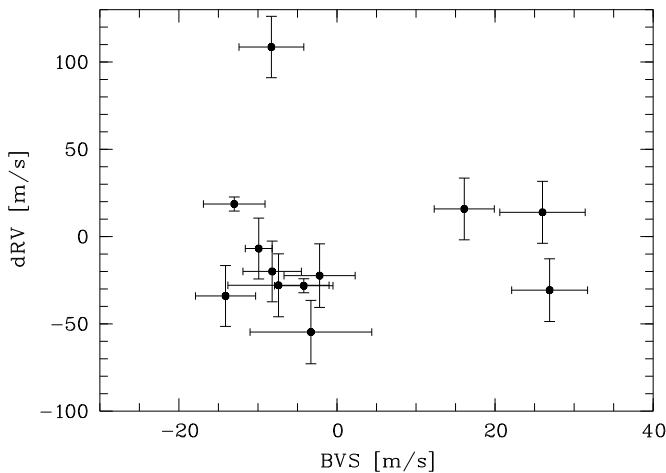
BJD (days)	dRV (m s <sup>-1</sup> )	Error (m s <sup>-1</sup> )	Instrument
2455382.6432	-3.3	14.7	NOT/FIES
2455383.6244	-493.3	13.0	NOT/FIES
2455384.6123	97.8	12.9	NOT/FIES
2455424.5139	223.4	15.8	NOT/FIES
2455425.6033	-502.4	14.9	NOT/FIES
2455426.4740	-221.5	13.3	NOT/FIES
2455427.4796	185.7	18.9	NOT/FIES
2455428.4842	-417.0	19.3	NOT/FIES
2455430.4280	165.6	21.7	NOT/FIES
2455480.4818	-471.4	23.0	NOT/FIES
2455482.3520	0.4	14.5	NOT/FIES
2455486.4697	-522.0	15.8	NOT/FIES
2455488.4282	-37.7	27.1	NOT/FIES
2455490.3694	79.6	20.8	NOT/FIES

and KOI-183 all show Keplerian motion consistent in phase with a single transiting giant planet. This further strengthens the case that KOI-22, with a centroid offset of  $3.09\sigma$ , is not a false positive. Nevertheless, we also performed a line bisector analysis for KOI-22 using the HIRES spectra and searched for a correlation of the bisectors with the RV data (Figure 2). The linear correlation coefficient is  $-0.014$  and the probability of a zero-correlation is 96.5%. The total evidence that the deep transit signal seen for KOI-22 is due to a planet now includes (1) a lack of nearby stars detected by AO and speckle imaging, (2) the precise RV data in amplitude and phase consistent with a planetary signal, and (3) the lack of gross line bisector variability or correlation with the photometric phase. We therefore conclude that KOI-22.01 is confirmed as a transiting hot Jupiter, Kepler-422b, and KOI-183.01 is confirmed as Kepler-423 b. KOI-127.01 and KOI-135.01 were previously confirmed as Kepler-77b and Kepler-43b by Gandolfi et al. (2013) and Bonomo et al. (2012).

For the KOI-214 RV data, we used a genetic algorithm to explore the parameter space for a two planet system. We fixed the period of the inner planet to the transit period and phase,

**Table 6**  
Precise Radial Velocity Measurements of Kepler-423 (KOI-183)

BJD (days)	dRV (m s <sup>-1</sup> )	Error (m s <sup>-1</sup> )	Instrument
2455398.6748	30.5	43.5	HET/HRS
2455400.8711	146.0	16.4	HET/HRS
2455405.8708	83.0	26.6	HET/HRS
2455448.7528	100.9	15.6	HET/HRS
2455451.7393	47.4	46.5	HET/HRS
2455452.7287	-60.7	11.7	HET/HRS
2455476.6827	-67.6	34.8	HET/HRS
2455487.6378	-106.9	13.2	HET/HRS
2455511.5646	-66.3	26.5	HET/HRS
2455513.5558	52.1	20.0	HET/HRS
2455515.5707	121.7	21.5	HET/HRS
2455520.5452	-40.2	14.4	HET/HRS
2455521.5509	98.6	29.8	HET/HRS
2456088.7878	-71.9	29.2	HET/HRS
2456104.7149	0.8	18.9	HET/HRS
2456219.6414	80.0	9.1	HET/HRS

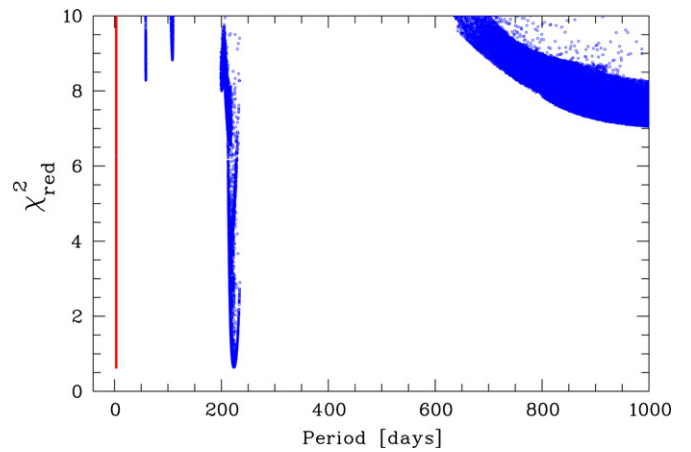


**Figure 2.** Correlation of line bisector velocity span (BVS) measurements and RV results for Kepler-422 (KOI-22), based on the Keck/HIRES spectra. The probability that these two quantities are uncorrelated is 96.5%.

and assumed zero eccentricity for the hot Jupiter while letting all orbital parameters vary freely for the second companion. We performed 80,000 iterations of the genetic algorithm. In each iteration, the starting parameters of the model are randomly selected (except for the parameters that are fixed for planet b) and then allowed to “evolve” until a local  $\chi^2$  minimum is reached. By using a large number of iterations, we can map the  $\chi^2$  surface for any given system without being trapped in local minima. Figure 3 displays the results in the  $\chi^2$ -period plane for all solutions with  $\chi^2_{\text{red}} \leq 10$  and  $P_{\text{planet c}} \leq 1000$  days. We find a clear global  $\chi^2$  minimum around a period of 220 days for the second planet. All solutions close to this  $\chi^2$  minimum have a moderate eccentricity of 0.3–0.35. We confirm these results later with a simultaneous Markov Chain Monte Carlo (MCMC) analysis of the KOI-214 photometry and RV measurements (see Section 4). KOI-214.01 is now confirmed as Kepler-424b. A search in the *Kepler* photometry for transits of the second planet, Kepler-424c, was unsuccessful.

### 3.4. Host Star Characterization

Precise stellar parameters for the five KOI host stars were derived using SME (Valenti & Piskunov 1996), SPC (Buchhave



**Figure 3.** Genetic algorithm results for the Kepler-424 RV data using a two planet model. The  $\chi^2_{\text{red}}$  values of 80,000 different two planet models are shown as a function of the orbital period. There is a clear minimum at a period of  $P \sim 220$  days for the second planet. Period values for the second planet are displayed as blue circles, while the hot Jupiter is shown as small, red boxes (fixed at the 3.31 day transit period).

(A color version of this figure is available in the online journal.)

et al. 2012), or MOOG (Snedden 1973) on high S/N spectra that do not contain any iodine lines (in the case of HRS and HIRES). Table 7 summarizes the parameters we determined for the five KOI host stars. For KOI-214, we used all three tools on Keck/HIRES and HET/HRS spectra. The reported values for KOI-214 represent the mean and standard deviation from these three different approaches. All five KOIs are solar-type stars with near solar temperatures and surface gravities, and super-solar metallicities ranging from  $[\text{Fe}/\text{H}] = +0.23$  to  $+0.44$  dex, as expected from the giant-planet/metallicity relationships from Fischer & Valenti (2005) and Santos et al. (2005).

## 4. MODELING OF PHOTOMETRY AND RV DATA

We modeled the light curve and RV measurements simultaneously with a full Keplerian model (Rowe et al. 2014). The transit shapes were described by an analytic limb-darkened transit using a quadratic limb darkening law. The parameters used in the fit were the mean stellar density, two limb darkening parameters, the orbital period of the planet, the mid-point time of the transit, the impact parameter of the planet at mid-transit, the planet-to-star radius ratio, two parameters controlling the eccentricity,  $e \sin \omega$  and  $e \cos \omega$  where  $e$  is the eccentricity and  $\omega$  is the argument of periastron, and the radial velocity semi-amplitude ( $K$ ). Two additional noise parameters (one for the photometry and one “jitter” parameter for the RVs) were included and added in quadrature with the formal uncertainty to account for any limitation in the model such as additional stellar variability. Finally, we also included two nuisance parameters to account for photometric and RV offset from zero (the RV parameter is often known as  $\gamma$ ). In the case of Kepler-424, for the non-transiting planet, we set the planet radius to zero and the impact parameter to zero which enabled us to simultaneously model both planets in the RV model but have only the transiting planet impact the light curve model.

The likelihood of the data was calculated assuming a Gaussian function and we included priors on the limb darkening and stellar density based on the spectroscopically derived stellar parameters. We additionally included a prior on the eccentricity of  $e^{-1}$  which accounts for a bias induced by parameterizing in terms of the eccentricity vectors (Eastman et al. 2013). The

**Table 7**  
Spectroscopic Stellar Parameters of the Five Target Stars

KOI	$T_{\text{eff}}$ (K)	$\log g$	$v \sin i$ (km s $^{-1}$ )	[Fe/H]	[M/H]	Notes
22	5972 $\pm$ 84	4.50 $\pm$ 0.1	2.2 $\pm$ 1.3	0.23 $\pm$ 0.09	...	SME
127	5668 $\pm$ 77	4.53 $\pm$ 0.1	1.8 $\pm$ 1.0	...	0.43 $\pm$ 0.1	SPC
135	6019 $\pm$ 82	4.54 $\pm$ 0.1	4.9 $\pm$ 1.0	...	0.43 $\pm$ 0.1	SPC
183	5790 $\pm$ 116	4.57 $\pm$ 0.12	...	0.26 $\pm$ 0.12	...	MOOG
214	5460 $\pm$ 81	4.49 $\pm$ 0.05	0.5 $\pm$ 1.0	0.44 $\pm$ 0.14	...	MOOG/SPC/SME

**Notes.** Note that, following Torres et al. (2012), we added in quadrature additional uncertainties of 59 K in  $T_{\text{eff}}$ , 0.062 dex in [Fe/H], and 0.85 km s $^{-1}$  in  $v \sin i$  to account for systematic uncertainties.

probability of each realization of the model is the product of the likelihood and the priors.

We integrated the probability space using the emcee (Foreman-Mackey et al. 2013) implementation of an affine invariant sampler (Goodman & Weare 2010) which we ran with 500 chains and 20,000 steps of each chain (the procedure is similar to that described in Barclay et al. 2013). The chains were all well mixed and converged upon a consistent distribution in each model parameter.

Host star radii and masses were determined by fitting the spectroscopic temperatures and metallicities (Table 7) combined with the stellar density derived from the transit model to stellar interior models. We used two sets of models: evolutionary tracks from the BaSTI database (Pietrinferni et al. 2004) interpolated to a step size of 0.01  $M_{\odot}$  in mass and 0.02 dex in [Fe/H], and isochrones from the Dartmouth database (Dotter et al. 2008) interpolated to a step size of 0.5 Gyr in age and 0.02 dex in [Fe/H]. For BaSTI, we used canonical models (no convective-core overshooting) and for both BaSTI and Dartmouth, solar-scaled  $\alpha$  abundances were adopted. For both sets of models, the best-fit parameters and uncertainties were derived through Monte-Carlo simulations as described in Huber et al. (2013). The resulting radii and masses agreed within the formal  $1\sigma$  uncertainties in all cases. Since the Dartmouth grid extended to higher metallicities (+0.56 dex), we adopted the Dartmouth stellar properties as our final values, and added in quadrature the difference to the BaSTI solutions to the formal uncertainties of the Dartmouth solutions.

The resulting planetary, orbital, and host star parameters and their uncertainties are summarized in Table 8 (for the Kepler-424 two-planet system), Table 9 (Kepler-422), Table 10 (Kepler-77), Table 11 (Kepler-43), and Table 12 (Kepler-423). The phase-folded *Kepler* photometry with the best-fit transit model and the RV data, along with the best-fit Keplerian orbital solutions, are displayed in Figure 4 (Kepler-424), Figure 5 (Kepler-422 & Kepler-77), and Figure 6 (Kepler-43 & Kepler-423). Except for the case of Kepler-424, we did not find any indication of additional companions in the RV residuals, primarily due to the small data quantities. We performed a period search in the RV residuals from the two planet model for Kepler-424 (where we have 30 measurements) and did not find any significant periodicities. Figure 7 displays the time series of the RV data along with the two planet solution and the residuals from this orbit.

## 5. PLANETARY EVOLUTION MODELS

With masses between 0.43  $M_{\text{Jup}}$  and 3.03  $M_{\text{Jup}}$ , and equilibrium temperature above 1100 K but less than 1600 K (time-averaged over the orbit), these planets are irradiated giant plan-

**Table 8**  
Parameters of the Kepler-424 (KOI-214) Planetary System

Parameter (unit)	Median	+1 $\sigma$	−1 $\sigma$	Notes
$M_{\star}$ ( $M_{\odot}$ )	1.01	+0.054	−0.054	Isochrone fit
$R_{\star}$ ( $R_{\odot}$ )	0.94	+0.056	−0.056	Isochrone fit
$\log(g)$	4.50	+0.05	−0.05	Isochrone fit
$L_{\star}$ ( $L_{\odot}$ )	0.71	+0.11	−0.11	Isochrone fit
$\rho_{\star}$ (g cm $^{-3}$ )	1.73	+0.29	−0.29	Isochrone fit
$\rho_{\star}$ (g cm $^{-3}$ )	1.74	+0.44	−0.34	Transit model
Planet b				
$P$ (days)	3.3118644	$3.9 \times 10^{-7}$	$-3.9 \times 10^{-7}$	
$T_0$ (BJD)	2454964.7427	+0.00023	−0.00017	
$b$	0.934	+0.065	−0.053	
$R_{\text{planet}}/R_{\star}$	0.0961	+0.0065	−0.0033	
$R$ ( $R_{\text{Jup}}$ )	0.89	+0.08	−0.06	
$K$ (m s $^{-1}$ )	140.0	+12.0	−13.0	
$e \cos \omega$	0.001	+0.043	−0.029	
$e \sin \omega$	0.002	+0.061	−0.066	
$M$ ( $M_{\text{Jup}}$ )	1.03	+0.13	−0.13	
$a$ (AU)	0.044	+0.005	−0.004	
$\rho$ (g cm $^{-3}$ )	1.94	+0.25	−0.25	
Planet c				
$P$ (days)	223.3	+2.1	−2.1	
$T_0$ (BJD)	2455403.4	+2.1	−2.0	
$K$ (m s $^{-1}$ )	246.0	+17.0	−17.0	
$e \cos \omega$	0.018	+0.052	−0.052	
$e \sin \omega$	0.318	+0.057	−0.061	
$M \sin i$ ( $M_{\text{Jup}}$ )	6.97	+0.62	−0.62	
$a$ (AU)	0.73	+0.08	−0.07	
$\text{RV}_{\text{jitter}}$ (m s $^{-1}$ )	8.0	+13.0	−6.0	

ets. We used CEPAM (Guillot & Morel 1995; Guillot 2010) to build a proper grid of planetary evolution models for each planet (based on their mass, age, equilibrium temperature, and unknown heavy elements content). For consistency with previous studies (e.g., Miller & Fortney 2011; Almenara et al. 2013; Deleuil et al. 2014), models have been calculated in two cases: (1) a “standard” case, for which the planet is assumed to be made of a central rocky core surrounded by a solar-composition envelope; and (2) a “dissipated-energy” model in which, in addition to the standard case, a fraction (1%) of the incoming stellar flux is assumed to be dissipated in the deep layers of the planet (for detailed discussions on possible physical mechanisms, see, e.g., Guillot & Showman 2002; Spiegel & Burrows 2013; Batygin et al. 2011; Leconte & Chabrier 2012). In every case, we do not know whether the heavy elements are concentrated in a core, dispersed in the envelope, or a mix of both. However, as Baraffe et al. (2008) have shown, dispersing heavy elements in the

**Table 9**  
Parameters of the Kepler-422 (KOI-22) Transiting System

Parameter (unit)	Median	+1 $\sigma$	-1 $\sigma$	Notes
$M_*$ ( $M_\odot$ )	1.15	+0.06	-0.06	Isochrone fit
$R_*$ ( $R_\odot$ )	1.24	+0.12	-0.12	Isochrone fit
$\log(g)$	4.31	+0.073	-0.073	Isochrone fit
$L_*$ ( $L_\odot$ )	1.75	+0.37	-0.37	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	0.86	+0.22	-0.22	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	0.87	+0.22	-0.22	Transit model
Planet				
$P$ (days)	7.8914483	$+5.0 \times 10^{-7}$	$-5.1 \times 10^{-7}$	
$T_0$ (BJD)	2455010.25005	+0.00011	$-9.4 \times 10^{-5}$	
$b$	0.416	+0.045	-0.045	
$R_{\text{planet}}/R_*$	0.0957	+0.00048	-0.00055	
$R$ ( $R_{\text{Jup}}$ )	1.15	+0.11	-0.11	
$K$ ( $\text{m s}^{-1}$ )	40.0	+11.0	-10.0	
$e \cos \omega$	0.013	+0.096	-0.063	
$e \sin \omega$	-0.009	+0.07	-0.096	
$M$ ( $M_{\text{Jup}}$ )	0.43	+0.13	-0.13	
$a$ (AU)	0.082	+0.011	-0.010	
$\rho$ ( $\text{g cm}^{-3}$ )	0.38	+0.11	-0.11	
$\text{RV}_{\text{jitter}}$ ( $\text{m s}^{-1}$ )	26.8	+9.4	-7.0	

**Table 10**  
Parameters of the Kepler-77 (KOI-127) Transiting System

Parameter (unit)	Median	+1 $\sigma$	-1 $\sigma$	Notes
$M_*$ ( $M_\odot$ )	1.08	+0.034	-0.034	Isochrone fit
$R_*$ ( $R_\odot$ )	0.99	+0.053	-0.053	Isochrone fit
$\log(g)$	4.48	+0.036	-0.036	Isochrone fit
$L_*$ ( $L_\odot$ )	0.90	+0.13	-0.13	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	1.6	+0.22	-0.22	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	2.7	+1.05	-1.05	Transit model
Planet				
$P$ (days)	3.5787806	$+1.6 \times 10^{-7}$	$-1.6 \times 10^{-7}$	
$T_0$ (BJD)	2454967.0304	$+4.4 \times 10^{-5}$	$-4.3 \times 10^{-5}$	
$b$	0.291	+0.05	-0.05	
$R_{\text{planet}}/R_*$	0.0997	+0.00069	-0.00075	
$R$ ( $R_{\text{Jup}}$ )	0.96	+0.05	-0.05	
$K$ ( $\text{m s}^{-1}$ )	89.0	+11.0	-10.0	
$e \cos \omega$	-0.030	+0.034	-0.027	
$e \sin \omega$	0.23	+0.15	-0.10	
$M$ ( $M_{\text{Jup}}$ )	0.71	+0.10	-0.10	
$a$ (AU)	0.047	+0.007	-0.008	
$\rho$ ( $\text{g cm}^{-3}$ )	1.07	+0.15	-0.15	
$\text{RV}_{\text{jitter}}$ ( $\text{m s}^{-1}$ )	17.6	+8.0	-6.1	

envelope will tend to produce, at a given age, a smaller planet compared to a core-only model. Hence, our models should provide a lower-limit for the total mass of elements heavier than helium. Since the absolute planetary parameters fully depend on those of the parent star, and both are model-dependent, we combined stellar (PARSEC; Bressan et al. 2012) and planetary evolution models using SET (Stars and Exoplanets modeling Tools; Guillot & Havel 2011; Havel et al. 2011). Using only SET's statistical algorithm and the observed values, we thus obtain posterior probability distributions of the bulk composition of the planet (i.e., its core mass), as well as independent results for the fundamental parameters of both the star and planet, the latter being entirely consistent with those presented in Section 4 and Tables 8–12. The results are presented in terms of planetary radii as a function of age in Figures 8–12: the 68.3%, 95.5%,

**Table 11**  
Parameters of the Kepler-43 (KOI-135) Transiting System

Parameter (unit)	Median	+1 $\sigma$	-1 $\sigma$	Notes
$M_*$ ( $M_\odot$ )	1.23	+0.04	-0.04	Isochrone fit
$R_*$ ( $R_\odot$ )	1.34	+0.055	-0.055	Isochrone fit
$\log(g)$	4.27	+0.029	-0.029	Isochrone fit
$L_*$ ( $L_\odot$ )	2.12	+0.22	-0.22	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	0.71	+0.078	-0.078	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	0.74	+0.067	-0.067	Transit model
Planet				
$P$ (days)	3.0240922	$+1.6 \times 10^{-7}$	$-1.6 \times 10^{-7}$	
$T_0$ (BJD)	2454965.4169	$+6.7 \times 10^{-5}$	$-7.5 \times 10^{-5}$	
$b$	0.631	+0.025	-0.027	
$R_{\text{planet}}/R_*$	0.0862	+0.00048	-0.00058	
$R$ ( $R_{\text{Jup}}$ )	1.12	+0.047	-0.047	
$K$ ( $\text{m s}^{-1}$ )	372.0	+12.0	-13.0	
$e \cos \omega$	-0.005	+0.011	-0.017	
$e \sin \omega$	0.005	+0.033	-0.017	
$M$ ( $M_{\text{Jup}}$ )	3.03	+0.18	-0.18	
$a$ (AU)	0.044	+0.002	-0.002	
$\rho$ ( $\text{g cm}^{-3}$ )	2.87	+0.17	-0.17	
$\text{RV}_{\text{jitter}}$ ( $\text{m s}^{-1}$ )	30.0	+11.0	-8.0	

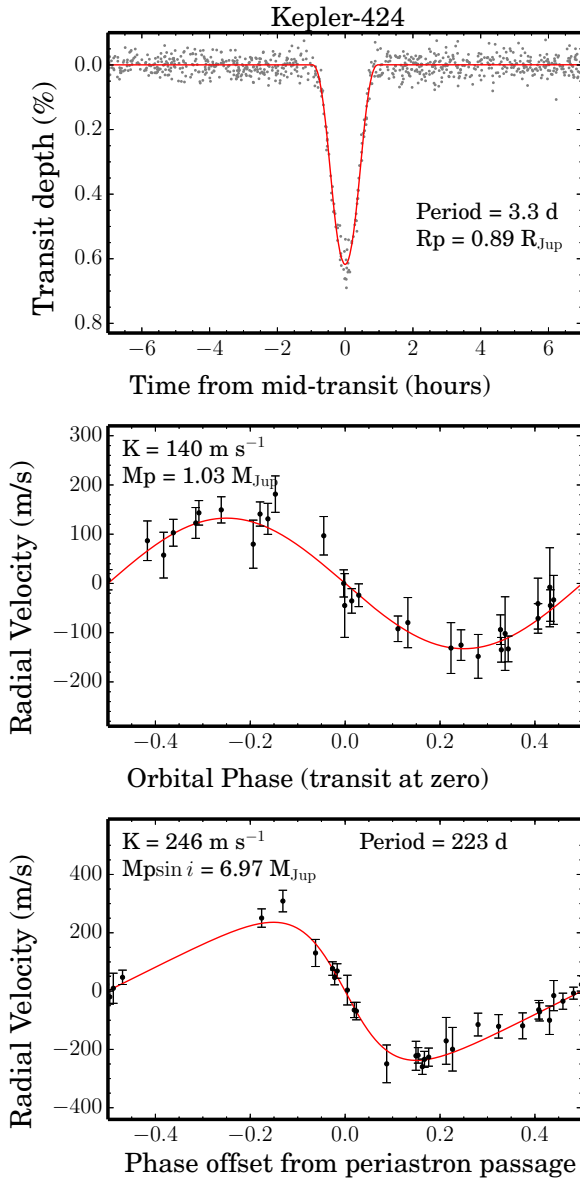
**Table 12**  
Parameters of the Kepler-423 (KOI-183) Transiting System

Parameter (unit)	Median	+1 $\sigma$	-1 $\sigma$	Notes
$M_*$ ( $M_\odot$ )	1.07	+0.05	-0.05	Isochrone fit
$R_*$ ( $R_\odot$ )	0.99	+0.054	-0.054	Isochrone fit
$\log(g)$	4.48	+0.04	-0.04	Isochrone fit
$L_*$ ( $L_\odot$ )	0.96	+0.16	-0.16	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	1.58	+0.22	-0.22	Isochrone fit
$\rho_*$ ( $\text{g cm}^{-3}$ )	1.65	+0.36	-0.21	Transit model
Planet				
$P$ (days)	2.68432848	$+8.2 \times 10^{-8}$	$-8.2 \times 10^{-8}$	
$T_0$ (BJD)	2454966.3548	$+2.6 \times 10^{-5}$	$-2.7 \times 10^{-5}$	
$b$	0.11	+0.10	-0.08	
$R_{\text{planet}}/R_*$	0.1242	+0.00089	-0.00037	
$R$ ( $R_{\text{Jup}}$ )	1.20	+0.065	-0.065	
$K$ ( $\text{m s}^{-1}$ )	101.0	+13.0	-14.0	
$e \cos \omega$	-0.002	+0.039	-0.048	
$e \sin \omega$	-0.010	+0.043	-0.068	
$M$ ( $M_{\text{Jup}}$ )	0.72	+0.12	-0.12	
$a$ (AU)	0.0396	+0.003	-0.003	
$\rho$ ( $\text{g cm}^{-3}$ )	0.55	+0.09	-0.09	
$\text{RV}_{\text{jitter}}$ ( $\text{m s}^{-1}$ )	30.0	+11.0	-8.0	

and 99.7% confidence regions from the modeling of the star and transit are shown as black, dark gray, and light gray areas, respectively, while the lines show a subset of planetary models for the nominal mass and equilibrium temperature of the planet at different compositions as labeled. With a radius of  $1.15 R_{\text{Jup}}$  and a mass of  $0.43 M_{\text{Jup}}$ , Kepler-422b is a slightly inflated Saturn-like planet for which the core mass is estimated to be  $19^{+20}_{-19} M_\oplus$ ,<sup>16</sup> or, stated alternatively, has a heavy elements mass fraction,  $Z$ , of  $0.14^{+0.15}_{-0.14}$ . As Figure 8 shows, models without dissipation only cover the lower half of the 68.3% confidence region. Although coreless models are possible solutions, Kepler-422b more likely has a significant amount of heavy elements because of the high

<sup>16</sup> Results from independent one-dimensional posterior distributions.





**Figure 4.** Phase-folded *Kepler* photometry of Kepler-424 (KOI-214) along with the best-fit transit model from the MCMC analysis (top panel). The HET RV data and the best-fit Keplerian orbital solution are shown for planet b in the middle panel, and for planet c in the bottom panel (in both cases, the orbit due to the other planet is subtracted). The RV uncertainties include an additional  $RV_{\text{jitter}}$  of  $6.6 \text{ m s}^{-1}$  from the highest probability model from the MCMC analysis. (A color version of this figure is available in the online journal.)

metallicity of the parent star (e.g., Guillot et al. 2006; Guillot 2008; Miller & Fortney 2011). Kepler-77b, with a mass of  $0.70 M_{\text{Jup}}$  and a radius of  $0.96 R_{\text{Jup}}$ , is a Jupiter-like planet on a slightly eccentric orbit ( $e \sim 0.23$ ). Its analysis results in a core mass of  $48^{+32}_{-44} M_{\oplus}$  or, equivalently,  $Z = 0.22^{+0.14}_{-0.20}$ . Given the age of the system ( $2.4^{+2.4}_{-1.6}$  Ga) and the short period (3.6 days), it is surprising that this planet's orbit was able to maintain such a moderate non-zero eccentricity. Interestingly, Kepler-423b, despite being a planet of similar mass ( $0.72 M_{\text{Jup}}$ ) around an almost twin star (although much less metallic than Kepler-77b), is the most inflated planet among these five objects:  $1.198 R_{\text{Jup}}$ . This is due to the fact the planet is orbiting closer to its host star (2.7 days versus 3.6 days), and therefore has a higher equilibrium temperature (1400 K versus 1260 K). Thus, it is no surprise that Figure 11 shows that standard models can-

not explain all of the probable radii solutions (within the 68.3% confidence region): the planet does have a significant positive radius anomaly (see, e.g., Laughlin et al. 2011). Its inferred core mass is  $25^{+15}_{-10} M_{\oplus}$  ( $Z = 0.11^{+0.06}_{-0.07}$ ), although a planet with no core is still possible (but unlikely due to the metallicity correlation between host stars and giant planet companions). Then, there is Kepler-424b, a hot Jupiter of mass  $1.03 M_{\text{Jup}}$  and radius  $0.886 R_{\text{Jup}}$ . Its derived core mass is  $119^{+35}_{-27} M_{\oplus}$  ( $Z = 0.36^{+0.11}_{-0.08}$ ). The system also has a second giant planet, which is not transiting, and therefore we cannot obtain refined estimates on the bulk composition of Kepler-424b as in the case of Kepler-9 (Havel et al. 2011). Regardless, Kepler-424b appears to require a large fraction of heavy elements (as compared to Jupiter) in order to explain its observed radius. Finally, the most massive planet of the sample, Kepler-43b ( $3.03 M_{\text{Jup}}$  and  $1.124 R_{\text{Jup}}$ ), has a core mass of  $74^{+83}_{-32} M_{\oplus}$  ( $Z = 0.08^{+0.08}_{-0.04}$ ). Compared to the other planets in this paper, Kepler-43b really is the most inflated planet (not in absolute size, but relative to its mass). In fact, it has the highest equilibrium temperature of the five planets (1600 K) and, more notably, has the best age constraint (due to a more precise stellar density measurement from the transit). Therefore, the five transiting planets presented in this paper have heavy element contents ranging from 20 to  $120 M_{\oplus}$ . At least three of them may be coreless (Kepler-422b, Kepler-43b, Kepler-423b; Kepler-77b is on the edge), and only one definitely requires a non-zero metallicity (Kepler-424b, part of a multiple system). Finally, errors on the given core masses may be larger due to non-considered uncertainties in the physics of the planetary models (equation of state, opacities, dissipation, etc.; see, e.g., Vazan et al. 2013; Militzer & Hubbard 2013; Spiegel & Burrows 2013), which could increase the modeled radii by up to  $\sim 50\%$ , and therefore would result in larger (heavier) cores.

## 6. DISCUSSION

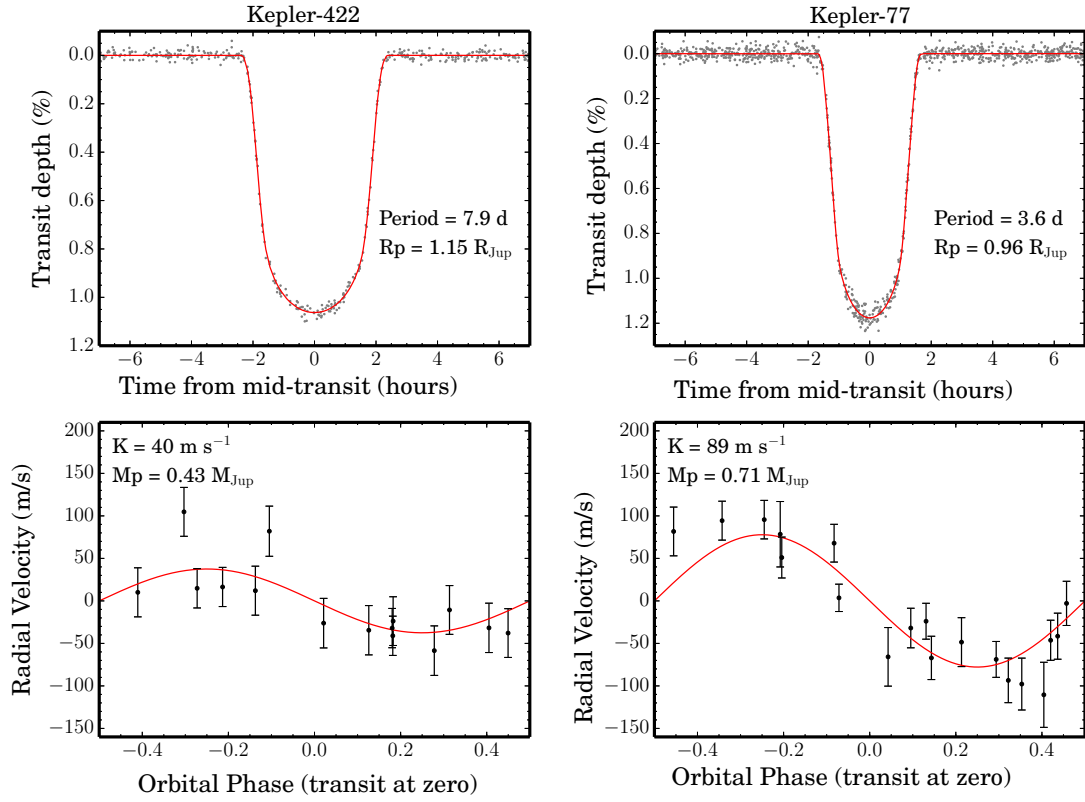
Two of the hot Jupiters presented here, KOI-127.01 and KOI-135.01, have been confirmed previously by other groups in the astronomical community.

KOI-127.01 was confirmed as Kepler-77b by Gandolfi et al. (2013). Their spectroscopic data were obtained with the Sandiford spectrograph at the Otto Struve 2.1 m telescope at the McDonald Observatory and with FIES at the Nordic Optical Telescope on La Palma. In general, their results are in good agreement with our work. One discrepancy is the host star metallicity where we find  $[\text{Fe}/\text{H}] = 0.43 \pm 0.1$  while Gandolfi et al. determined an  $[\text{M}/\text{H}]$  of  $0.20 \pm 0.05$ . They also estimated a somewhat cooler effective temperature of  $5520 \pm 60 \text{ K}$  which is almost consistent with our value of  $5668 \pm 77 \text{ K}$ . The largest difference is the planetary mass (and thus also planet density): they obtain  $0.43 \pm 0.032 M_{\text{Jup}}$  compared to our value of  $0.7 \pm 0.1 M_{\text{Jup}}$ . This discrepancy is due to a smaller RV semi-amplitude of  $K = 59.2 \pm 4.3 \text{ m s}^{-1}$  measured from the Sandiford and FIES RVs. In contrast, the  $K$  value that we have determined from our HRS and FIES RVs is  $K = 89 \pm 11 \text{ m s}^{-1}$ .

KOI-135.01 was confirmed as Kepler-43b by Bonomo et al. (2012) using spectroscopic observations with the SOPHIE spectrograph on the 1.93 m telescope at the Observatoire de Haute Provence. In this case, the results from both of our studies are fully consistent within the quoted uncertainties.

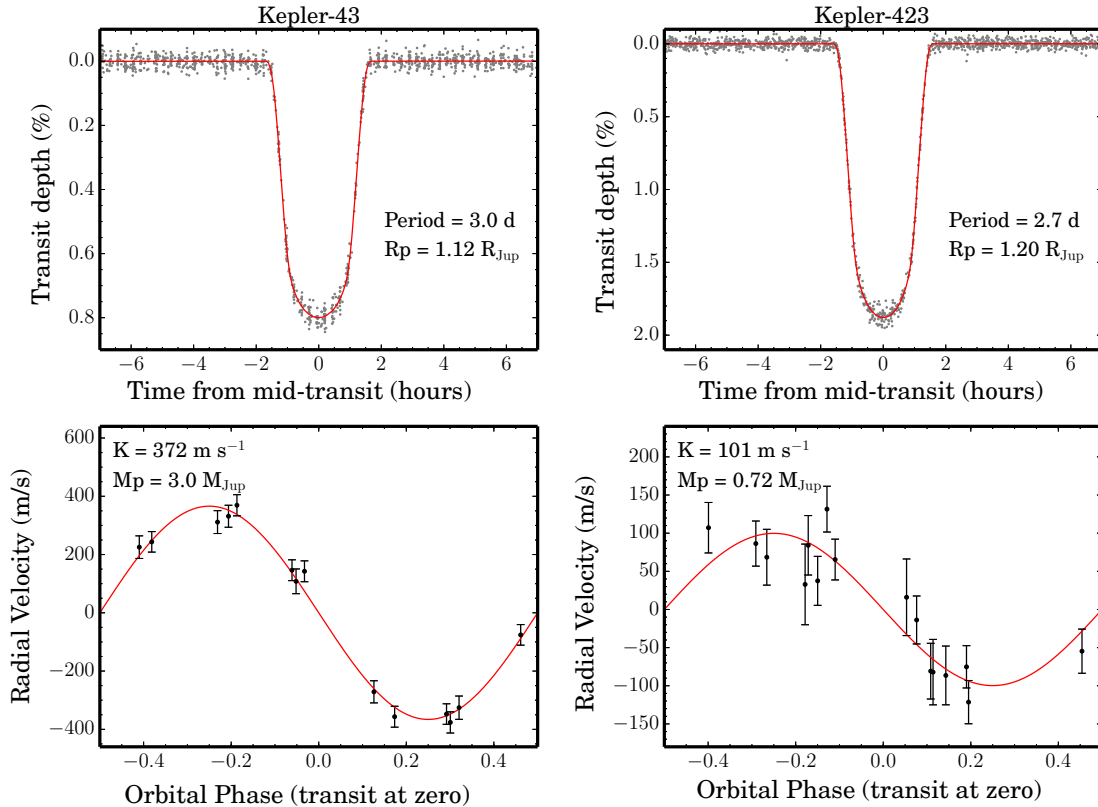
Of these five systems, the Kepler-424 system stands out as a multi-planet system that also contains a hot Jupiter. The second companion resides on a moderately eccentric orbit and is many times more massive than the inner planet (mass ratio





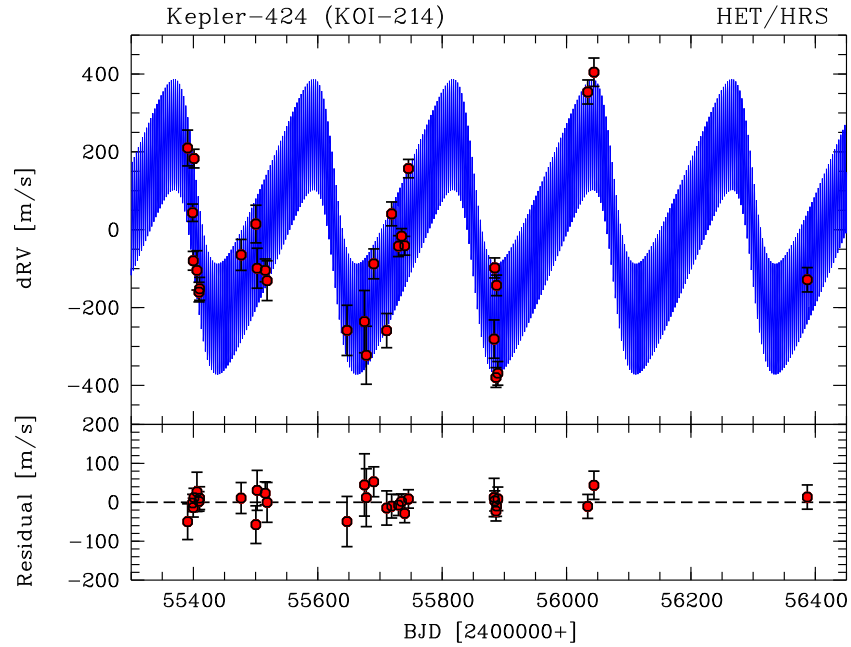
**Figure 5.** Phase-folded *Kepler* photometry of Kepler-422 (KOI-22, left) and Kepler-77 (KOI-127, right) along with the best-fit transit model from the MCMC analysis (top panels). The RV data and the best-fit Keplerian orbital solutions are shown in the bottom panels. The RV uncertainties include an additional  $RV_{jitter}$  of  $22.8 \text{ m s}^{-1}$  (Kepler-422) and  $14.8 \text{ m s}^{-1}$  (Kepler-77) from the highest probability models from the MCMC analysis.

(A color version of this figure is available in the online journal.)



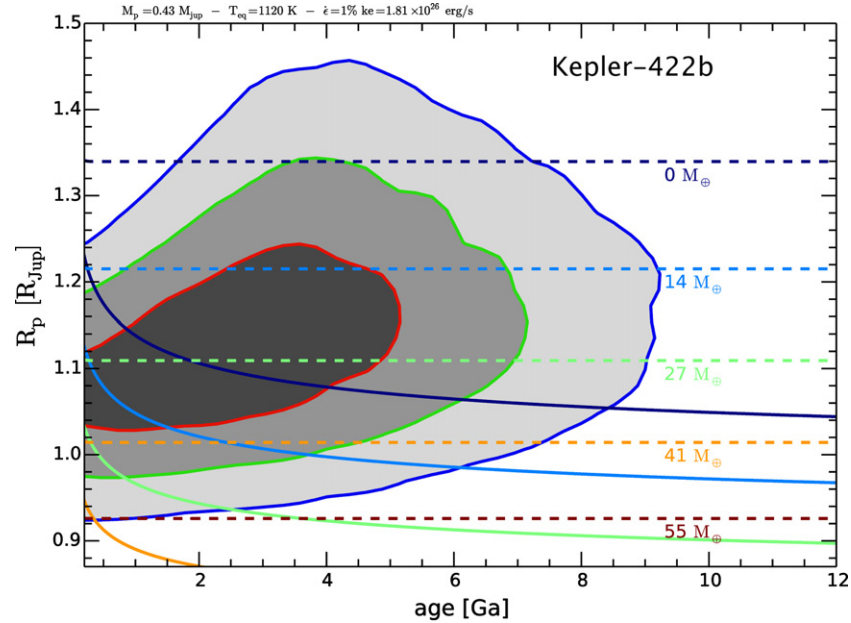
**Figure 6.** Phase-folded *Kepler* photometry of Kepler-43 (KOI-135, left) and Kepler-423 (KOI-183, right) along with the best-fit transit model from the MCMC analysis (top panels). The RV data and the best-fit Keplerian orbital solutions are shown in the bottom panels. The RV uncertainties include an additional  $RV_{jitter}$  of  $32.7 \text{ m s}^{-1}$  (Kepler-43) and  $25.1 \text{ m s}^{-1}$  (Kepler-423) from the highest probability models from the MCMC analysis.

(A color version of this figure is available in the online journal.)



**Figure 7.** Top panel: time series of our HET/HRS RV measurements (red points) compared to the two planet orbital solution (solid line). Lower panel: RV residuals after subtraction of the orbit, showing no apparent variability indicative of additional companions.

(A color version of this figure is available in the online journal.)



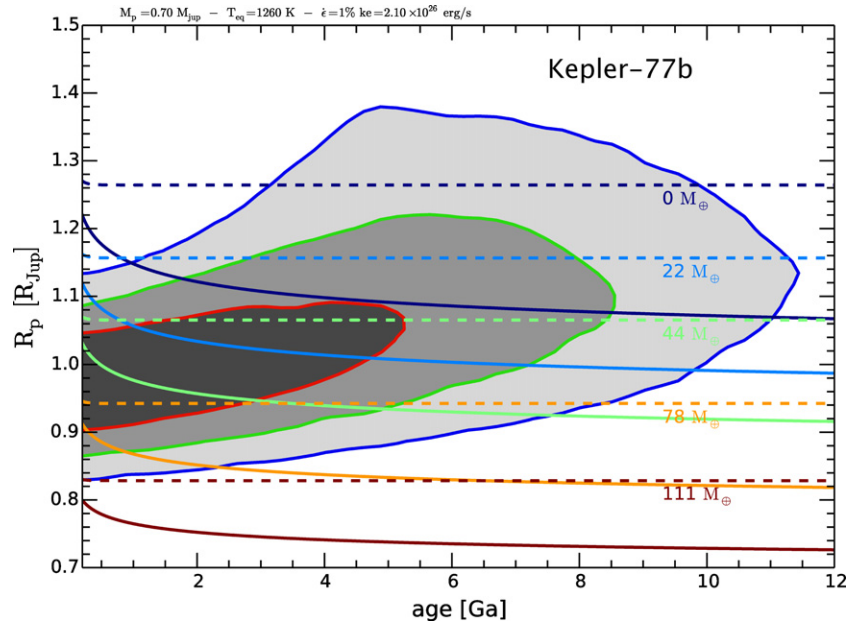
**Figure 8.** Evolution of Kepler-422b's radius as a function of the age. The 68.3%, 95.5%, and 99.7% confidence regions are denoted by black, dark gray, and light gray areas, respectively. The curves represent the thermal evolution of a  $0.43 M_{\text{Jup}}$  planet with a time-averaged equilibrium temperature of 1120 K. Text labels indicate the amount of heavy elements in the planet (its core mass, in Earth masses). Dashed lines represent planetary evolution models for which 0.25% of the incoming stellar flux ( $\epsilon$ ) is dissipated into the core of the planet, whereas plain lines do not account for this dissipation (standard models).

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of  $\sim 7:1$ ). Given the large separation between the two planets in the Kepler-424 system, intuition suggests that their orbits should be dynamically stable over long timescales. However, as demonstrated by the  $\nu$  And system (McArthur et al. 2010), giant planets with mutually inclined orbital planes may exhibit important dynamical interactions, even when the orbits are well separated. To test whether or not this is the case for Kepler-424, we have performed some very simple dynamical simulations of the system using the SYSTEMIC console (Meschiari et al. 2009).

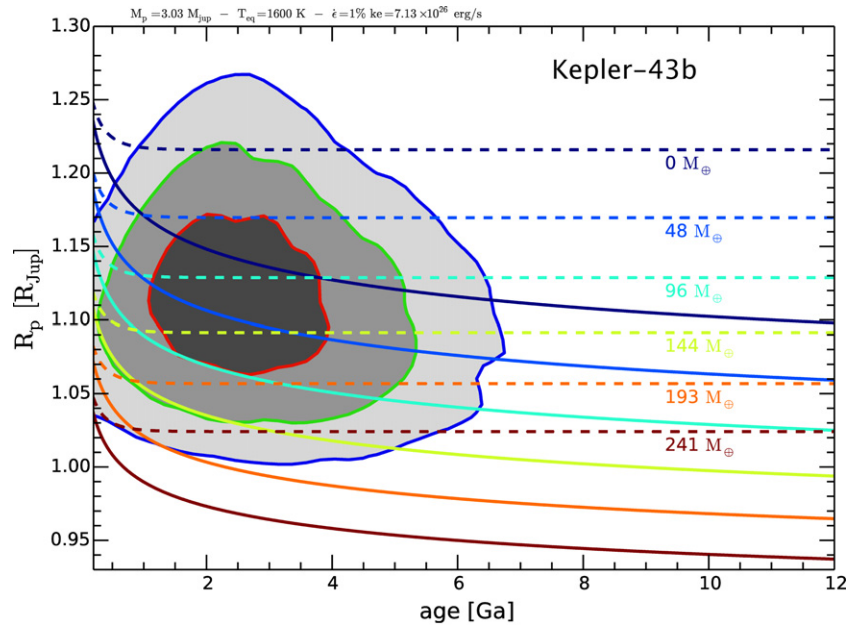
In our simulations, the planets are initially configured with the orbital solution given in Table 8. We left the inclination of planet b fixed, while setting c to a range of mutual inclinations in steps of  $5^\circ$ . At each mutual inclination, we simulated the orbits over 100,000 yr or until a collision occurred. The simulations were computed using a Gragg–Burlisch–Stöer integrator with a time step of one day.

The effect of planet c's inclination on the system's stability can best be visualized by its impact on the eccentricity of planet b. In Figure 13, we show the planets' eccentricities as a function



**Figure 9.** Same as Figure 8, but for Kepler-77b ( $0.70 M_{\text{Jup}}$  and 1260 K).

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**Figure 10.** Same as Figure 8, but for Kepler-43b ( $3.03 M_{\text{Jup}}$  and 1600 K).

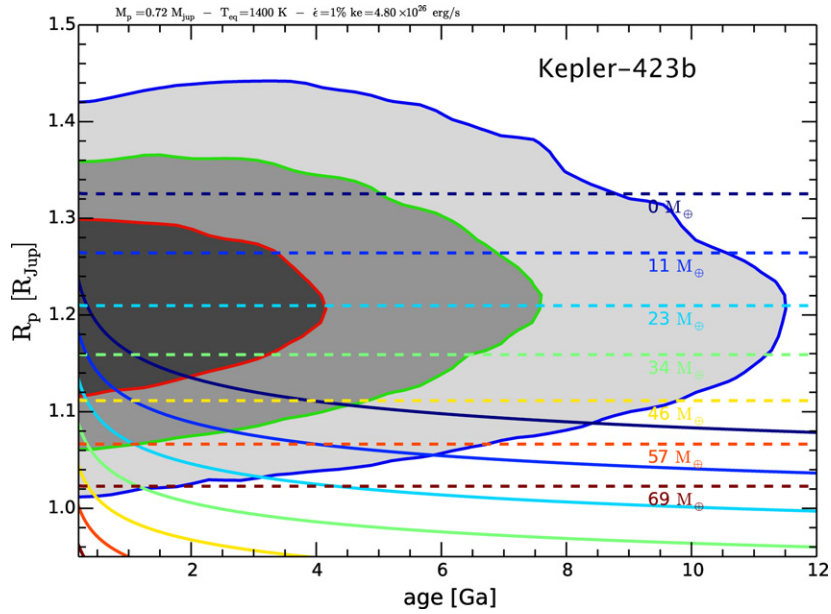
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of the simulation time for a representative set of mutual inclination values. Even for coplanar orbits, the eccentricity of b undergoes low-amplitude periodic oscillations, but the amplitude of the oscillations increases greatly for higher mutual inclinations. Most notably, when the mutual inclination reaches  $45^\circ$ ,  $e_b$  abruptly increases to levels inconsistent with our orbital solution. This sudden increase of planet b's eccentricity is caused by the Lidov–Kozai mechanism (Lidov 1962; Kozai 1962). While it is conceivable that the system is highly mutually inclined and we are observing it during a period of low eccentricity, this possibility becomes less likely as the inclination of planet c decreases and the mean eccentricity of planet b increases. We therefore conclude that the inclination of planet c is most likely greater than  $45^\circ$ .

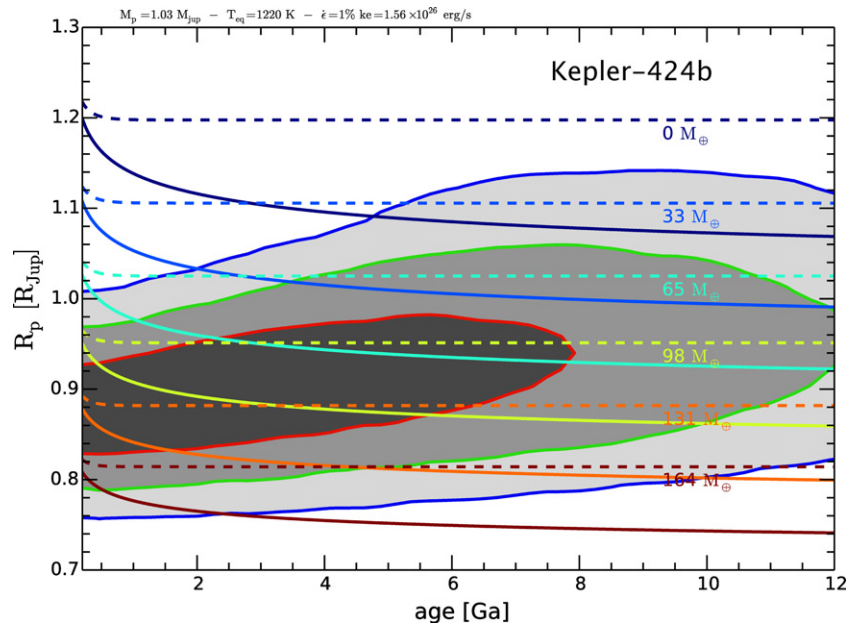
The results of our dynamical analysis are particularly useful because they allow us to place an upper limit on the mass of planet c. If we require  $i_c \geq 45^\circ$ , then the actual mass of planet c is  $M_c \leq 9.6 M_{\text{Jup}}$ . This limit places planet c comfortably within the planetary mass regime, ruling out the possibility that it is a stellar or brown dwarf companion in a face-on configuration. A more sophisticated dynamical study of this system including tidal dissipation also could lead to a more robust upper mass limit for planet c.

Observing the Rossiter–McLaughlin effect for Kepler-424b will be an interesting test. If planet–planet scattering is the physical mechanism that caused the inward migration, then it could also lead to a high inclination of the planet's orbit with respect to the stellar equator. It is a challenging observation for





**Figure 11.** Same as Figure 8, but for Kepler-423b ( $0.72 M_{\text{Jup}}$  and 1400 K).  
(A color version of this figure is available in the online journal.)

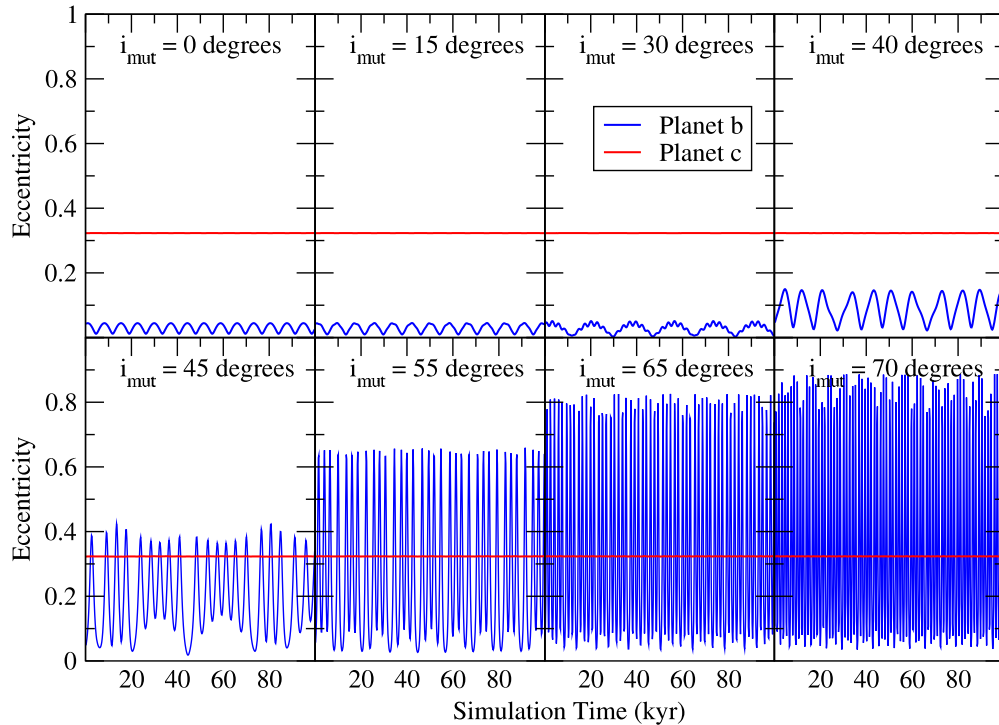


**Figure 12.** Same as Figure 8, but for Kepler-424b ( $1.03 M_{\text{Jup}}$  and 1220 K).  
(A color version of this figure is available in the online journal.)

a  $V = 14.5$  magnitude star, which we plan to carry out with the newly upgraded and high-efficiency HRS at the HET, once it becomes available in early 2015.

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**Figure 13.** Dynamical simulations of the Kepler-424 two planet system showing eccentricity as a function of time. Each panel shows the evolution of the planets' eccentricities for a different mutual inclination  $i_{\text{mut}}$  of the planetary orbits. The high eccentricities of the orbit of planet b induced by  $i_{\text{mut}} \geq 45^\circ$  are inconsistent with the observed low or zero eccentricity of the hot Jupiter orbit. This would correspond to an estimated upper mass limit for planet c of  $M_c \leq 9.6 M_{\text{Jup}}$ .

(A color version of this figure is available in the online journal.)

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