

Hogg Foundation for Mental Health

Annual Report

1999-2000



*Celebrating Sixty Years of
Mental Health Philanthropy in Texas*

Vision

**To be the philanthropic leader in shaping and improving
mental health and human development**

Mission

**To develop and conduct “. . . a broad mental health program
of great benefit to the people of Texas”**

—Miss Ima Hogg, 1939

Goals

**To improve the quality, scope, and delivery of
culturally appropriate mental health services in Texas**

To advance scientific knowledge related to mental health

**To increase public understanding of contemporary
mental health issues**

**To advance training in mental health
and related human services**

**To exemplify the highest professional and ethical
standards of organized philanthropy**



Hogg Foundation for Mental Health

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Executive Director's Report

Sixty Years of Mental Health Philanthropy . . . In Ten Minutes

In commemoration of our sixtieth anniversary, let me take you on a quick tour of the Hogg Foundation's history, along the way highlighting some of our most significant contributions to mental health and showing you how we got to where we are now.

The Hogg Family

The Foundation was established in 1940 by the children of former Texas Governor James Stephen Hogg. Known for his vision, compassion, and generosity, Governor Hogg assiduously instilled the virtues of civic responsibility in his children, Will, Mike, Tom, and Ima. Despite their civic contributions, the Hogg family did not become independently wealthy until 1918 when—12



Governor Hogg and Family

years after the governor's death—oil was discovered on the family plantation in Varner, Texas.

Stewardship of the family business fell to the eldest son, William C. Hogg, a graduate of The University of Texas School of Law and one-time member of The University of Texas Board of Regents. Upon his death in 1930, Will left a \$2.5 million endowment to his alma mater for the benefit of "the people of Texas." It was Will's sister Miss Ima, however, whose early compassion for emotional and social problems led to the endowment

being dedicated to the promotion of "mental hygiene."

Later Miss Ima would explicitly state the Foundation's mission: "to develop and conduct a broad mental health program for bringing great benefits to the people of Texas." The result is still unique to the annals of philanthropy: a foundation that is also an administrative component of a public university.



Will and Ima Hogg

1940-1970, The Sutherland Years

In 1940, sociologist Dr. Robert Lee Sutherland became the first Director of the Hogg Foundation for Mental Hygiene. With a small staff and an annual budget of \$20,000 the Hogg Foundation set out to educate the people of Texas about the little-known concept of "mental hygiene"—primarily through two activities which remain at the Foundation's

core today: communication and convening. Together with other experts, Dr. Sutherland traveled the state, promoting the positive, preventive, and therapeutic aspects of mental hygiene. In addition, the Foundation worked with the Mental Hygiene



Dr. Sutherland and Miss Ima

Committee of the Texas Medical Society, the Texas Society for Mental Health, the Texas Council on Mental Health, and others intent on "the development of more effective and adequate citizens" (Sutherland, 1950).

In 1948, the Hogg Foundation was also instrumental in establishing the first professional association of foundations, the Conference Southwest Foundations. All three of the Foundation's chief executive officers have served terms as the CSF president over the years.

By 1950, the Foundation's budget had increased enough to expand its programs and activities. A publications program was developed to produce pam-



HOGG FOUNDATION TO EXPAND WORK

The Hogg Foundation for Mental Hygiene, administered by the University of Texas, will expand its activities during the next few years, Director Robert L. Sutherland announced.

The Foundation has just published a report of its achievements. "Twelve Years of Mental Hygiene," noted in charting trends of Hogg Foundation work.

"The staff will continue to be responsive to needs, as industrial and international conditions bring new problems to the people of the State," he pledged. "Wherever its resources can be of the greatest benefit, the Foundation will direct its effort, seeking professional ad-

Hogg Foundation since its establishment in 1940 under terms of the will of Will Hogg, also is a sociology professor. He is the author of a widely-used standard textbook which has gone through four revisions. He has examined first-hand educational and industrial



Miss Ima and President Lyndon Johnson at a function in 1967

phlets and radio broadcasts were produced that responded to public concerns about the care and treatment of the mentally ill. In 1952, a series of critical articles on the state of mental health care in Texas by Foundation staff member Bert Smith ran in 61 Texas newspapers and helped spark reforms. Soon after, the

Foundation funded the drafting of revisions and improvements to the code governing the Texas State Hospitals and Special Schools.

In 1955, the Foundation added a grantmaking component—for basic and applied research, training, and fellowships—to complement and invigorate its successful operating programs. This integration of grantmaking and operating programs was groundbreaking in American philanthropy and positioned the Foundation to better address emerging mental health challenges through its own internal programs, and/or by financing innovative external projects. At the same time, a four-year mental health training program for 400 U. S. Air Force chaplains was designed and conducted by Foundation staff and University faculty. Two years later the Foundation was awarded a National Institute of Mental Health grant to study the readjustment to community life of discharged mental patients and to enable staff to serve on a state advisory committee.

At the dawn of the 1960s, the Foundation formalized its use of external advisors and consultants by creating a National Advisory Council to review Foundation activities and to suggest new ideas and approaches.

In 1962 the Foundation became one of the first regional affiliates of The Foundation Center, a national network of cooperative collections that assist grantseekers in identifying potential foundation support for common interests and goals. Over the years, the library has grown into a significant collection of information about grantsmanship, nonprofit management, evaluation, and volunteer issues.

The 1960s also witnessed the Foundation shift its grantmaking priorities from research to mental health service projects that could demonstrate or test new ideas in the provision of mental health services. By the end of the decade the scope and



Dr. Wayne Holtzman and former First Ladies Rosalynn Carter and Lady Bird Johnson

breadth of both the grantmaking and operating programs had increased to a point where it was clear that the Hogg Foundation was both an operating foundation with well developed convening, communication, training, and research "programs" and a grantmaking foundation that supported mental health projects across the state.

The Holtzman Years, 1970-1993

The 1970s continued to bring growth and change to the Foundation. In 1970, Dr. Wayne Holtzman, an eminent psychologist, was named the Foundation's president, assuming the mantle that had been Dr. Sutherland's for the previous three decades. In honor of Dr. Sutherland (who passed away in 1976), the Foundation initiated a series of biennial seminars. Over the years, the Robert Lee Sutherland Seminars have convened thousands to address significant mental health issues in Texas.

Sadly, the 1970s also brought the passing of Miss Ima Hogg at the age of 93. As was characteristic of her charitable nature, before she died Miss Ima established her own large bequest to the Foundation, explicitly designated to meet the mental health and developmental needs of children and their families in her home city of Houston. The decade also witnessed the creation of the Foundation's Evaluation Research Program in 1974. One of the first of

its kind, this fellowship program has since provided more than 60 University of Texas at Austin evaluation fellows with in-depth training and supervised experience in program evaluation.

In the 1980s, the Foundation continued to actively pursue its mission across the state. In 1987, three statewide commissions were created to study issues related to community care of the mentally ill, the mental health of children and their families, and the mental health of adolescents and young adults. The recommendations were presented as part of the Foundation's Fiftieth Anniversary com-



Former Hogg Foundation Executive Associates Dr. Bernice Moore (left) and Bert Kruger Smith, circa 1970

*Dr. Marion Coleman
speaks at the Texas
Fragile Families
press conference
with Texas
Attorney General
John Cornyn*



memoration and motivated a number of initiatives, demonstration projects, publications, and seminars. Concurrently, in 1989 the Foundation took an unprecedented step in developing and initiating a multi-million dollar School of the Future project, which provided an integrated spectrum of both prevention and treatment services for lower-income schools in Austin, Dallas, Houston, and San Antonio. To this day, parts of the effort continue to operate in three of the original sites.

Continuity and Change: The Recent Past and Present

The 1990s again brought with them a host of changes in the Foundation's structure, policies, and operation. The most significant change came in 1997. Responding to suggestions from our National Advisory Council, as well as an internal strategic planning process, the Foundation chose to concentrate upon three priority program areas: Children and Their Families, Youth Development, and Minority Mental Health. While still committed to all aspects of mental health, the program areas reflected the Foundation's traditional emphases. Each is directed by a program director who is charged with implementing centerpiece projects integrating both the Foundation's grantmaking and operating activities and encouraging collaboration with other private or public agencies. To date, four successful "centerpiece" projects have exceeded our expectations in addressing critical mental health needs across the state:

- Healthy Steps for Young Children, an innovative child development/pediatric care model implemented at four Texas sites in conjunction with The Commonwealth Fund and local foundations, is entering its fourth year of operation and is described in the Children and Their Families section of this report.
- The Greater Houston Collaborative for Children, a partnership of more than two dozen foundations and leading human service providers committed to the collaborative funding of projects designed to help Houston's at-risk children, is also described in the Children and Their Families section of this report.

- Cultural Competency in the Delivery of Mental Health Services, a Sutherland Seminar that has sought to identify service gaps and needs among Texas' minority populations, has led to a number of initiatives, including the impending publication of a manual addressing cultural issues for service providers, practitioners and administrators.
- The Texas Fragile Families Initiative, a statewide endeavor to support community organizations as they help young fathers expand their ability to provide emotional and financial support for their children, involves the collaborative funding of 24 foundations at 12 sites across the state and will be described in more detail in the Children and Their Families section of this report.

The Foundation also broadened its communications effort in 1997 by establishing the position of an executive-level communications director responsible for continuing to educate and inform professional and lay audiences about contemporary mental health issues, resources, and treatments.

The following pages describe our operating and grantmaking programs in more detail and offer examples of our efforts to achieve our goals and objectives. Yet we continue to look for new ways to fulfill Miss Ima's mandate to develop and conduct "a broad mental health program for bringing great benefits to the People of Texas."

Your interest in our activities is sincerely appreciated, and we look forward to receiving any questions, suggestions, ideas, or other comments by mail, telephone (512-471-5041), or email at hf.comm@uts.cc.utexas.edu.

Charles M. Bonjean

Charles M. Bonjean
Executive Director



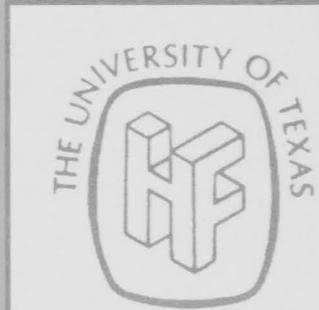
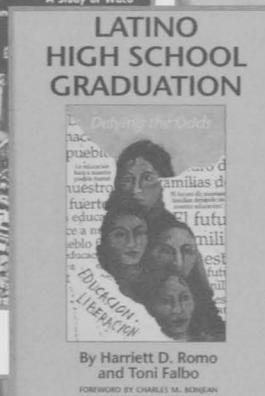
Hogg Foundation Executive Director Charles Bonjean with mental health advocates Vice President Al Gore and Mrs. Tipper Gore

Operating Programs

The Hogg Foundation's role as an operating foundation began at its inception within The University of Texas in 1940. The Foundation's original mission was focused upon public education. Its first director, Dr. Robert Lee Sutherland, led a crew of experts in traveling to hundreds of small communities across the state—what the DeLeon Free Press described as "a new type of circuit rider"—giving lectures and distributing publications promoting the new ideas of "mental hygiene."

Over time, the Foundation's mission expanded, leading the staff to seek out new and innovative ways to meet its goals. As a result, the Foundation became known as a "convener"—bringing together experts from a number of philanthropic and mental health-related organizations to share information and to collaborate on worthy projects. The effectiveness of the convening efforts led to the founding of the Conference of Southwest Foundations in 1948, opening a regional funding information library in 1962, and helping secure passage of the Texas Mental Health Code in 1965.

To this day, the synergy of communication and collaboration made possible by its operating program remains a cornerstone of the Foundation's mission—and are illustrated in the pages that follow.



Photos, (clockwise from top left): Dr. Bernice Milburn Moore receives a certificate of appreciation from the U.S. Air Force for the chaplaincy program in the 1950s, the World Health Organization logo; Dr. Bob Sutherland poses with Conference of Southwest Foundation co-founders Mrs. Margaret Scarbrough, and Mrs. H.E. Butt; the Hogg Foundation—and the Hogg Foundation logo—have undergone a number of changes of the years; Dr. Sutherland watches as Texas Governor Allan Shivers signs the appropriations bill for Texas State Hospitals and Special Schools; Tornadoes Over Texas, published in 1958, was the first of the Foundation's long-running monograph series, while Latino High School Graduation, printed in 1997, is the latest and among the most successful.

Honors and Recognitions

NSFRE Foundation of Year for 1999

The Hogg Foundation was selected as the Foundation of the Year for 1999 by the National Society of Fundraising Executives-Greater Austin Chapter, for its contributions to the non-profit community in Austin. The National Society of Fundraising Executives (NSFRE) is an individual member association that advances philanthropy through education, training, and advocacy based on research, ethical principles, and standards of professional practice.

Miss Ima: A Woman for the Century

On behalf of its benefactor, Miss Ima Hogg, the Hogg Foundation was honored to accept her induction as one of the Texas Women of the Century by the Women's Chamber of Commerce of Texas at its Tenth Anniversary Money and Power Conference. Cited as a trailblazer in the field of mental health and in the arts, this award pays homage to Miss Ima as an unsung heroine of Texas in the past century.



Miss Ima, Circa 1970

Miss Ima was also named as one of "The Best of the Texas Century" by *Texas Monthly* magazine for her avid interest and contributions to the arts in Texas. She was listed among the 100 most prominent Texans in the magazine's January issue.

Induction to MHA Ring of Honor

In August, the Mental Health Association in Texas (MHAT), chose the Foundation as one of its initial inductees to the MHA-Texas Ring of Honor for its leadership in state mental health.

The Ring of Honor celebrates the contributions of those individuals and organizations that promote mental health in their respective fields of business, social services, media, public affairs, advocacy, and charitable philanthropy. It draws its symbolic power from the National Mental Health Association's "Mental Health Bell," which rings out the message that mental health is a cornerstone of public health. Joining the Hogg Foundation as inductees were The Meadows Foundation of Dallas and the RGK Foundation of Austin.

Golden Harvest Award

The Asian American Family Counseling Center (AAFCC) of Houston awarded its first Golden Harvest Award to the Hogg Foundation for its financial support and advisory assistance. The Hogg Foundation "provided the stability for us to grow and build a strong foundation to accomplish our mission," said AAFCC Executive Director Kim Szeto.

As the leading non-profit agency serving Houston's Asian-American community, the AAFCC assists more than 400 Houston-area Asian Americans with referral and counseling services yearly.

Convening Activities

Since its inception, the Hogg Foundation has been committed to bringing together representatives from a variety of different mental health-related organizations and agencies to share information or to collaborate on worthy projects. Such efforts led to the founding of the Conference of Southwest Foundations in 1948 and the enactment of Texas' first Mental Health Code in 1965.

In 1978 the Foundation inaugurated a series of biennial statewide seminars named in honor of Dr. Robert Lee Sutherland, who served as director, and later as president, of the Hogg Foundation from 1940 to 1970. During his tenure, Dr. Sutherland sought to bring together people and ideas in the pursuit of innovation and cooperation for mental health initiatives in Texas. These seminars are a living tribute to his academic, philanthropic, and mental health contributions.

The Foundation has also played key convening and/or supporting roles in the founding and development of several other international, national, state, and local organizations:

Grantmakers Evaluation Network, an affinity group of the Council on Foundations, was created in 1992 through the activities of the Council's Research Committee and a meeting hosted by the Hogg Foundation. Its goals, activities, and support by the Foundation are described in the Evaluation Research Program section below.

The Texas/World Health Organization Collaborating Center was established in 1994 with the Hogg Foundation designated as its headquarters and Wayne H. Holtzman, Ph.D., as its director. A more detailed description of the Center is provided in its own section in this report.

Texas Grantmakers In Health and Human Services (TGIHHS) was founded in 1994 as the result of convening activities co-sponsored by the Hogg Foundation and Grantmakers In Health, a professional association serving philanthropic organizations dedicated to health and related human services. Several times a year, TGIHHS brings together representatives from Texas foundations with state and federal health officials to examine critical state health and human service issues and to discuss how foundations can respond to them. The Hogg Foundation serves as the TGIHHS headquarters and has hosted many of its meetings.

The Greater Houston Collaborative for Children was established in 1996 as an organization consisting of

Communications

more than two dozen funding agencies and providers of children's services. The Collaborative grew out of the Foundation's Tenth Robert Lee Sutherland Seminar, and its activities are outlined in the Children and Their Families Program Area section of this Annual Report.

Over the past year, the Foundation also continued working to improve the integration of its operating programs within the goals of its three program areas: Children and Their Families, Youth Development, and Minority Mental Health. For example, the director of the Children and Their Families Program Area led in the implementation of the Texas Fragile Families Initiative, a statewide fatherhood initiative, described in more detail in Children and Their Families section of this report. Such convening efforts are among the most important ways that the Foundation seeks to stay current on new information, emerging issues, and innovations in mental health and related human services—especially those most central to its program areas. These convening activities also serve as catalysts for collaborative efforts with other foundations and organizations across the state.

Communications Program

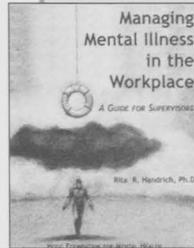
The Foundation's Communications Program supports the Foundation's grantmaking and operating programs and works to increase public understanding of contemporary mental health issues. It carries on the Foundation's long tradition of improving the mental health of Texans by supporting effective programs, increasing public awareness and education, and reducing stigmas associated with the diagnosis and treatment of behavioral disorders.

The Communications Program is divided into two separate, but interdependent, divisions: News and Information and Publications. The News and Information Division communicates the scope and efficacy of the Foundation's grantmaking and operating programs to audiences across the state through news releases, media events, the Foundation's World Wide Web page, and the *Hogg Foundation News* newsletter. The News and Information Division also supplies promotional/informational support to important Foundation initiatives or collaborative efforts (e.g., the Greater Houston Collaborative for Children, the Texas Fragile Families Initiative, and Texas Grantmakers in Health and Human Services, among others).

The Publications Division is itself divided into two equally important functions. The first supports the Foundation's institutional publication/informational material needs. The second develops and publishes a series of

Since its inception, the Hogg Foundation has been committed to educating and informing the people of Texas about significant mental health issues. In the past year, the Hogg Foundation continued that commitment with the release of three new publications.

Managing Mental Illness in Workplace



Managing Mental Illness in the Workplace: A Practical Guide for Supervisors addresses the increasingly complex issues related to mental health in the workplace. Concerns about the legalities and proprieties have served to heighten anxieties and complicate interactions for both employees and employers.

Managing Mental Illness in the Workplace—written by Rita Handrich, Ph.D., a licensed psychologist with The University of Texas at Austin's Employee Assistance Program—guides employees and supervisors through the confusing experience of managing employees with a mental illness while meeting organizational goals. The guide discusses the issues, the policies, and the legal implications of dealing with a mentally ill employee and provides a recommended seven-step process to guide managers in handling these situations when they arise.

How Do You Choose a Helper?

Since there is no "one size fits all" treatment for mental disorders, persons concerned that they may have a problem must navigate a wide array of mental health practitioners, treatment methods, and service settings.

In conjunction with the Texas Mental Health Liaison Group, the Hogg Foundation has updated its brochure *How Do You Choose a Helper?* to aid in these decisions by briefly describing the training mental health professionals receive, the services they provide, and the contact information that potential clients may need for assistance.

How Do You Choose a Helper?

A Guide to Mental Health Services in Texas

Holtzman Ink Blot Technique Research Guide: An Annotated Bibliography



The Foundation recently published an updated version of the Holtzman Inkblot Technique: An Annotated Bibliography.

For four decades, the Holtzman Inkblot Technique has been one of the leading psychometric assessment tools in the world, and has been the object of study or the featured assessment method in more than 800 publications.

publications that illustrate significant Foundation-funded research or service projects in order to facilitate their replication; contribute to existing knowledge regarding contemporary issues in the fields of mental health or philanthropy; and educate Texans about issues related to mental health, behavioral disorders, or methods and resources for treatment.

Regional Foundation Library

The Regional Foundation Library provides both individuals and groups with comprehensive information about grantsmanship, nonprofit management, evaluation, and volunteer issues through its collections and resources.

Since 1962, the Regional Foundation Library has

participated in The Foundation Center's national network of 217 cooperating collections that maintain reference and support materials directly related to grants and funding. Additional materials, such as annual reports and newsletters from more than 1,200 funders, provide a snapshot of current philanthropic trends, as well as specific supported projects, which can be a valuable source of statistics and background information for funding appeals.

In addition, the Library collaborates with the Greater Austin Chapter of the National Society of Fund Raising Executives to furnish resources and materials related to fundraising, development, nonprofit management, evaluation, volunteerism, and planned giving. The Library also houses volunteer management materials to aid nonprofit organizations looking to tap into volunteers' energy and resources.

Because information is often the "link" between a good project and the best possible funder, the Library staff provides workshops, classes, and presentations throughout the year to community nonprofit entities, schools, and volunteer organizations. The diverse variety of participating organizations—ranging from those representing the arts to those focused on health and human services—demonstrates the comprehensive nature of the materials available.

The Library is open between the hours of 8 a.m. and 5 p.m., Monday through Friday, except for a limited number of University of Texas holidays. Materials are available for in-Library use only. The facility is barrier-free with special parking available upon request. Appointments with Library staff are preferred but not required.

Ima Hogg Scholarship

The Ima Hogg Scholarship is a biennial award given by the Hogg Foundation to a graduate student at The University of Texas at Austin, and continues to be one of the most prestigious and highly sought scholarships on campus.

The scholarship follows the vision set forth decades ago by Miss Ima Hogg by providing support for a graduate student committed to serving children and families in a public or not-for-profit setting. In recent years, this scholarship has frequently enabled students to take advantage of low-paid or unpaid training positions or internships they might not have otherwise considered. Candidates come from the fields of psychology, social work, educational and school psychology, nursing, or child development.

The scholarship for 1999-2000 was awarded to

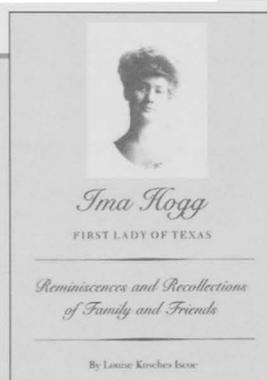
Hogg Foundation Commemorates History with Publications

The Hogg Foundation is extremely proud of its long and storied history. Whether it is the legacies of its benefactors, the family of Governor James S. Hogg—particularly those of Miss Ima—or its own contributions to the people of Texas in the fields of mental health and philanthropy, the Hogg Foundation is imbued with a strong sense of duty and tradition.

As credit to that history, the Foundation, from time to time, produces materials that recall the generosity of the Hogg family or illustrate the Foundation's contributions. One of those publications is *Ima Hogg, First Lady of Texas: Reminiscences and Recollections of Family and Friends* by Louise Iscoe. The book provides a brief biography of Miss Ima as a governor's daughter, Houston socialite, art collector, and Texas philanthropist.

Another popular book is *Will Hogg, Texan*. Adapted from an article in the UT Alumni publication, *Alcalde*, the book profiles the story of the former University of Texas Regent and oilman whose endowment was responsible for the founding of the Hogg Foundation for Mental Health.

To order, contact the Publications Division by telephone (512-471-5041), or email at hf.comm@uts.cc.utexas.edu/.



Will Hogg, Texan

Yvette L. Castillo, a doctoral candidate in School Psychology from The University of Texas at Austin, whose area of specialization is multicultural issues.

Texas/WHO Collaborating Center

The World Health Organization (WHO) and the Pan American Health Organization (PAHO) are sponsors of the Texas/WHO Collaborating Center at the Hogg Foundation. The Center promotes collaborative efforts to improve mental health and the quality of life in Texas and Mexico, especially along the U.S.-Mexico border. In addition to the Foundation, founding members of the center are: The University of Texas at Austin, The University of Texas Health Science Center at San Antonio, The Texas A&M University Health Science Center at Temple, The University of Texas Medical Branch at Galveston, and, from Mexico, the Universidad Nacional Autonoma de Mexico, Universidad Autonoma de Nuevo Leon in Monterrey, and Universidad Autonoma de Tamaulipas in Ciudad Victoria.

Goals of the center are pursued on four tracks: 1) research on mental health epidemiology, service delivery, and psychosocial factors in health; 2) training to increase the capacity for high quality, culturally competent mental health care; 3) development of programs designed to decrease psychosocial risk factors in disease; and, 4) international collaboration and exchange. More than a dozen scientists affiliated with the WHO Center already are pursuing a number of research projects in fields related to the center's goals—focused largely on the understanding of causes and manifestations of mental disorders in different populations such as the elderly, residents of rural areas, and refugees and immigrants.

The Center is planning to participate in a global mental health survey in 2000-2001 sponsored by National Institute of Mental Health, WHO, and PAHO using components of the CIDI system. With funds from the Hogg Foundation, a partnership has been developing in the behavioral sciences between UT Health Science Center at San Antonio and UT Pan American at Edinburg.

The incidence and prevalence of mental illness among Mexican-origin populations in South Texas will be studied through this partnership, using standardized interview schedules that are employed in the Global Mental Health Survey 2000. Similar data will be collected on the Mexican side of the border by scientific associates of the Center in Mexico, making cross-national

Holtzman Honored For Contributions to Latin American Psychology

The National College of Psychologists of Mexico and the Union of Latin American Universities bestowed special honors on Wayne H. Holtzman, Ph.D., former president and current special counsel at the Hogg Foundation, for his support and promotion of health psychology throughout Mexico and Latin America over the past 40 years.

Holtzman received a special diploma authorized by the



Dr. Wayne H. Holtzman and Dr. Juan Jose Sanchez Sosa, professor of psychology at the Universidad Nacional Autonoma de México.

College's executive council, as well as a special medal commemorating the Union of Latin American Universities' 50th anniversary, to honor his long-time support of the study and teaching of psychology at Mexican and Latin American academic/ research institutions.

comparisons possible. These plans should be well developed by the next annual meeting of the Collaborating Center in Guadalajara, Mexico in December of 2000.

Evaluation Research Program

For more than three decades, the Hogg Foundation has strongly endorsed the premise that program evaluation is essential to effective grantmaking. Its Evaluation Research Program is one of the oldest, strongest, and most innovative in the nation, due in no small part to the resources and expertise provided by its affiliation with The University of Texas at Austin.

The centerpiece of the Foundation's evaluation effort is a fellowship program that has provided more than 60 doctoral students with in-depth training and experience in program evaluation and philanthropy. Fellows, as well as other interested students, enroll in a fall graduate seminar on evaluation methods and approaches and, in the spring, receive independent credit for a practicum experience in evaluation.

The students work with Foundation officers, several

of whom hold academic appointments with The University of Texas at Austin. During their tenure at the Foundation, Fellows learn not only about evaluation but also about the history, goals, and activities of organized philanthropy. Since 1976, the Foundation's evaluation fellows have been particularly helpful in designing and conducting evaluations of the Foundation's own grant-making and internal programs.

Directors of all projects funded by the Hogg Foundation are required to submit a narrative evaluation of program activities at the end of each grant period. A description of what happened—generally including

counts and demographic information on persons benefiting from the program—is a minimum. For projects where evaluation is critical (e.g., a demonstration program with national significance), a more rigorous internal evaluation by project staff, or an external evaluation by a consultant paid by the Foundation, may be warranted. Occasionally, the Foundation's staff provides technical evaluation assistance to organizations other than its grantees.

The Hogg Foundation was among the founders of the Grantmakers Evaluation Network (GEN) in 1993 to "... promote the development and growth of evaluation in philanthropy." The Foundation hosts the GEN's mid-year executive committee meeting, co-edits and publishes its newsletter, and has two officers who have served on its executive committee. Board members or staff of any foundation are invited to join this no-dues "voluntary" association by requesting a membership application from the Hogg Foundation by telephone (1-888-404-4336) or email ralph.culler@mail.utexas.edu.

Evaluation Research Fellows Assess Project Grantmaking

As part of its Evaluation Research Fellowships, the Foundation turned the focus for 1999-2000 inward to evaluate its Foundation's grantmaking to innovative mental health service projects across the state.

This year's evaluation practicum project was an internal evaluation of the service grants program of the Hogg Foundation.

During the 1999-2000 academic

year the Fellows reviewed relevant documents, interviewed key staff, and surveyed and interviewed our grant recipients as well as unsuccessful applicants. The findings and recommendations of the evaluation will be used by the Foundation's executive and support staff to improve the proposal review and decision-making process for Foundation grants in support of mental health services in Texas.

Conducting the evaluation were:

Jason D. Boardman, a doctoral student in the Department of Sociology at The University of Texas at Austin, earned his B.A. in Political Economy of Industrial Societies from the University of California at Berkeley and his M.A. in Sociology from The University of Texas at Austin.

Jacqueline Fickel holds a B.S. in Biology from the University of Kansas (Lawrence) and a M.A. in Nutrition from The University of Texas at Austin. Fickel is currently working on her Ph.D. at the LBJ School of Public Affairs at The University of Texas at Austin.

Rebecca S. Martinez received her B.S. in Psychology from the University of Florida and is working concurrently to receive a M.S. in Program Evaluation and a Ph.D. in School Psychology from The University of Texas at Austin.



Hogg Foundation Visiting Scholar Program

Each biennium, the Hogg Foundation awards a visiting scholar position to a scholar, or "person eminent for learning," who has strong interests and expertise in one of the Foundation's major program areas of Children and Their Families, Youth Development, or Minority Mental Health.

The Foundation's visiting scholar position offers an opportunity for intensive study of a mental health topic or issue of concern to the scholar which is consistent with the Foundation's goals. A stipend, based on the recipient's current salary, may be awarded when funds are not available from other sources. The scholar is provided with an office, part-time secretarial assistance, computer, supplies, and collegial support.

The scholar is expected to be available for an occasional lecture, seminar, or consultation sponsored by the Foundation. At the end of the scholarship term the recipient is expected to provide a written report describing the work undertaken.

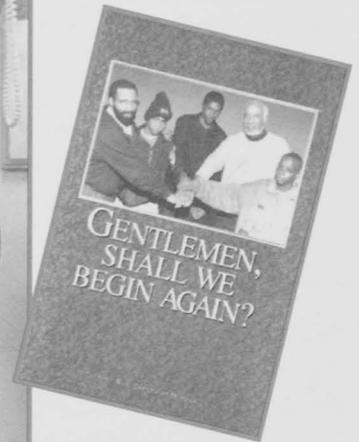
Grantmaking Programs

Although the Hogg Foundation began by educating Texans about mental health, by the 1950s the obvious need for more basic and applied mental health research led to a broadening of its focus. In 1955, the Foundation established a research grantmaking program to underwrite scientific studies and disseminate the findings through its ongoing mental health education efforts.

This integration of grantmaking and operating programs was groundbreaking in American philanthropy at the time and positioned the Foundation to address emerging mental health challenges through its internal programs and by supporting innovative external projects.

In the 1960s and 1970s, the grantmaking program expanded again to meet growing public awareness and understanding of mental health issues. Through grantmaking and operating programs, the Foundation began to support professional training, help expand the capacity of state and community organizations, and fund innovative service or demonstration projects.

In the 1990s, the Foundation further refined its focus. Although dedicated to funding any worthy mental health project, the Foundation gives priority to those projects that fit within its program areas: Children and Their Families, Youth Development, and Minority Mental Health. The work of these areas is highlighted within the following pages.



Children and Their Families

The Children and Their Families Program Area draws upon the Hogg Foundation's rich history of grantmaking activity to proactively identify key issues and develop initiatives to address the needs of children and their families.

One of the Foundation's benefactors, Miss Ima Hogg, dedicated much of her life to children by establishing the Houston Child Guidance Center, serving on the Houston Board of Education, and endowing a scholarship at The University of Texas to train professionals in mental health services for children and their families.

Yet, perhaps her most lasting legacy was the establishment of the Ima Hogg Endowment in 1977, which now generates about one million dollars a year for mental health services to children and their families in the Greater Houston area.

The Children and Their Families program area is the largest of the three program areas at the Hogg Foundation.

Texas Fragile Families Launches Twelve Projects Across State

In one of the nation's most innovative and sweeping private/public collaborations promoting responsible fatherhood, the Texas Fragile Families Initiative (TFF) announced the launch of 12 community demonstration programs across the state to help young, never-married fathers meet their children's emotional and financial needs.

The official launch came on July 18, 2000 with an ambitious media event in which a statewide press conference was held in Austin, then was followed by separate press conferences at each of eleven TFF sites across the state. All sites were given the opportunity to introduce themselves because of their integral roles in advancing TFF's dual goals in their communities.

Fragile families—defined by the Ford Foundation as young, unmarried fathers and mothers and their children—are becoming increasingly common in the United States. Texas officials alone estimate that more than 100,000 children are born to unmarried parents each year, many living at or below poverty.

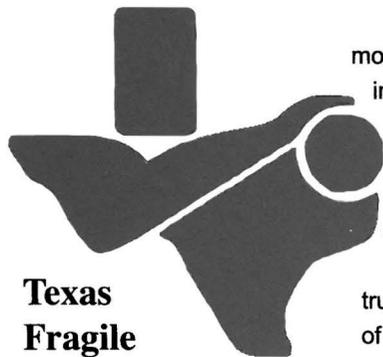
Unfortunately, a lack of public understanding about these fragile families—combined with public assistance programs that focus upon the mothers and children as independent family units and exclude fathers from any responsibility other than financial support—has put these children at risk. In fact, a wide range of studies indicate that children without a strong paternal influence in their lives are more likely to do poorly in school, suffer behavioral problems, get in trouble with the law, and have their own children out of wedlock. TFF hopes to break this cycle by expanding and deepening the public's understanding of these families and how they function.

"The presence of a caring and supportive father has a direct impact on a child's developmental and financial well-being," said Marion Tolbert Coleman, a program director for the Hogg Foundation and TFF founder.

"A growing body of research indicates that fathers and



Texas Attorney General John Cornyn listens to TFF participant Dennis Johnson explain the importance of the TFF Initiative to a press conference in Austin. Johnson's son Jaylen shares the spotlight upon his father's shoulders.



Texas Fragile Families Initiative

mothers start out wanting and expecting the fathers to be involved in their child's development and growth, but over time that involvement tends to erode," said Coleman.

"TFF recognizes that to be truly effective in improving the lives of children and making them less dependent upon welfare, social service agencies must take into account

both mothers and fathers and the nontraditional nature of their family connection—in fact, ours is a child-centered effort that uses the father as the point of entry for service interventions that are ultimately directed at children," said Coleman.

Together, the 12 demonstration project sites represent an unprecedented three-year, \$5 million collaboration of Texas and national foundations, service providers, and state agencies committed to supporting these programs through funding, coordination, programming, networking, and policy development.

Founded a year ago as a partnership of the Hogg Foundation for Mental Health and the Center for Public Policy Priorities (CPPP), TFF has established a unique funding paradigm whereby project sites were reviewed and chosen in a process ensuring that they met collaborative and programmatic goals. TFF then invited potential funding partners to consider supporting the sites in a unique model that allowed them to collaborate, yet maintain autonomy over their dollars.

Over the past year, TFF has attracted a range of prominent state and national foundations to support its initiatives: The Brown Foundation, Inc., the Horace C. Cabe Foundation, the Annie E. Casey Foundation, the Coalition of Community Foundations for Youth, The Cockrell Foundation, The Cooper Foundation, the Ford Foundation, the Greater Houston Community Foundation, the Hogg Foundation for Mental Health, the Houston Endowment, Inc., the Kronkosky Charitable Foundation, the Meadows Foundation, the Charles Stewart Mott Foundation, the William J. and Dorothy O'Neill Foundation, the Paso del Norte Foundation, The Powell Foundation, the Public Welfare Foundation, the RGK Foundation, the Rockwell Fund, Inc., the St. Luke's Episcopal Health Charities Foundation, the Swalm Foundation, the Tapestry Foundation, and the Texas Rural Communities Foundation.

According to TFF Director Michael Hayes, the collaboration has meant that the TFF sites are able to represent the wide geographic and ethnic diversity of Texas, as well as the wide variety of human service organizations that can meet the challenges of serving fragile families.

"Each site is unique in its approach to addressing the needs

of fathers in its community, but does so within a broader context of TFF's vision. The individual nature of the sites means that each program is developing its infrastructure and implementing its own timetable," said Hayes.

TFF projects are located in Austin, Dallas, El Paso, Huntsville, Laredo, Lufkin, San Angelo, San Antonio, Waco, and Houston. While each site is administered by a lead agency, it is also a collaboration of several local organizations along with the local Texas Attorney General's Child Support Enforcement Offices and Texas Workforce Commission boards.

"Texas is unique in this country for having brought together such a wide range of organizations from both the public and private sectors to work on behalf of these children and their fragile families," said Texas Attorney General John Cornyn who joined the Hogg Foundation and the CPPP to announce the demonstration sites. "As such, Texas is being looked to as a model for such collaborations."

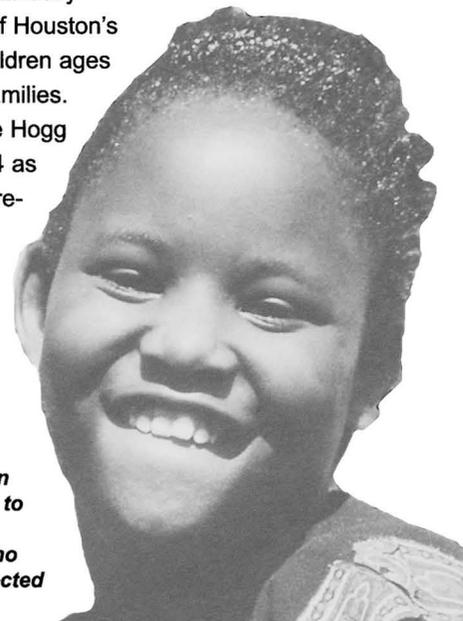
For more information about TFF locate the web site at www.texasfragilefamilies.org/.

Greater Houston Collaborative for Children

The Foundation also has dedicated significant energy and resources to the Greater Houston Collaborative for Children (GHCC), an innovative partnership of more than two dozen foundations and leading human service providers committed to helping Houston's at-risk children. GHCC provides long-term fiscal and technical assistance to projects that—through mutual cooperation—are able to maximize resources, streamline services, and substantially improve the lives of Houston's underprivileged children ages 0 to 18 and their families.

Initiated by the Hogg Foundation in 1994 as a way to explore creative approaches to meeting the needs of Houston's children, GHCC awarded five-year

The Greater Houston Collaborative seeks to meet the needs of Houston families who are frequently neglected or forgotten.





grants to two projects in 1998. The Bridge/El Puente received \$1.7 million to create an apartment-based model of community organization and human services among the largely poor, Hispanic immigrants of southwest Houston's Gulfton area.

The "urban village" created by The Bridge/El Puente helps provide culturally and linguistically relevant services for families in the Napoleon Square Apartments in the heart of Gulfton. Its community-oriented

The Bridge/ El Puente creates an "urban village" by which low-income or recently immigrated parents can provide their children with developmental and medical treatment.

programs nurture children's physical development, promote social maturity and learning, and provide preventive health care.

The Bridge/El Puente consists of day care cooperatives, parenting and early childhood development classes, health education workshops, and literacy instruction. The project enrolls and tracks approximately 250 children aged 0 to 6 annually, with an aggregate total over five years of more than 1,000 children and 500 families.

The other GHCC-funded project is the Family Centered Child Care Collaborative (FC4), which was awarded \$3 million over five years to improve the quality of child care for the more than 200,000 children attending Houston's estimated 5,000 licensed day care facilities each day.

FC4 brings together parents, childcare professionals, and social service agencies in a partnership to create programs for the emotional and intellectual growth of young children. It provides professional development opportunities for center staff and expands childcare centers into places where parents and teachers can engage in a mutually informative dialogue and families can access community services.



G R E A T E R H O U S T O N
Collaborative for Children

Participating child care centers have access to a variety of FC4 resources, including on-site training for center staff, child development resources, parent education materials, physical and mental health services, and technical support. FC4 is also helping centers provide parents with resources they may not have known existed, or had no other way of accessing, such as developmental and health screenings, job training information and referrals, literacy training, parenting classes, and drug and alcohol prevention.

The project initially focused on 15 childcare centers, but is expanding to 70 facilities over its five-year funding period.

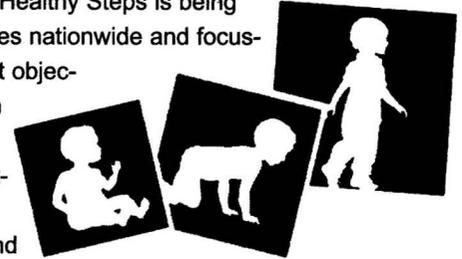
Healthy Steps for Young Children

The Healthy Steps for Young Children program, a national pilot project to expand pediatric care to include mental and developmental well-being as much as physical health, also has been a significant focus of the Foundation over the past four years.

Initiated with a \$4.5 million grant from The Commonwealth Fund of New York, Healthy Steps is being conducted at 36 sites nationwide and focuses

on two important objectives: to help health care providers understand and satisfy parents' questions and needs, and

to reorient pediatricians toward a greater awareness of child development.



HEALTHY STEPS

Research shows that children's physical and cognitive development is most important during the first three years, when they mature from wholly dependent infants into walking, talking, reasoning toddlers. The attention and support they get during those 36 months is crucial. Healthy Steps argues that if parents can be educated about their child's development—on everything from well-baby checkups to early learning—and can foster a closer relationship with their pediatrician, then the health and well-being of their child can be improved.

The Hogg Foundation joined the project in 1997 and helped secure other local funders in order to locate four Healthy Steps sites in Texas: at the Fort Bend Family Health Center in Richmond, at Healthcare Professional Associates in Amarillo, at Texas Children's Hospital in Houston, and at the private practice of Dr. Daniel Trevino in San Antonio. The Hogg Foundation has committed more than \$700,000 in funding and technical assistance to the sites.

Currently, Texas accounts for 600 of the 3,700 families participating in the project nationally.

As Healthy Steps reaches the final stages of funding, the Hogg Foundation is partially supporting an evaluation of the program's clinical effectiveness; particularly, its impact on children and families, its cost, and its potential for expansion. The Amarillo and Richmond sites are included in the evaluation, while the Houston and San Antonio sites are affiliates.

It's expected that the data will help convince practitioners, funders, and other stakeholders of the benefits of the Healthy Steps approach in improving the health and development of their young patients, and reduce long-term health costs.

CHILDREN AND THEIR FAMILIES

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
Greater Houston Community Foundation 4550 Post Oak Place, Suite 317 Houston, TX 77027-3106	Greater Houston Collaborative for Children <i>Ms. Carol S. Shattuck</i>	This fourth-year grant continued support for mental health-related components of multi-agency projects funded by the Greater Houston Collaborative for Children, an innovative partnership of foundations and human service providers dedicated to improving the lives of Houston's children.	\$264,286
Amarillo Area Foundation, Inc. 801 South Fillmore Street Amarillo, TX 79101	Healthy Steps for Young Children Program-Amarillo <i>Mr. Jim Allison</i>	A fourth-year grant continues support for an innovative program of child development by expanding the traditional pediatric model by using education, support, and referrals to help new parents ensure that their infants thrive physically and emotionally.	\$32,022
Texas Children's Hospital 6621 Fannin Street Houston, TX 77030-2399	Healthy Steps for Young Children Program - Houston <i>Dr. Jan E. Drutz</i>	This fourth-year grant continued support for Healthy Steps, an innovative preventive program aimed at helping parents foster health and development in their young children.	\$40,000
Fort Bend Family Health Center 400 Austin Street Richmond, TX 77469-440600	Healthy Steps for Young Children Program - Richmond <i>Ms. Lynda Bible</i>	This fourth-year grant provided continued support for Healthy Steps, an innovative preventive program aimed at helping parents foster health and development in their young children.	\$32,448
San Antonio Metropolitan Health District 332 West Commerce Street San Antonio, TX 78205	Healthy Steps for Young Children Program - San Antonio <i>Dr. Fernando A. Guerra</i>	This third-year grant provided continued support for Healthy Steps, an innovative preventive program aimed at helping parents foster health and development in their young children.	\$50,739
Child Advocates, Inc. 2515 West Main, Suite 300 Houston, TX 77098-325215	Effects of Child Advocate Volunteer Intervention for Abused and Neglected Children <i>Ms. Sonya Galvan</i>	This third-year grant provided continued support for a longitudinal study to determine the effects of Court Appointed Special Advocate (CASA) child advocate volunteers when they intervene on behalf of abused and neglected children.	\$40,000
University of Houston Department of Psychology Houston, TX 77204-5341	Reducing Child Maltreatment <i>Dr. Ernest N. Jouriles</i>	This second-year grant provided support for the implementation and evaluation of a comprehensive, home-based service program for families referred to Child Protective Services.	\$49,940
Buckner Children & Family Services (for Buckner Family Place) 3402 Daniel McCall Drive, #21 Lufkin, TX 75904	Family Development Project to Promote the Mental Health of At-Risk Children and Families <i>Ms. Judy Morgan</i>	This third-year grant provided continued support for the development of a demonstration project designed to prepare welfare-dependent families to live self-sufficiently by providing education and job training, housing, on-site child care, parenting skills development, and mental health services.	\$24,126
Alamo Children's Advocacy Center 7130 West U.S. Hwy. 90 San Antonio, TX 78227-3515	Child Abuse Resource Enhancement (CARE) Project <i>Ms. Sharon Baughman</i>	This second-year grant continued support for a 12-agency collaboration to provide a series of supportive, age-appropriate services to abused children by training agency staff, volunteers, and parents participating in the CARE Project.	\$26,000
The University of Texas at Austin Center for Social Work Research Austin, TX 78712-1203	Fragile Families and Child Well- Being <i>Dr. Yolanda C. Padilla</i>	This third-year grant provided continued support for the Texas portion of a nationwide study focused on the nature of relationships in families with unwed parents and the factors affecting their social and psychological well-being.	\$62,500
Texas Department of Mental Health and Mental Retardation P.O. Box 12668 Austin, TX 78711-2668	Children's Medication Algorithm Project <i>Dr. Marcia Toprac</i>	This third-year grant provided continued support for a patient and family education component focusing on physician and patient (child) adherence to the algorithms and algorithm treatment.	\$34,300
The University of Texas at Austin Department of Psychology Austin, TX 78712	Wife Batterers as Fathers: Influence of Child and Parent Characteristics on Parenting <i>Dr. George W. Holden</i>	This third-year grant continued support for a study on the quality of parenting provided by men who physically abuse their wives and the underlying relationship between wife abuse and child abuse.	\$29,449
Center for Public Policy Priorities 900 Lydia Street Austin, TX 78702	Texas Fragile Families Initiative <i>Ms. Dianne Stewart</i>	This second-year grant supports a public-private partnership dedicated to helping community-based organizations work with young, non-custodial fathers.	\$45,000

*It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
Houston Advocates for Mental Health in Children 7000 Regency Square, Suite 230 Houston, TX 77036	ChildBuilders <i>Ms. Susan Spelts</i>	This third-year grant provided continued support for the development of a comprehensive community education program designed to distribute children's mental health information and to increase the number of children receiving early assessment and treatment in the Greater Houston area.	\$44,605
The University of Texas at Austin Population Research Center Austin, TX 78712-1088	Children and Welfare: A Three-City Study <i>Dr. Ronald J. Angel</i>	This third-year grant provided continued support for the San Antonio portion of a nationwide study exploring the impact that federal and state welfare reforms are having on African-American and Hispanic children.	\$41,092
CASA of Travis County, Inc. 6330 Hwy. 290 East, Suite 350 Austin, TX 78723	Volunteer Guardian Ad Litem Representation for Abused and Neglected Children <i>Ms. Karen Cox</i>	This second-year grant supports research on the impact of the new permanency requirements on child abuse cases with Court Appointed Special Advocates (CASA) volunteers and the effect of changing CASA volunteers' status to guardian ad litem.	\$15,164
North Texas Public Broadcasting KERA-TV 3000 Harry Hines Boulevard Dallas, TX 75201-101200	First Impressions: Nurturing Babies' Minds <i>Ms. Patricia J. Chappell</i>	This third-year grant supports a national TV programming and outreach initiative informing parents and caregivers about the importance of early experiences in children's brain development.	\$64,147
Southern Methodist University Beavers Family Studies Center P.O. Box 750442 Dallas, TX 75275-0442	In-Home Family Counseling Project for Head Start of Greater Dallas <i>Dr. Robert Beavers</i>	This second-year grant provided continued support for the development of a family counseling service component for the Head Start of Greater Dallas, Inc. program.	\$39,000
Spaulding for Children 710 North Post Oak Road Suite 500 Houston, TX 77024-3832	Achieving Permanence of Foster Children Through A Collaborative Adoption Network <i>Mr. Todd A. Landry</i>	This third-year grant provided continued support for the development of a network of child placement agencies to increase the number of special needs foster children moving from foster care to adoption.	\$48,000
Texas CASA, Inc. 800 Brazos, Suite 710 Austin, TX 78701	Frontier Satellite Program <i>Ms. Jane Quantan Piper</i>	A second-year grant provided support for the development of a satellite Court Appointed Special Advocates (CASA) program serving a seven-county region of West Texas.	\$47,700
Child Crisis Center of El Paso 2100 N. Stevens El Paso, TX 79930	Helping Hands/Maños Juntas Program <i>Ms. Stephanie Dodson</i>	This second-year grant continued support for the full implementation of a program to train peer educators in parenting skills, safety techniques, and child abuse prevention.	\$35,700
The University of Texas Health Science Center at Houston School of Public Health P.O. Box 20036 Houston, TX 77225-0036	Implications of Healthy Steps Program <i>Dr. Margaret O'Brien Caughy</i>	This second-year grant supports an evaluation of the variables related to the Healthy Steps for Young Children Program, which seeks to enhance the development of young children by reorienting pediatric practice to emphasize child development and parental involvement.	\$31,894
Fifth Ward Enrichment Program 4014 Market Street, Suite 105 Houston, TX 77020	Young Fathers in Families Project <i>Mr. Ernest McMillan</i>	This second-year grant provided continued support for the development of a fatherhood program in Houston's Fifth Ward neighborhood to help young fathers become more involved in their children's lives.	\$89,376
Volunteers of America Texas, Inc. P.O. Box 200276 Arlington, TX 76006-0276	LIGHT (Living In Good Healthy Treatment) Project <i>Dr. Bruce Epps</i>	This second-year grant continues support for a project to help homeless women and their children overcome barriers to independent living through substance abuse treatment, coordinated mental health services, and other services.	\$25,000
Houston Advocates for Mental Health in Children 7000 Regency Square, Suite 230 Houston, TX 77036	Teaching Children (and Parents) Parenting Skills Primary Prevention Program <i>Ms. Susan Spelts</i>	This second-year grant provided continued support for a new parent education component, which includes teaching positive philosophies, practices, and skills for a highly successful primary prevention program for school children.	\$17,800

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
No More Victims Inc. 2417 Isabella Houston, TX 77004	Incarcerated Parent/Child Relationships <i>Ms. Marilyn K. Gambrell</i>	This one-year grant supported the development of strategies to improve the mental health and well-being of children of incarcerated parents.	\$50,000
Texas A&M University System Texas Agricultural Experiment Station College Station, TX 77843-1266	Regional Conference on Rural Family Violence <i>Mr. G. Dewey Liccioni</i>	This one-time grant supported three speakers for a one-day conference on family violence in rural settings.	\$1,000
Texas Children's Hospital Learning Support Center 6621 Fannin Street, MC 3-2340 Houston, TX 77030-2399	Instructional Techniques to Support Children Exhibiting Behavioral Difficulties in the Classroom <i>Dr. Michelle M. Forrester</i>	This one-time grant supported a model program to train preschool teachers in Brief Evaluation and Intervention Program techniques, an approach which has been proven effective in helping children of diverse cultural and economic backgrounds deal with behavior problems in the classroom.	\$23,200
Austin Children's Museum 201 Colorado Street Austin, TX 78701	Child Development Exhibit <i>Ms. Becky Jones</i>	This one-year grant was awarded for a traveling exhibit entitled, "I'm Growing Up," which provides hands-on activities and interactive information on key aspects of child development from pre-birth to age 16.	\$20,000
El Paso Area Foster Parent Association 119 North Stanton El Paso, TX 79901	Fostering El Paso's Abused Children <i>Ms. Maria Zaragoza</i>	This first-year grant supports an intensive training program for foster parents and for their therapeutic support in times of crisis whose goal is to improve out-of-home placements of mentally and physically challenged children.	\$26,667
Child, Inc. 818 E. 53rd Street Austin, TX 78751	Establishment of "I Am Your Child" Network <i>Mr. James Strickland</i>	This first-year grant supports the "I Am Your Child" Texas Network Initiative, which establishes statewide networks to inform parents and civic leaders about the importance of brain development during a child's first three years of life.	\$18,300
Texas Association for Infant Mental Health 660 Preston Forest Center Dallas, TX 75230-2718	Infant Mental Health Advocacy Conference <i>Ms. Barbara Moss</i>	This one-time grant provided support for funding of guest speaker honorarium and travel for a January 7-8 conference.	\$2,500
Children's Advocacy Centers of Texas 12325 Hymeadow Dr. Bldg. 3-200 Austin, TX 78750	Training Program in Forensic Interviews, Mental Health Services, and Multidisciplinary Team Approach <i>Ms. Debbie Davis</i>	This first-year grant supported the development of a four-pronged program to be provided to all 45 child advocacy centers in Texas. The four areas to be developed are forensic interviews, medical services, mental health services, and multidisciplinary team training.	\$31,500
The University of Texas Health Science Center at San Antonio Department of Psychiatry San Antonio, TX 78229-3900	Treatment of Juvenile Offenders with Serious Mental Illness - Neuropsychological Study <i>Dr. Steven Pliszka</i>	This one-year grant supports a study of psychiatric diagnosis in a juvenile population and to illustrate neuropsychological differences based on their diagnoses. The project seeks to establish grounds for individualized interventions based upon subjects' neuropsychological vulnerability and psychiatric diagnoses.	\$37,500
University of Houston Department of Psychology 4800 Calhoun Road Houston, TX 77204-5341	Treatment of Juvenile Victims: Effectiveness of Community-Based Treatment for Child Victims of Crime <i>Dr. John P. Vincent</i>	This portion of the first-year grant provided support for the provision and evaluation of psychological and court preparation services for child victims of extrafamilial sexual crimes.	\$41,008
Chicano Family Center, Inc. 7524 Avenue E Houston, TX 777012	Project B.E.S.T. (Building Exceptional Students Together) <i>Ms. Elena R. Vergara</i>	This first-year grant provided support for a multi-layered prevention and intervention model to reduce substance abuse and to promote mental health and educational achievement at three middle schools in the Houston Independent School District.	\$50,000
Casa de Esperanza de los Niños, Inc. P.O. Box 66581 Houston, TX 77266-6581	Family-Based Care Program <i>Ms. Kathleen Foster</i>	This first-year grant provided support for key staff positions in a family-based care home, which provides a residential setting to house children for whom therapeutic foster care may be a reality after long-term care in this facility.	\$46,455

Minority Mental Health

Texas' ethnic and cultural diversity presents unique challenges for mental health issues. Minorities comprise a disproportionately high percentage of Texas' economically disadvantaged, and often lack access to affordable, culturally relevant services. Even when programs are accessible, mental health service providers frequently have little cultural training to be truly effective.

Through its Minority Mental Health Program Area, the Hogg Foundation works to identify gaps and to examine how social and demographic factors affect mental health services for minority populations.

In particular, this area seeks to improve the quality of mental health services; broaden the knowledge of minority mental health by advancing culturally relevant research on and by ethnic and racial minorities; meet the challenge of training the next generation of culturally diverse mental health professionals; and, improve public understanding of timely minority mental health topics.

Project to Improve Mental Health Research, Training in South Texas

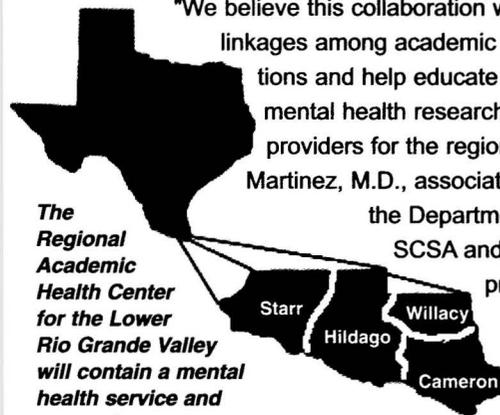
In an effort to address the often-neglected mental health needs of the Lower Rio Grande Valley, the Hogg Foundation has joined with The University of Texas Health Science Center at San Antonio (UTHSCSA) and The University of Texas at Pan American (UT-Pan Am) in developing a collaborative mental health research and training program in South Texas.

With initial program funding of \$48,530 from the Hogg Foundation, this collaboration will help establish a long-range program of developing critical mental health research and clinical services along the Texas-Mexico border specifically designed for citizens of Mexican origin.

"We believe this collaboration will provide vital research linkages among academic and community organizations and help educate a new generation of mental health researchers and service providers for the region," said Cervando Martinez, M.D., associate dean and professor in the Department of Psychiatry at UTHSCSA and coordinator of the program.

Martinez said that researchers at UTHSCSA and UT-Pan Am are developing a scientific model by which they will examine the factors relevant to the awareness, understanding, and treatment of behavioral and mental health-related disorders among residents of South Texas.

The Regional Academic Health Center for the Lower Rio Grande Valley will contain a mental health service and research component to improve the delivery of culturally competent clinical and behavioral services to this traditionally underserved region.



This multidimensional model recognizes both genetic and biochemical contributions to mental illness, and takes into account the unique social and geographic factors that influence service availability in the region. It also accommodates the contributions of various stakeholders including researchers, primary and behavioral services administrators, mental health clinicians, and public policy planners in using research to enhance the development of effective treatments.

The collaborative capitalizes upon an affiliation with the new Regional Academic Health Center (RAHC) being developed by The University of Texas System to improve the scope and quality of care in the Lower Rio Grande Valley.

The RAHC is an innovative departure from The University of Texas System's traditional means of providing medical education,

research, and clinical training. Instead of locating a medical school on one site, the RAHC will spread its facilities across four medically underserved counties: Hidalgo, Cameron, Starr, and Willacy. Such an arrangement allows the RAHC to develop community partnerships with the existing health care delivery infrastructure (e.g., local primary care residency programs, community-based hospitals and clinics, and higher education institutions) to provide a number of undergraduate and graduate medical education and residency training programs.

Managed by UTHSCSA, the planned health center will be divided among three sites: a medical educational complex located in Harlingen; a public health component located in Brownsville under the auspices of the UT Health Science Center at Houston, and a research program at the UT-Pan American in Edinburg.

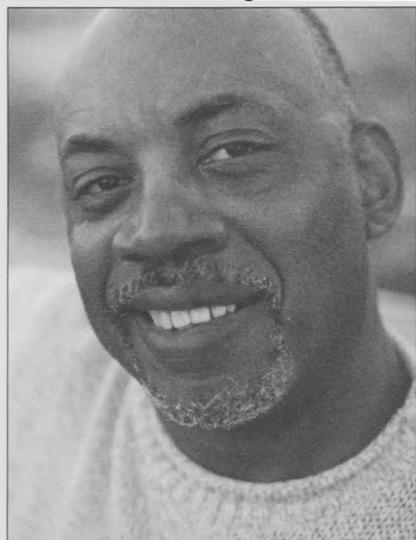
Martinez said that the mental health collaboration will both contribute and benefit from its affiliation with the RAHC, particularly by strengthening the bonds between these and other Texas academic institutions in developing cooperative programs to expand mental health service providers and clinical resources in the region. The collaborative has already begun enlisting investigators, developing pilot projects, and recruiting students for research mentoring and participation.

Manual to Help Improve Culturally Competent Services

Due to the rapid growth of the minority population in Texas in recent years—coupled with a growing recognition of the mental health needs within this population—has indicated an untenable lack of culturally relevant resources and services in the state.

The Hogg Foundation first began concentrating on the problem in 1998 through its Robert Lee Sutherland (RLS) Seminar. Focusing upon ways to improve minority access to culturally competent mental health services, the RLS seminar conducted focus groups with a wide range of minority populations across the state to hear their concerns, identify service gaps and needs, and assess strategies for addressing them.

As a natural progression from that seminar, the Foundation awarded a grant of \$24,621 to Dr. Delia Saldaña of the Department of Psychiatry at The University of Texas Health Science Center at San Antonio to develop a guide entitled, *Cultural Competency: Practical Guidelines for Mental Health Services*. Developed with guidance



from the Office of Multi-Cultural Services of the Texas Department of Mental Health and Mental Retardation, the guide seeks to expand both public and professional under-



standing of multicultural issues, as well as factors in prevention and treatment affecting minority populations. At the same time, the manual is intended to inform and instruct mental health service providers in how to provide culturally competent services to minority populations. Also, the guide focuses upon practical approaches—translating theoretical concepts into usable procedures.

Ragland Program Increasing Minority Health Professionals

Texas' ethnic and cultural diversity presents unique mental health challenges. Despite rapidly expanding minority populations, there remains a considerable shortage of well-trained minority professionals to provide linguistically and culturally relevant mental health services.

Because of the paucity of trained professionals and facilities, minorities often go without care, are misdiagnosed, or receive wholly inappropriate care (e.g., unnecessary institutionalization or improper medication).

To address this problem, the Hogg Foundation has joined with Prairie View A&M University to establish the George R. Ragland Scholars Program.

Named in honor of Dr. George R. Ragland, a long-time professor and department head for Prairie View A&M's Department of Sociology, the Ragland Scholars Program is a multi-disciplinary, collaborative project designed to assist in addressing Texas' need for well-trained minority mental health professionals.

With the Hogg Foundation's technical assistance and a planning grant of \$164,323 over four years, the Ragland Scholars Program has developed academic and professional training curricula to prepare students for professional mental health careers. The program established an enhanced mental health academic program, implemented a graduate school preparation program, and offered career placement resources. Prairie View A&M University also has developed a new mental health course curriculum entitled "Minority Issues in Mental

Health," which will explore the latest research, literature, treatment modalities, and concerns for providing culturally relevant services to diverse populations.

Equally as important, students in the Ragland Scholars Program can take advantage of internships and mentoring resources in professional mental health settings. This component is important, because it both encourages and facilitates professional networking and socialization among minority mental health practitioners and academics that can be essential to encouraging and supporting students in pursuing graduate school and mental health careers.

"Our hope is that the scholars program will not only benefit culturally diverse students, but will educate the entire community of the need for unique mental health treatments, policies, and approaches for diverse cultures," said Dr. Sarah B. Williams, director of the Ragland program and a professor in the Department of Social Work and Sociology at Prairie View A&M.



Representatives of Prairie View A&M University and the Hogg Foundation commemorate the Ragland Scholars Program. (Left to right) Reymundo Rodriguez, Hogg Foundation Program Officer; Dr. Elizabeth Martin of Prairie View A&M; Dr. Charles M. Bonjean, Hogg Foundation executive director; and Dr. Sarah B. Williams, director of the Ragland Program and a professor in the Department of Social Work and Sociology at Prairie View A&M University.

The man for whom the program is named, Dr. George Ragland, was a well-respected professor and administrator at Prairie View A&M. During his tenure, he served as acting registrar and director of Institutional Research, Records and Reports.

"This program is a just memorial for Dr. Ragland," said Hogg Foundation Executive Director Dr. Charles M. Bonjean. "He was an outstanding academician who held very high standards and expectations for his students. I am sure he would

have been pleased to have his name on such a worthy effort."

The Ragland Program has already met an impressive milestone, by sending ten graduates from its inaugural class to graduate schools of social work across the state. Students were accepted into the graduate social work programs at the University of Houston, The University of Texas at Austin, and the Worden School of Social Services at Our Lady of the Lake University in San Antonio.

MINORITY MENTAL HEALTH GRANTS 1999-2000

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
El Centro de Corazon 5001 Navigation Houston, TX 77011	Community-Based Program for Family Counseling <i>Ms. Mary Jo May</i>	This fifth-year grant continues support for a mental health intervention program in Houston's Second Ward that targets low-income, high-risk children and their families.	\$23,500
Prairie View A&M University Department of Social Work and Sociology P.O. Box 686 Prairie View, TX 77446-0686	George R. Ragland Scholars Program <i>Dr. Sarah B. Williams</i>	First-year support was provided to implement a scholars program to address the need for well-trained minority professionals by creating an academic and professional training program for students interested in pursuing a career in the mental health professions.	\$38,412
Capital Area Mental Health Center, Inc. 1106 Clayton Lane, Suite105-West Austin, TX 78723	Diversity Initiative for Expanding Services and Professional Training to Clients <i>Ms. Betty Button</i>	This third-year grant provided continued support to improve services, advance training of minorities in the mental health professions, and identify opportunities for serving minority populations.	\$50,000
United Way/Capital Area 2000 East Martin Luther King Jr. Boulevard Austin, TX 78702	Blueprint for Board Members: A Minority Leadership Development Project <i>Ms. Cynthia Nuñez-Colbert</i>	A second-year grant provided continued support to recruit and train minority volunteers for service on boards of community-based organizations.	\$18,000
Texas Tech University Health Sciences Center at El Paso Department of Neuropsychiatry and Behavioral Sciences 4800 Alberta Ave. El Paso, TX 79905-2700	Psychological Distress Among Elderly Hispanic and Anglo Residents of El Paso, Texas <i>Dr. David F. Briones</i>	This one-year grant provided support for a study to investigate factors related to psychological distress among elderly Hispanic and Anglo populations in a United States-Mexico border city. This research project surveyed a community-based probability sample of 1,200 elderly Hispanic and Anglo residents of the El Paso area.	\$23,300

*It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
Hope Action Care P.O. Box 120190 San Antonio, TX 78212	Mental Health and Substance Abuse Services to Minority Disabled and Homeless Persons <i>Mr. Jesus M. Sánchez</i>	This project received first-year support for case management services for minority disabled, substance abusing, and homeless persons in Bexar and surrounding counties.	\$40,000
The University of Texas at Austin Center for Social Work Research Austin, TX 78712	Mental Health Services to Mexican Americans and Mexican Immigrant Populations <i>Dr. Ruth McRoy</i>	A one-year grant was provided for research, planning, and consultation activities necessary to develop a competitive application to the National Institute of Mental Health for a Social Work Development Center on Mental Health Services to Mexican Americans and Mexican Immigrant Families.	\$17,500
The University of Texas at San Antonio Center for Drugs and Social Policy Research 1222 N. Main, Suite 650A San Antonio, TX 78212	Childhood Trauma, Family Stress, and Depression Among Mexican-American Gang Non-Injecting Heroin Users: A Follow-Up Exploratory Study <i>Dr. Avelardo Valdez</i>	A one-time grant provided support for the study of the nature, extent, and etiology of young Mexican-American, non-injecting heroin users in San Antonio to determine the difference between those who are gang members and those who are not gang members.	\$38,790
San Antonio Metropolitan Health District 332 West Commerce San Antonio, TX 78205-2489	At-Risk Women/At-Risk Families: A Program to Support Young Mothers with Close Interval Pregnancies <i>Dr. Fernando A. Guerra</i>	Support was provided for a one-year grant for the development, implementation, and evaluation of a program aimed at developing strong, successful young families by strengthening problem-solving and goal-setting skills in young mothers ages 21 or younger.	\$27,000
The University of Texas Health Science Center at San Antonio Department of Psychiatry 7703 Floyd Curl Drive San Antonio, TX 78284-7792	Cultural Competency: Practical Guidelines for Mental Health Services <i>Dr. Delia H. Saldaña</i>	This first-year grant provided support for phase one of a project to develop materials for mental health service providers to address exemplary practices in cultural competency.	\$24,621
The University of Texas Health Science Center at San Antonio Department of Psychiatry 7703 Floyd Curl Drive San Antonio, TX 78229-3900	Development of a Collaborative Program of Mental Health Research in South Texas <i>Dr. Cervando Martinez, Jr.</i>	This project received first-year support to establish a long-range program of mental health research and clinical services for citizens of South Texas, as part of the newly-funded Regional Academic Health Center in South Texas.	\$48,530
The University of Texas Health Science Center at San Antonio Department of Medicine 7703 Floyd Curl Ave., Mail Code 7879 San Antonio, TX 78229-3900	IMPACT: Improving Care for Late Life Depression <i>Dr. John W. Williams, Jr.</i>	This first-year grant provided support for a research project testing the cost-effectiveness of a multifaceted disease management model for the care of elderly with major depression or dysthymia.	\$19,219
The University of Texas at Austin School of Social Work Austin, TX 78713-7726	"Dynamic Strategies and Skills for School Social Work 2000" Conference <i>Dr. Barbara W. White</i>	Partial support was provided for the honorarium and travel expenses of a keynote speaker at a February 2000 conference.	\$1,000
Texas Appleseed 111 Congress Avenue, Suite 1010 Austin, TX 78701	Texas Appleseed Fair Defense Project <i>Ms. Annette LoVoi</i>	This one-year grant supports the mental health portion of the Texas Appleseed Fair Defense Project, which is studying how indigent defendants in the criminal justice system—many of whom are minorities and young adults with mental health problems—have their legal defense needs met.	\$16,435
Baylor College of Medicine Population Program One Baylor Plaza Texas Medical Center Houston, TX 77030	Texas Fragile Families – Baylor College of Medicine <i>Dr. Peggy B. Smith</i>	This first-year grant provided support for the Teen Health Clinic site of the Texas Fragile Families Initiative (TFF), one of twelve TFF programs to work with young, low income, unmarried fathers and their children.	\$39,886

Youth Development

The Youth Development Program Area focuses on the factors which influence the healthy development of adolescents and young adults.

The nation's focus over the past year on school violence, child and adolescent development, and the changing system of health care delivery have underscored our urgency to better understand the mental health needs of adolescents and young adults and address those needs with effective, well-planned services.

The Youth Development Program Area concentrates on the design, delivery, and funding of mental health services; identifies critical mental health research needs; discovers best practices in the delivery, funding, and evaluation of mental health services for adolescents; and works with higher education institutions to understand the changing mental health needs of today's student populations and what resources are necessary to ensure an appropriate response.

Youth Violence Concerns Sparks Grantmakers Seminar

Following several fatal school shootings and violent incidents across the country, Texas grantmakers recently met to explore the social and psychological causes of youth violence and the intervention strategies that could best reduce the risks to children.

The overwhelming consensus of the June 9 seminar, entitled *Youth Violence: Prevention and Intervention*, was to increase emphasis on early intervention efforts that require the essential collaboration of both parents and communities to reduce youth violence.

In particular, seminar presenters argued that early intervention programs aimed at identifying troubled children and addressing their mental health needs must be adequately funded in schools and communities across the state.

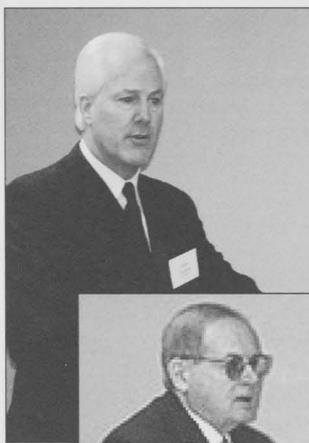
The Hogg Foundation hosted the seminar in conjunction with Texas Grantmakers In Health and Human Services (TGIHHS), an affinity group of more than 40 Texas foundations dedicated to exploring ways to improve the health of, and the delivery of services to, the people of Texas. The seminar featured public officials, academic researchers, and community service providers.

Both Texas Attorney General John Cornyn and former Texas Health Commissioner Dr. W. Reynolds Archer emphasized how the threats of youth violence have grown into a public health issue and that the public health community should approach the

problem with the same methods and vigor that it employed to defeat health threats like polio and smallpox more than a half century ago.

Attorney General Cornyn lamented the lack of parental involvement in children's lives by "too many parents who bring children into the world and then walk away from them."

"Children who grow up without strong, positive adult role models and influences in their lives are more likely to participate in risky—often violent—behavior that jeopardizes their health and safety," Cornyn said.



Texas Attorney General John Cornyn (above, left) and Alvin R. Tarlov, M.D. (left) Senior Fellow in Health Policy at the Baker Institute for Public Policy at Rice University making their presentations at the Youth Violence Seminar hosted by Texas Grantmakers In Health and Human Services.

In that vein, Cornyn noted his office's collaboration with the Hogg Foundation in the Texas Fragile Families Initiative, whereby Texas and national foundations, service providers, and state agencies have joined together to support programs that help young, never-married fathers meet their children's emotional and financial needs. (See the Children and Their Families Program Area)

The meeting was also highlighted by presentations from Alvin R. Tarlov, M.D., director of the Texas Program in Society and Health at the James A. Baker III Institute for Public Policy at Rice University, who spoke about the social determinants of violence in communities; and Mark Stafford, Ph.D., a professor in the Center for Criminology and Criminal Justice Research at The University of Texas at Austin who discussed the latest academic research about youth violence.

The seminar also presented representatives of several community projects aimed at addressing the problems of youth violence. The Dallas Challenge Truancy Program was described by its Executive Director, Lynn M. Davis, program director Shelton Stegner, and Dallas County Truancy Court Judge Robert Herrera. Rod Radle, LMSW-ASCP, and executive director of the San Antonio Alternative Housing, Inc., spoke about his comprehensive family-gang intervention program in an area of high youth-gang activity in the west side of San Antonio. Radle was followed by Carol Breslau, senior program officer for The Colorado Trust who discussed the Colorado Violence Prevention Initiative, which supports a wide array of community-based violence prevention grants, a statewide public education campaign, media literacy efforts, and programs targeting handgun violence.

Full transcripts of all the presentations will be available on the Texas Grantmakers In Health and Human Services Web Site, at www.tgihhs.lac.utexas.edu.

Active Parenting Eases Transition to High School

While conventional wisdom holds that family resources—such as income and educational attainment—influence a child's academic success, a new study indicates that it is not the *amount* of the resources but how parents *use* them that can determine student achievement.

A Hogg Foundation-funded study, conducted by researchers at The University of Texas at Austin's Department of Educational Psychology and the School of Social Work, found that a combination of active parenting and an energetic social network significantly benefits a child's academic success.

The investigators focused upon a widely recognized indicator of student success: the transition from middle school to high school. Previous research has shown that successful navigation of this transition is an extremely important determinant of

whether a student makes it to high school graduation. But while the studies measured the quantity of those resources, the UT researchers broadened their survey to examine the qualitative application of those resources to facilitating a child's transition to high school.

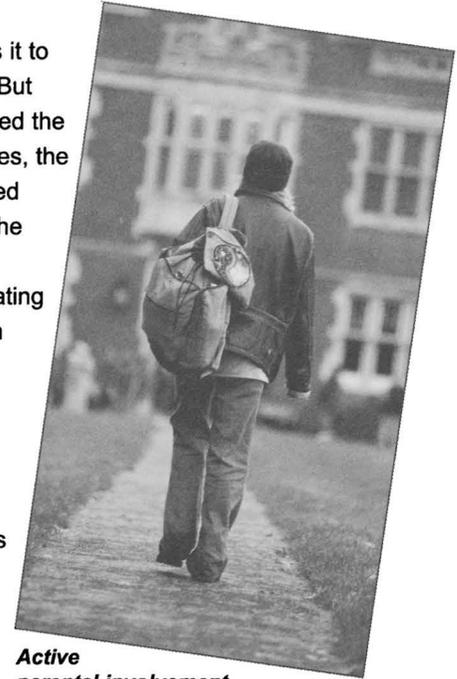
By analyzing grade point averages, credits earned, attendance records, and interviews with parents and students before and after the transition to high school, the researchers found that neither parental education nor family income determined academic success. Instead, the

study found a more significant determinant in the ways in which parents involved themselves in their child's education, regardless of the quantity of resources they had at their disposal. In fact, the study found that schools were more likely to meet student needs if parents actively supported the child's education in the home, school, and community.

For example, children who successfully made the transition to high school had parents who were more likely to involve themselves in the selection of their courses and extracurricular activities. These parents also communicated with teachers, counselors, and administrators about their children, organized booster clubs, raised funds, and acted as chaperones. Consequently, the school viewed these parents as assets that facilitated positive relationships. Central to those actions were three interrelated activities: monitoring, evaluating, and intervening.

Monitoring Students who successfully transitioned to high school had parents that closely observed and monitored their behavior every day. Through monitoring, the parents learned if the teen was doing homework, attending school, and interacting positively with peers. Most monitoring was overt, and not limited to routine questions of "How was your day?" Instead, effective monitoring required multiple, daily interactions with the teenager.

Evaluating Successful teens had parents who carefully evaluated the information obtained from the daily monitoring of their child. These parents compared their observations of how their teen thought, felt, and acted with what they thought the teen should be thinking, feeling, and doing. Many parents used



Active parental involvement can be a significant determinant of student success in the transition to high school, researchers say.

either their own teen experiences or experiences with older siblings to guide their interpretations.

Intervening Parents of successful students took actions daily to help their child achieve success in school. These parents knew whether the student should have homework, what extracurricular events the teen should be attending, and what type of relationships the teen should be having with peers. These actions communicate both academic and extracurricular expectations for the student.

Equally important, the researchers found the fostering of positive social networks promoted school participation prior to high school. Consequently, these networks provided an array of peers who fulfilled the student's needs for affiliation and supported a serious commitment to meeting academic demands.

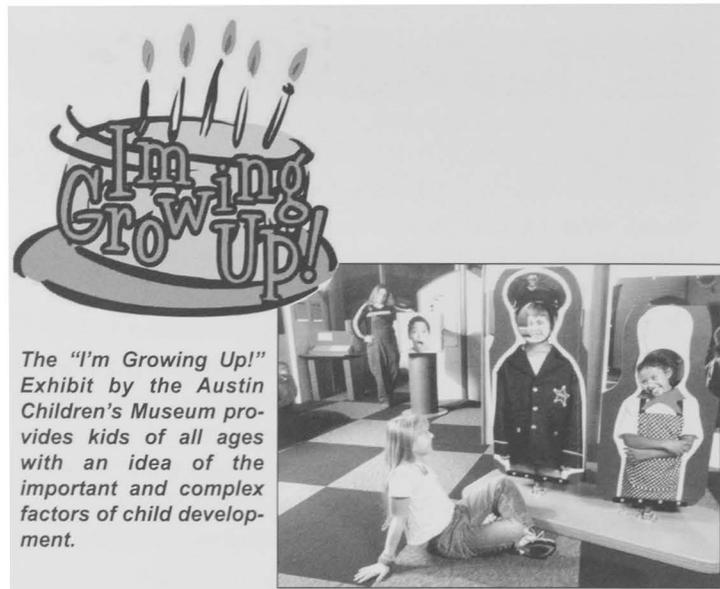
These social networks also furnished parents with additional avenues of information about their children; provided exposure to other teens against whom their teen's behavior could be compared; made available other concerned adults who could also monitor their children; and afforded the opportunity to participate in parental social and support networks.

Children's Museum Exhibit Explores Child Development

To celebrate the amazing child development process that turns toddlers into teenagers, the Austin Children's Museum has developed a traveling exhibit state entitled *"I'm Growing Up!: The Adventure of Growing Up From Baby to Teen."*

Funded in part by a \$20,000 grant from the Hogg Foundation—as well as support from the National Science Foundation and the St. David's Foundation—"I'm Growing Up!" portrays a child's perspective of human development from conception to adolescence. Through playful, interactive experiences, this exhibit allows children and parents to discover the hallmarks of development in the ongoing process of "growing up."

This exhibit is about the milestones of maturing—some monumental, some momentary—and helps families to learn that child development happens in a predictable sequence—though we each grow at our own pace—and that we are all integrally connected, but individually unique. It also reminds parents that science can provide answers to some of the most puzzling questions.



The "I'm Growing Up!" Exhibit by the Austin Children's Museum provides kids of all ages with an idea of the important and complex factors of child development.

"We hope this exhibits restores some of the wonder associated with child development," said Amy Rose, director of exhibit development for the Austin Children's Museum. "Too often as adults we have lost touch with the miracle of growing up so fast; at the same time children are so in the middle of it that they can't always see the cake for the candles."

The exhibit gives many opportunities to learn factual details about the phases of development. Visitors can experience a child's cognitive, emotional, social, and physical development through activities such as brain teasers and puzzles, role-play environments, physical challenges, and audio/visual presentations. Visitors can see a baby even before it's been born and discover how babies grow; pick up the phone and listen to children of all ages telling stories; try out some adult roles and careers; and take a drive in a real "grown up" car.

This 1500-square-foot exhibit is available for rent to other children's museums and science centers around the country.

The Austin Children's Museum, located at 201 Colorado Street in Austin, is a private non-profit organization that receives funding from the City of Austin Arts Commission; the Texas Commission on the Arts; and various foundations, businesses and individuals.

For more information about "I'm Growing Up!" contact the Austin Children's Museum by mail at 201 Colorado Street, Austin, Texas 78701, by phone at 512-472-2499, or visit their website at www.austinkids.org/.

YOUTH DEVELOPMENT GRANTS 1999-2000

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
Boys & Girls Clubs of Austin/Travis County 303 West Johanna Street Austin, TX 78704	SMART Moves Program Ms. Suzanne G. Lynn-Barkley	This first-year grant supported implementation of SMART Moves, a school-based pilot program to reduce youth involvement with gangs, alcohol, drugs, and premature sexual activity.	\$30,500
Mental Health Association of Houston 2211 Norfolk, Suite 810 Houston, TX 77098	Youth Violence Prevention Initiative Program Ms. Betsy Schwartz	This one-time grant supported a county-wide collaboration to identify the factors that contribute to youth violence.	\$24,000

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
Texas A&M Research Foundation Texas A&M University Department of Educational Psychology P.O. Box 3578 College Station, TX 77843-4225	Preventing Substance Abuse in Aggressive Children <i>Dr. Jan N. Hughes</i>	A fifth year of funding was awarded for continued support of a school-based approach (\$9,274 was used for the second phase during the fourth year), including a booster intervention, to prevent later substance abuse and its proximal antecedents.	\$24,619.00
Texas A&M Research Foundation Texas A&M University Department of Psychology P.O. Box 3578 College Station, TX 77843	Mentoring Relationships with Aggressive Children <i>Dr. Timothy A. Cavell</i>	This third-year grant provided continued support for a study of the student mentorship components of Prime Time, a theoretically-driven, school-based approach to preventing later drug abuse and its proximal antecedents.	\$8,880.00
Red River Valley Girl Scout Council of Texas, Inc. 2025 N.W. Loop 286 Paris, TX 75460-160125	Special Outreach Services Program <i>Ms. Connie Stauter</i>	This third-year grant supported the continued development of a demonstration project designed to bring quality youth services to girls traditionally unserved by mainstream organizations in a nine-county region of rural Northeast Texas.	\$19,988.00
Lone Star Girl Scout Council P.O. Box 15385 Austin, TX 78761-538585	Enterprising Girl Scouts Beyond Bars (EGSBB) <i>Ms. Etta Moore</i>	This second-year grant provided continued support for an program that seeks to break the cycle of intergenerational incarceration by providing services to mothers, daughters, and guardians during and after the mother's imprisonment.	\$39,176.00
El Paso County Public Defender's Office 500 E. San Antonio, Room 401 El Paso, TX 79901	Public Defender Outreach Program (PDOP) Sibling Pilot Study <i>Ms. M. Clara Hernandez</i>	This first-year grant supported the implementation of the Public Defender Outreach Program, which seeks to interrupt the cycle of delinquent behavior in families targeted by the El Paso County Public Defender's Office.	\$40,000.00
Baylor College of Medicine Department of Pediatrics One Baylor Plaza Houston, TX 77030-3498	Epidemiology of Depression and Drug Abuse Among Adolescent Mothers <i>Dr. Constance M. Wiemann</i>	This second-year grant provided support for the continuation and expansion of research into depression and drug abuse among more than 900 adolescent mothers from 24 to 48 months post-delivery.	\$24,284.00
Harris County Juvenile Probation Department 3540 West Dallas Houston, TX 77019-1796	Residential Sex Offender Treatment Program <i>Mr. Elmer Bailey, Jr.</i>	This second-year grant continued support for a sex offender treatment program at the Burnett-Bayland Reception Center, where diagnosis and treatment of sex offenders is an integral part of the services provided to youth placed in custody.	\$82,202.00
San Jacinto Girl Scouts, Inc. 3110 Southwest Freeway Houston, TX 77098	Acres Home Community Project <i>Ms. Mary M. Vitek</i>	This second-year grant supports an effort to provide appropriate after-school programming for girls in partnership with AmeriCorps, parents, neighborhood adults, and area schools.	\$33,500.00
People in Partnership Douglass Family Resource Center 3000 Trulley Houston, TX 77004	Friend of the Family Capacity Building Program and Family Resource Center Services <i>Ms. Sheila B. Savannah</i>	This second-year grant supports a project to increase culturally competent mental health services by linking existing community organizations to families in the Third Ward, Sunnyside, and South Park neighborhoods of Houston.	\$75,000.00
Mental Health Association in Abilene, Inc. P.O. Box 7282 Abilene, TX 79608-7282	Mental Health CPR Program <i>Mr. Kermit F. Klaerner</i>	This second-year grant supports the elementary school phase of a successful suicide awareness and prevention program in the Abilene Independent School District.	\$6,224.00
People's Community Clinic 2909 North IH-35 Austin, TX 78722	Tandem Teen Prenatal and Parenting Program <i>Ms. Robin Rosell</i>	Second-year funding supported an collaborative project to provide comprehensive services to teen parents and their children; to promote optimal emotional/social, educational/vocational, medical, and family functioning in teens; and to reduce the risk of subsequent unplanned pregnancies.	\$30,000.00
The University of Texas at Austin Department of Psychology Austin, TX 78712	Austin Body Acceptance Project <i>Dr. Eric Stice</i>	A one-time grant provided support for a study of an innovative intervention for eating disorders among adolescent girls related to unrealistic body images.	\$36,409.00
Samaritan Counseling Center of East Texas, Inc. 903 North Street Nacogdoches, TX 75961	Parents and Children Together - Authoritative Parenting in East Texas <i>Ms. Jan Rhodes</i>	This first-year grant seeks to improve the outcomes for troubled youth and their families by implementing the Authoritative Parenting curriculum to aid in the resiliency of youth by improving their relationships with their parents.	\$36,260.00

**It is important to note that several of these grants may cut across or overlap with the Foundation's other program areas.*

Meeting Other Needs

When suggesting the purposes of the Hogg Foundation and its administration of the William C. Hogg Memorial Fund, Miss Ima Hogg envisioned “. . . a broad mental health program for bringing great benefits to the people of Texas.”

In later years, Miss Ima underscored that commitment by asserting that the Foundation had an obligation “to use scholarly standards and methods in all phases of work.” While not a service agency, the Foundation helps institutions, communities, and professional groups to implement new treatment and prevention methods.

The Hogg Foundation has long used a broad definition of mental health to define its roles and purpose. While the Foundation gives priority to grants in its three program areas, it continues to make grants addressing a wide range of mental health service and research projects.

Some of these projects are outlined within this section.

Project to Provide Mentally Ill Indigents With Legal Help

In recent months, the fairness and efficiency of Texas' system of indigent criminal defense has been the target of a good deal of criticism.

Unlike other states, Texas has no public defender system to handle indigent cases. Instead, private attorneys are appointed as defense counsel by judges in a process that critics say fails to provide a fair and efficient system of legal defense for indigent or mentally ill defendants—many of whom are minorities or young adults arrested for minor offenses related to homelessness, poverty, or substance abuse problems.

These mentally ill offenders are far more likely to suffer from delayed or inadequate counsel, prolonged incarceration, and inappropriate or deficient mental health services.

To address this problem, Texas Appleseed—a public interest organization composed of lawyers and civic leaders who advocate for social and economic equity in the state—has established the Fair Defense Project, an innovative collaboration of attorneys, academics, and community groups seeking to improve the handling of indigents by the judicial system.

With a grant of \$16,435 from the Hogg Foundation—as well as support from the Open Society Institute, the Public Welfare Foundation, the National Mental Health Association, and the Special Commissions Project (a joint program sponsored by the American Bar Association and the U.S. Department of Justice)—

the Fair Defense Project is undertaking an analysis of the indigent defense system in a representative sample of 23 Texas counties.

The information generated by the Fair Defense Project will then be analyzed so as to focus public attention on the strengths and weaknesses in the existing indigent defense system in Texas. The study will also furnish a solid foundation for an extensive public information campaign designed to ensure that the mental health aspects of indigent defense reform are fully understood and addressed.



Improving End-of-Life Care Subject of UTMB Study

Despite its inevitability, our culture tends to avoid discussing issues of mortality. Such evasiveness has meant that we are often unprepared to face the end of life, and the end becomes unnecessarily traumatic and painful.

To address these issues, the Hogg Foundation awarded \$90,000 over three years to the University of Texas Medical Branch at Galveston's Institute for the Medical Humanities for the Improving End-of-Life Care Project. Part of a national initiative sponsored by the Robert Wood Johnson Foundation, the project seeks to identify and remove legal, social, cultural, and logistical barriers to good end-of-life care.

Ronald A. Carson, Ph.D., director of the IMH and principal investigator for the project, will conduct focus groups and town meetings about end-of-life issues in Houston, Austin, and rural Texas communities to learn the needs and priorities of end-of-life care, and how local agencies and organizations can better meet those needs.

"National surveys of medical students, residents, and faculty reveal that medical schools teach little about the natural processes of death as the culmination of a long illness," said Carson. "They teach even less about the psychological and social needs of the dying and their families. Yet materials for teaching palliative medicine and other end-of-life care do exist. The challenge is to determine which are most effective – and then apply them to the medical school curriculum."

Project to Provide Psychiatric Services for Political Refugees

Over the last twenty years Central Texas has acquired a sizeable community of immigrants and political refugees fleeing oppression in their native lands for asylum in the United States.

Many of these refugees bear scars of torture, bereavement, and separation from family and friends, as well as face insurmountable financial, cultural, and institutional obstacles in seeking help for their psychological problems.

"During the 1980s, there were thousands of political refugees who walked from Central America to Texas in order to escape the civil wars in their homelands. Once here, U.S. officials put them into detention camps until their fates could be decided," says Nidia Salamanca, executive director of the Political Asylum Project of Austin (PAPA).

"A number of lawyers from the Austin area—including those with Proyecto Libertad and students from The University of Texas School of Law—went to the border to help the refugees post bonds and to provide them legal representation in their petitions for asylum to the U.S. Immigration and Naturalization Service."

In the course of their work, PAPA case managers come into daily contact with immigrants with severe mental health needs arising from the political tortures and atrocities inflicted upon them or their families.

"You and I can hardly imagine the horrors some of these people have endured; so many violations of decency and human rights that they have kept bottled up inside," said Salamanca. "There are women who have never spoken about being raped, tortured, or watching as their husbands or children were murdered. There are children who witnessed the murder of family members who are still coping with the stress."

Salamanca says that it was obvious that PAPA needed to do something, so they asked for a three-year, \$112,500 grant from the Hogg Foundation to create the Sunrise Center, a community-based clinic that will provide professional psychological evaluation and therapy to political refugees with acute mental health needs.

The Sunrise Center will provide free or affordable neighborhood-based therapy and cultural transitions support to asylum seekers, political refugees, torture victims, and other vulnerable immigrants at three Austin sites. Professional therapists trained in multicultural counseling practices are donating their services, while much of the direct counseling will be provided by doctoral students in counseling psychology and masters-level social work students under the supervision of professional counselors.

For more information, contact PAPA at 512-478-0546 or by looking up their web site at www.main.org/papa/index.html.

MEETING OTHER NEEDS GRANTS 1999-2000

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
The University of Texas at Austin Institute of Gerontology Austin, TX 78712	Clinical Research Laboratory in Gerontology at Austin Groups for the Elderly <i>Dr. Waneen Spirduso</i>	This second-year grant provided continued support for the development of a clinical research laboratory at Austin Groups for the Elderly to address the mental health issues affecting the elderly in the Austin/Central Texas area.	\$16,000
The Don & Sybil Harrington Cancer Center 1500 Wallace Boulevard Amarillo, TX 79106-1794	Promoting Excellence in End-of-Life Care <i>Dr. Brian Pruitt</i>	Funds were provided for continued support of a collaborative project between The Don & Sybil Harrington Cancer Center and nine hospice providers to bring about systematic change in palliative care for the terminally ill and their families in rural areas.	\$41,685

RECIPIENT	PROJECT/DIRECTOR	PURPOSE	GRANT
The University of Texas at Austin Employee Assistance Program P.O. Box 8060 Austin, TX 78713-8060	Mental Illness in the Workplace <i>Dr. Rita R. Handrich</i>	This additional grant provided support to defray travel-related expenses for a principal investigator to report the results of a Foundation-funded project on mental illness in the workplace.	\$500
Homeless Network of Texas 200 East 8th Street Austin, TX 78701	Collaborative Mental Health Training Project <i>Ms. Kathy Reid</i>	A second-year grant supported a training project to improve the quality and implementation of services to a segment of the mentally ill homeless populations in Texas.	\$17,340
Shackelford County Community Resource Center P.O. Box 876 Albany, TX 76430	Case Management Services for Community Resource Center <i>Ms. Susan Jones</i>	First-year support was awarded for the development of a mental health case management component to strengthen the quality and capacity of service delivery in a West Texas community center.	\$24,062
The University of Texas at Austin Department of School Psychology Austin, TX 78712	Ima Hogg Fellowship <i>Yvette Castillo</i>	Funding supported a nine-month scholarship to a University of Texas at Austin graduate student preparing for a career in mental health service to children and families.	\$12,000
The University of Texas at Austin Department of Sociology Austin, TX 78713-8060	Henry E. and Bernice M. Moore Dissertation Fellowship <i>Sarah Goodrum</i>	Support was provided for the completion of a dissertation entitled, "Homicide, Bereavement and the Criminal Justice System."	\$4,000
The University of Texas at Austin Austin, TX 78713	Evaluation Research Fellowship	Funding of \$16,000 each supported graduate researchers Jaqueline Fickel, Rebecca S. Martinez, and Jason D. Boardman to conduct an internal evaluation of the service grants program of the Hogg Foundation.	\$48,000
The University of Texas Health Science Center at San Antonio Department of Psychiatry 7703 Floyd Curl Drive San Antonio, TX 78284-7792	Community Treatment Versus Cognitive Adaptation Training for Outpatients with Schizophrenia <i>Dr. Dawn I. Velligan</i>	This one-time grant provided support for research measuring the relative cost and benefits of two treatment approaches—cognitive adaptive training and assertive community treatment—to determine the best approach to reducing hospitalization among schizophrenia patients.	\$29,498
The University of Texas Health Science Center at San Antonio Department of Psychiatry 7703 Floyd Curl Drive San Antonio, TX 78284-7792	Utility of a Brief Cognitive Assessment Battery in Schizophrenia <i>Dr. Dawn I. Velligan</i>	Funds were provided for a one-year grant in support of a study to investigate the relationships between symptoms, cognition, and functioning in patients with schizophrenia and to develop a brief and reliable method of assessing cognitive functioning.	\$24,813
The University of Texas Medical Branch at Galveston Institute for the Medical Humanities 301 University Boulevard Galveston, TX 77555-0129	Improving End-of-Life Care in Houston and Austin <i>Dr. Ronald A. Carson</i>	This first-year grant supported a series of community meetings in Houston and Austin to determine what people of diverse cultural and economic backgrounds view as important resources, services, and supports for dying Texans and their families in the last months of life.	\$19,763
Mental Health Association in Tarrant County 3136 West 4th Fort Worth, TX 76107	Emergency Funding for Mental Health Brochures <i>Ms. Lauralee Harris</i>	A one-time, emergency grant was provided for the purchase of mental health-related print materials for distribution in Tarrant County in response to the shooting of parishioners at a Fort Worth-area church.	\$1,500
The University of Texas at Austin Division of Continuing Education Austin, TX 78713	"Power of Lifelong Learning: Creating a Meaningful Third Age" Conference <i>Dr. Thomas M. Hatfield</i>	Partial support was provided for the honorarium of the opening keynote speaker at a national conference held in February 2000.	\$1,000
Family Service Center 2707 North Loop West, Suite 520 Houston, TX 77008	Integration of Family Counseling Services and Rural Primary Health Care <i>Mr. Lloyd H. Sidwell</i>	Fourth-year funding was provided to continue a family behavioral health services program at the Fort Bend Health Center.	\$14,762

Grantmaking Activities

During the 1999-2000 fiscal year, the Hogg Foundation awarded 83 grants, four fellowships, and one scholarship totaling \$2,770,576 from interest on its funds and endowments. This was the fifth year since the Foundation established program funding areas, and the distribution of funds reflects these emphases:

Children and Their Families	\$1,594,418	(58 percent)
Youth Development	\$ 511,042	(18 percent)
Minority Mental Health	\$ 426,193	(15 percent)

It is worth noting that these totals are not necessarily restricted to a specific program area. In fact, many grants target populations within two or all three of the priority areas. Nonetheless, 91 percent of our funds supported projects in these three areas, including projects for mental health service delivery, program development, research, education, and professional training.

The Will C. Hogg Fund

The Will C. Hogg Fund is our largest endowment and is used to support mental health projects throughout the state of Texas. During the 1999-2000 fiscal year, awards ranged from \$500 to \$82,000 with an average of \$26,000. Grants from this fund totaled \$1,670,489.

The Ima Hogg Endowment

Grants from the Ima Hogg Endowment are restricted to the support of children's mental health services in the greater Houston area. In 1999-2000 grant amounts ranged from \$15,000 to \$80,000 with an average of \$55,000. The grants total for the year was \$1,036,087.

Other Funds

Other smaller funds administered by the Foundation include the Ima Hogg Scholarship Fund, the Harry Estill and Bernice Milburn Moore Fellowship Fund, the Frances Fowler Wallace Fund, the DeRossette Thomas Fund, and the Varner-Bayou Bend Heritage Fund. Administration of these funds follows the designation of their donors.

Applying for a Grant

The Foundation defines mental health broadly for its grantmaking program, and therefore invites proposals dealing with any aspect of mental health. However, priority is given to projects targeting one of the Foundation's three primary program areas: Children and Their Families, Youth Development, and Minority Mental Health.

Applicants are encouraged to review the Guidelines for Grant Applications located at the end of this report. It may also be obtained either by mail or through the World Wide Web at:

www.hogg.utexas.edu

Letters of inquiry and proposals should be sent to:

Dr. Charles M. Bonjean
Executive Director
Hogg Foundation
for Mental Health
P.O. Box 7998
Austin, Texas 78713-7998

Financial Statement

Fiscal Year 1999-2000

ENDOWMENTS (8/31/00)

W. C. Hogg Fund	\$106,585,971
Ima Hogg Endowment	29,543,566
Other Funds	988,181
Total	\$137,117,718

TEMPORARY RESERVE FUNDS (8/31/00)

W. C. Hogg Fund	\$174,438
Ima Hogg Endowment	101,808
Total	276,246

Total Endowment and Reserve Funds **\$137,393,964**

INCOME

Endowment Earnings	\$4,851,632
Ima Hogg Scholarships in Mental Health	12,000
Harry E. and Bernice M. Moore Fellowship Fund	4,000
Endowment Earnings Reinvested	(232,632)
Total Income	\$4,635,000

EXPENSES

Salaries, Wages, and Fringe Benefits	\$1,355,601
Consultants	36,697
Maintenance, Operation, and Equipment	86,912
Travel	51,286
Publishing and Mailing	49,162
Conferences and Other Internal Program Activities	65,837
Grants	2,770,576
Returned from Grantees	(9,211)
Total Expenses	\$4,406,860

BALANCE **\$228,140**

EXECUTIVE STAFF 1999-2000

Charles M. Bonjean, Ph.D., Executive Director
Ralph E. Culler III, Ph.D., Associate Director
Reymundo Rodríguez, Program Director
Adrian Rhae Fowler, Program Director

Marion Tolbert Coleman, Ph.D., Program Director
Jeffery R. Patterson, Communications Director
Wayne H. Holtzman Ph.D., Special Counsel

SUPPORT STAFF 1999-2000

Margarita T. Alvarez, Administrative Associate
Maria Bumpass, Administrative Associate
Mary Campbell Vidaurre, Administrative Assistant
Lisa Cisneros, Administrative Assistant (Library)
Michael Dobecka, Computer Programmer
Sherry L. Forman-Ricks, Executive Assistant
Freda K. Hamric, Administrative Assistant
Celia Lovett, Administrative Assistant

Betty D. McMillan, Administrative Services Officer
Traci D. Patterson, Public Affairs Specialist
Janell E. Ross, Executive Assistant
Jai Jai St. Barry, Receptionist
Allison C. Supancic, Librarian
Mary B. Vance, Administrative Associate
Mary L. Vaughn, Administrative Assistant
Dolores Zepeda, Fiscal Officer

EVALUATION RESEARCH FELLOWS 1999-2000

Jason D. Boardman, Department of Sociology, The University of Texas at Austin
Rebecca S. Martinez, Department of School Psychology, The University of Texas at Austin
Jacqueline Fickel, LBJ School of Public Affairs, The University of Texas at Austin

NATIONAL ADVISORY COUNCIL 1999-2000

Dr. Charles J. Holahan <i>Professor, Department of Psychology, The University of Texas at Austin</i> <i>Chair</i>	1998-2001
Dr. Bertram Brown <i>Executive Vice President, Forensic Medical Advisory Service Corporation</i>	1999-2000
Dr. Karen Wolk Feinstein <i>President, The Jewish Healthcare Foundation, Pittsburgh</i>	1996-2000
Dr. Jewel L. Prestage <i>Professor of Political Science, Prairie View A&M University</i>	1996-2000
Dr. David Smith <i>President, Texas Tech University Health Sciences Center</i>	1998-2001
Dr. Ellen Wartella <i>Dean, School of Communication, The University of Texas at Austin</i>	2000-2003
Dr. Charles Willie <i>Professor of Education and Urban Studies,</i> <i>Graduate School of Education, Harvard University</i>	1998-2001
Ms. Rosie Zamora <i>President, Telesurveys Research Associates</i>	1999-2002

FORMER NATIONAL ADVISORY COUNCIL MEMBERS

The National Advisory Council was established to help enlighten and guide the Hogg Foundation in its direction and mission to develop and conduct a "...broad mental health program of great benefit to the people of Texas." The positions listed below are the ones held during the member's term on the National Advisory Council.

Dr. William C. Adamson
Professor of Psychiatry
Hahnemann Medical College and Hospital
1959-1961

Dr. Myrl Alexander
Director, U.S. Bureau of Prisons
Professor, University of Florida
1969-1972

Dr. David M. Austin
Bert Kruger Smith Centennial Professor
School of Social Work
The University of Texas at Austin
1992-1996

Dr. Robert H. Barnes
The University of Texas Medical School at
San Antonio
1969-1970

Dr. Moody C. Bettis
Head of the Sociological Research
Division
Houston State Psychiatric Institute
1966-1969

Dr. Joseph M. Bobbitt
Executive Director
Joint Commission on Mental Health of
Children, Inc.
1959-1960

Dr. Charles M. Bonjean
Chair of the Department of Sociology
The University of Texas at Austin
1972-1973

Dr. John A. Boston, Jr.
Child Psychiatrist, Austin
1964-1967

Dr. T. Berry Brazelton
Professor of Pediatrics Emeritus
Harvard Medical School and Children's
Hospital
1992-1996

Mrs. Ann Brinkerhoff
former Chair, Centennial Commission
The University of Texas Medical Branch
at Galveston
1994-1998

Dr. Eugene G. Brody
Chairman of Psychiatry, Emeritus,
University of Maryland
Secretary General, World Federation for
Mental Health
1986-1989

Dr. Bertram S. Brown
Director
National Institute of Mental Health
1970-1973

Dr. Robert N. Butler
Director, National Institute on Aging
Department of Geriatrics and Adult
Development
The Mount Sinai School of Medicine
1980-1983

Dr. Carmen Carrillo
Director of Adult Acute Services
Division of Mental Health, Substance
Abuse, and Forensic Services
San Francisco Department of Public Health
1988-1991

Dr. James P. Comer
Maurice Falk Professor of Child Psychiatry
Yale Child Study Center
Yale University
1983-1986

Dr. John J. Conger
Professor, Division of Clinical Psychology
University of Colorado Medical Center
1979-1982

Mr. Louis E. DeMoll, Jr.
Associate Professor of Social Work
The University of Texas at Austin
1974-1981

Dr. Rogelio Diaz-Guerrero
Professor Emeritus
National University of Mexico
1970-1974

Mr. Hugh Downs
Radio-Television Broadcaster
Host of "20/20"
1982-1985

Dr. Toni Falbo
Professor, Department of Educational
Psychology and Population Research
Center
The University of Texas at Austin
1991-1994

Dr. Robert H. Felix
Director, National Institute of Mental
Health
former Dean, St. Louis University Medical
School
1961-1964

Dr. Donald J. Foss
Chair, Department of Psychology
The University of Texas at Austin
1988-1991

Mr. Herman E. Gallegos
Chairman of the Board
Human Resources Corporation
1978-1981

Ms. Leslie Ganyard
Executive Director
Rosenberg Foundation
1962-1965

Dr. Ernest R. Hilgard
Professor of Psychology
Laboratory of Human Development
Stanford University
1960-1963

Dr. J. Cotter Hirschberg
William C. Menninger Distinguished
Professor of Psychiatry
Menninger Clinic
1968-1971

Dr. Nicholas Hobbs
Director, Center for the Study of Families
and Children
Vanderbilt University
1963-1966

Dr. Wayne H. Holtzman
Dean of the College of Education
The University of Texas at Austin
1964-1970

Dr. Ira Iscoe
Ashbel Smith Professor of Psychology
The University of Texas at Austin
1962-1982

Ms. Geneva B. Johnson
President and Chief Executive Officer,
Family Service America, Inc., Milwaukee,
Wisconsin
1994-1998

Dr. Charles W. Laughton
Associate Dean, Graduate School of
Social Work
The University of Texas at Austin
1964-1974

Dr. Robert L. Leon
Chairman, Department of Psychiatry
The University of Texas Health Science
Center at San Antonio
1964-1969

Dr. Eugene C. McDonald, Jr.
The Titus Harris Clinic
The University of Texas Medical Branch
at Galveston
1964-1967

Dr. Reuben R. McDaniel, Jr.
Charles and Elizabeth Prothro Regents
Chair in Health Care Management
Department of Management,
The University of Texas at Austin
1983-1986

Dr. Cora Bagley Marrett
Assistant Director for Social, Behavioral
and Economic Sciences
National Science Foundation
1990-1994

Dr. Darrel J. Mase
Associate Chairman for Academic Affairs
Department of Community Health and
Family Medicine,
University of Florida
1966-1969

Dr. David Mechanic
René Dubos Professor of Behavioral
Sciences
Rutgers University
1987-1990

Dr. Roy W. Menninger
President
The Menninger Foundation
1989-1992

Dr. Alan D. Miller
Professor of Psychiatry and Behavioral
Sciences
State University of New York at Stony
Brook
1974-1977

Dr. Don P. Morris
Child Psychiatrist, Dallas
1967-1970

Mr. Brian O'Connell
President, Independent Sector
1991-1994

Mr. Martin A. Paley
Partner, Paley and Raphael
Organizational Management Consultation
Services
1985-1988

Dr. F. Carter Pannill
Dean
The University of Texas Medical School
at San Antonio
1966-1968

Dr. Thomas F. Plaut
Deputy Director
National Institute of Mental Health
1975-1978

Mrs. Mary D. Poole
President, Association of Junior Leagues
International, Inc.
1981-1984

Dr. Manuel Ramírez III
Professor of Psychology
The University of Texas at Austin
1982-1985

Dr. Julius B. Richmond
Assistant Secretary of Health
U.S. Department of Health
and Human Services
1977-1980

Ms. Jeannette Rockefeller
President
National Mental Health Association
1963-1966

Dr. Howard P. Rome
Senior Consultant
Section of Psychiatry, Mayo Clinic
1966-1969

Dr. Ricardo Romo
Vice Provost for Undergraduate Studies
and Associate Professor of History
The University of Texas at Austin
1987-1990

Dr. M. Lamar Ross
Internal Medicine, Galveston
1967-1970

Dr. Alice S. Rossi
Harriet Martineau Professor of Sociology
The University of Massachusetts
1984-1987

Ms. Elspeth D. Rostow
Professor, LBJ School of Public Affairs
The University of Texas at Austin
1984-1987

Dr. Eleanor B. Sheldon
President, Social Science Research
Council
1976-1979

Dr. Melvin P. Sikes
Professor of Educational Psychology
The University of Texas at Austin
1974-1983

Dr. Althea T. L. Simmons
Director, Washington Bureau, NAACP
1973-1976

Dr. M. Brewster Smith
Professor Emeritus of Psychology
University of California at Santa Cruz
1972-1975

Dr. Janet T. Spence
Alma Cowden Madden Centennial
Professor and Ashbel Smith Professor of
Psychology
The University of Texas at Austin
1985-1988

Dr. Robert L. Stubblefield
Chair, Department of Psychiatry
The University of Texas Medical Branch
at Galveston
1967-1970

Dr. Teresa A. Sullivan
Chair, Department of Sociology
The University of Texas at Austin
1989-1992

Dr. Ralph Tyler
Director Emeritus, Center for Advanced
Study in Behavioral Science
Stanford University
1959-1962

Mr. Homer C. Wadsworth
Director, The Cleveland Foundation
1965-1968

Dr. David C. Warner
Wilbur C. Cohen Fellow in Health and
Social Policy
LBJ School of Public Affairs
The University of Texas at Austin
1990-1993

Dr. Paul L. White
Director, Student Health Center
The University of Texas at Austin
1967-1970

Dr. Robert B. White
Department of Psychiatry
The University of Texas Medical Branch at
Galveston
1967-1970

Dr. Martha Williams
Dean, School of Social Work
The University of Texas at Austin
1981-1984

Dr. Louis A. Zurcher, Jr.
Ashbel Smith Professor of Social Work
and Professor of Sociology
The University of Texas at Austin
1986-1989

Grant Application Guidelines

Grantmaking Objectives

The definition of mental health used by the Hogg Foundation is a broad one. The Foundation is interested in programs which implement and evaluate innovative projects that are designed to meet the broad mental health needs of Texans. While the Foundation invites proposals dealing with any aspect of mental health, priority is given to projects that target its three primary program areas:

- Children and Their Families
- Youth Development
- Minority Mental Health

General proposal review criteria include timeliness, relevance, and significance with respect to mental health needs. Other factors are:

- Innovation in addressing mental health issues
- Staff ability to bring about intended results
- Evidence of accountability and evaluation
- Targeting underserved populations
- Collaboration with other organizations

For mental health **SERVICE PROJECTS**, the Foundation welcomes proposals focused upon the implementation and provision of mental health services to individuals and groups, as well as those projects aimed at mental health education, professional training, and program development.

RESEARCH PROJECT proposals should display a clear, strong mental health focus and evidence of investigator competence in the research area. Particular interest is afforded to submissions with implications for improving mental health services and that will contribute to existing knowledge.

Application Process

Only those nonprofit organizations, public institutions, or institutions of higher learning that have completed the 501(c)(3) application process, are eligible for funding.

There are no submission deadlines. The Foundation's Executive Committee meets monthly for proposal review and discussion, and awards are made on a continual basis. New requests are placed on the agenda for discussion at the next scheduled meeting. Receipt of submitted materials are acknowledged promptly by postcard. Applicants can expect to receive a response from the Foundation within approximately six weeks but should be realistic when setting proposed start-up dates. The entire process from initial inquiry to final decision may require several months. In some instances, research proposals may be sent for outside review to an expert in the area of study, thus adding slightly to the process time.

To assure equal access to all applicants, personal contacts with the Foundation's program officers and staff are discouraged prior to the receipt of a brief (no more than 4-page) letter of inquiry. This letter should include:

- Name and mission of the applicant organization and/or affiliation of researcher
- Name, address and phone number of contact person
- Statement of need and mental health implications
- Brief description of the project, methodology, and proposed timeline
- Estimated total project cost
- Grant amount sought

If the Foundation encourages a formal application, **EIGHT** copies of a full proposal covering the items in the original letter should be prepared. The Foundation does not have a standard application form, but expects proposals to be concise and paginated.

SERVICE PROPOSALS should make clear the *goals* of the project, the *specific objectives* that are derived from those goals, the *implementation plan* including a *timeline*, the *specific population to be served*, the names and/or qualifications of *project personnel*, the *project budget*, and a plan for *project evaluation*.

Applicants affiliated with college or state institutions should include a letter of endorsement from the appropriate administrative head or board authority. Private, nonprofit agencies should include a letter of support from the organization's board chair, a copy of the IRS status approval letter, and the Texas charter number (if applicable). Resumes of project personnel and/or job descriptions for positions to be filled in the project and a roster of current board members should also be included.

RESEARCH PROPOSALS should include a literature review, statement of the problem, the questions or hypothesis, and the methodology. The formal proposal should also make clear the implications of the work for improving

mental health, knowledge, service, and/or policy. The proposal should also contain the projected timeline, names, and qualifications of personnel, the budget, and plans for dissemination of the results to relevant audiences. Vitae of the primary investigators should also be attached. The Foundation does not support the completion of theses or dissertations.

Applicants affiliated with universities or state institutions should include a letter of endorsement from the appropriate administrative head or board authority (including approval by the institution's Human Subjects Review Panel when required). Researchers affiliated with private, nonprofit agencies should include a letter of support from the organization's board chair, a copy of the IRS status approval letter, and the Texas charter number (if applicable).

Other Key Issues

BUDGET

In general, the Foundation limits its financial support to expenses directly related to the implementation of the project or to research assistance. Thus, budget items such as staff salaries and benefits and program costs such as supplies, telephone, printing, and mailing are generally supportable. University researchers can request no more than two months' summer salary, provided that they do not teach summer school and that such intensive time investment can be justified in the proposed work plan.

WHAT THE FOUNDATION CANNOT SUPPORT

To ensure the optimal use of its grant funds for meeting the mental health research and service needs of Texans, the Hogg Foundation DOES NOT SUPPORT:

- Organizations or projects outside the state of Texas
- Indirect/administrative costs
- Capital improvements, construction, or purchase costs
- Equipment purchases (e.g., computers, video equipment, vehicles, laboratory apparatus)
- Endowments
- General operating expenses
- Travel to professional meetings
- Dissertation or student research
- Fundraising campaigns
- For-profit agencies or organizations

PROJECT DURATION

SERVICE PROJECTS funded by the Hogg Foundation are expected to eventually become self-sustaining. While multi-year requests are accepted, the term of funding seldom exceeds five years and amounts in subsequent years usually decline significantly. The final decision for support beyond the first years is made only after successful completion of the previous year's work. In addition, from the onset, it is important that applicants have specific, realistic plans for the project's continuation once Hogg Foundation support is completed. Of equal importance is the grant seeker's commitment to the evaluation of the project. Appropriate plans for evaluation are a necessary part of any program's design.

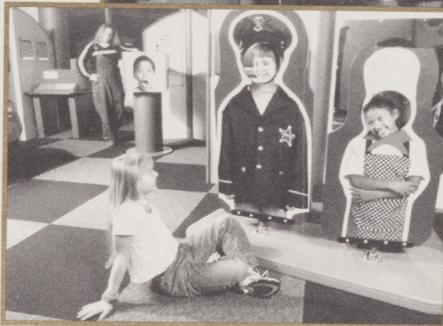
RESEARCH PROJECTS are generally supported for one year, although multi-year requests are sometimes approved. Funding terms rarely exceed three years. Multiple, or supplemental, funding arrangements are encouraged, especially support from the principal investigator's own institution. A final decision on second- or third-year support is made only after successful completion of the previous year's work.

For Additional Information

For more information on the Hogg Foundation, or to find out more about the grant awards the Foundation has made in the past year, refer to the Hogg Foundation Web Page at WWW.HOGG.UTEXAS.EDU. Additional copies of the *Hogg Foundation Annual Report* and the *Guidelines for Grant Applications* are available from the Foundation's Publications Division by calling (512) 471-5041, or through email at: hogg.fdn@uts.cc.utexas.edu.

All letters of inquiry and formal proposals should be addressed to:

Dr. Charles M. Bonjean
Executive Director
Hogg Foundation for Mental Health
P.O. Box 7998
Austin, Texas 78713



Hogg Foundation for Mental Health

The University of Texas at Austin

1940-2000