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RICARDO GUERRA

VOL. VI

V. O.

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1	CAUSE NO. 359,805				
2	THE STATE OF TEXAS IN THE DISTRICT COURT				
3	VS. OF HARRIS COUNTY, TEXAS				
4	RICARDO ALDAPE GUERRA 248TH JUDICIAL DISTRICT				
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12	VOLUME VI STATEMENT OF FACTS				
13	VOIR DIRE EXAMINATION SEPTEMBER 8, 1982				
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(At this time a jury panel of six prospective jurors were brought into the courtroom, after which the following proceedings were had:)

THE COURT: Good morning. My name is

Henry Oncken. I am the judge of the 248th District

Court.

If this doesn't look like a courtroom, it is probably because it is not. We are in the process of trying to select a jury to try a capital murder case, and when we do that, it takes two or three weeks just to pick a jury, and obviously, each court's docket cannot stop and we have visiting judges come in and occupy our courtroom while we are selecting a jury for a capital case, so we have to scamper around for whatever space we can find to pick a jury, and when we get that done, we will go back to the courtroom to try the case.

So this is a conference room, and it doesn't lend itself very well to the purpose, but we will have to do the best we can.

The individual in this case is Mr.
Ricardo Aldape Guerra. He is the Defendant
seated in the corner.

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The lady talking to him is Linda

Hernandez, the interpreter. Mr. Guerra does not

speak English, does not understand English, and

we have an interpreter to let him know what is

going on in the proceedings.

He stands charged with capital murder.

It is alleged he killed a police officer by the

name of James Harris on July 13th of this year.

The Prosecution in this case will be represented by these two gentlemen, Dick Bax and Bob Moen, and the Defense is represented by Candelario Elizondo and Joe Hernandez.

The lady here is Cindy Layne, the court reporter. She takes down every word we say in this room or a courtroom or wherever we find ourselves during the course of our voir dire examinations, so when it becomes your turn to be interviewed, remember she must write down what you say. Every word must be recorded, and she cannot record a nod of the head or anything of that nature. She will appreciate your cooperation.

Now, I want you to relax as much as you can. I realize none of you have probably been in this situation before, and naturally, you are apprehensive about it, but please understand what

what we are going to do is visit with you about how you feel about certain things, and we will do that with the six of you and then we will interview each one of you individually, which is the way you pick a jury in a capital case. You will come in as a group, and then it takes about an hour to interview each one of the jurors, prospective jurors.

During that time, we are very informal and try to relax and be as loose as we can while we are about a very serious business.

My purpose at this point is to generally acquaint you with what is going on, what goes on in a criminal case.

Regardless of what each individual is charged with, that individual in this country carries with him the presumption of innocence.

I will tell you in the charge, which will be given to the jury that is chosen in this case, that any Defendant is presumed to be innocent until his guilt is established by legal evidence beyond a reasonable doubt.

If you don't know anything about the law, don't worry about that because that is my function, to tell you what the law is pertaining

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and the important function we need jurors to do is to decide the facts in a particular case. So I will tell you in the charge certain things, and other things will be in the charge that I can't talk to you about now because I don't know what issues are going to be raised in the case.

The things I am going to talk to you about now are standard.

That presumption of innocence is a very important right that each of us as citizens have, and that will be talked about a great deal.

The State has the burden of proof. Mr. Bax and Mr. Moen must prove the guilt of this Defendant beyond a reasonable doubt.

Mr. Elizondo and Mr. Hernandez do not have to prove anything. They don't have to put on any testimony or witnesses. They don't have to ask any questions. They don't have to do anything. That is the law, and the State must prove his guilt. The Defendant does not need to prove his innocence.

There will be certain terms defined for you, and I have used a phrase that will not be defined for you. I can only use the terms

the legislature has defined for me. I can't define "reasonable doubt." To me, it means common sense, but that will not be in the charge. To these lawyers it may mean something else, but that is the burden of proof.

Likewise, I will tell you in the charge that the indictment, which is a little piece of paper, is just a piece of paper and is no evidence of guilt. The fact that any Defendant is arrested for, charged with, and possibly confined for an offense is absolutely no evidence of his guilt. That guilt must be established if it can be established by evidence brought forward in a courtroom, and you will hear read to you the indictment which is the little pleading that sets out the charges, but you will not have that introduced as evidence for you or anything else.

It is the same thing as if you had an automobile accident and you sued somebody for damages to your automobile and to your person.

You would file a petition and ask for certain things and set out certain facts, and then you would have to go prove those things.

It is the same thing with the State.

They have brought charges, and that indictment

is the pleading setting out those charges. It

Thave already told you the Defendant has the right to remain silent, and that, likewise, is a precious right each and every one of us has, and I want you to bear in mind if he does not testify — I have no idea whether he will or not, whether they will put on evidence — but if he does not, you are not to take that as a circumstance of his guilt.

In any criminal case -- any criminal case is divided into two parts. You will hear the lawyers mention "bifurcated trial." That means divided into two parts.

is to hear facts about an event which occurred and find out whether or not the individual charged with having caused that event is guilty of having caused that event. That is all you hear in the first stage of the trial is facts about that event, and then and only then, if the jury finds the Defendant guilty of having committed that offense, is the second stage of the trial which is punishment, what is going to be done to the Defendant for whatever he is charged with.

Now, in a normal criminal case, the jury usually decides -- I give you the range of punishment that attaches to an offense, and the jury simply decides what punishment is going to be attached.

In a capital case, there are two
possible punishments if you find the Defendant
guilty of the offense of capital murder and that
is by confinement in the Texas Department of
Corrections for life or death by lethal injection,
and the jury does not actually have to pronounce
that sentence. That becomes the function of the
judge. But what the jury must do on the
punishment stage of a capital case is to answer
those two questions which you find on the board,
and I will give you just a second to read through
those, and I will mention them briefly, and the
lawyers will go through them in great detail.

All right. Now, if the jury answers both of those questions yes, then it becomes my function as the judge to assess his punishment at death.

If, however, the jury answers one of those questions yes and one of those questions no or both questions no, then it becomes my duty

to assess his punishment at life in the penitentiary.

of proof in a criminal case, always gets to go first. These prosecutors will ask you questions first on voir dire, then put on evidence first, and they have the right to open and close the arguments when we get to that stage. That is because they have the burden of proof, and for no other reason.

There will be thirteen judges in this case as there are in every felony criminal case.

I judge the law or whatever judge is sitting in a case gives the law and rules upon objections and the admissibility of certain evidence and that sort of thing. But it is the jury's function to decide the facts. You listen to the witnesses as they testify and you judge the credibility of those witnesses. You have the right to believe all, part, or none of what any witness tells you. You decide the facts. I give the law. We are equal and we just have separate functions.

I obviously have to listen to the facts so I can hopefully rule correctly on the law, but

I don't decide those facts. That is for the jury to determine.

Move on. During the course of the trial -- you can ask all the questions you want to ask on voir dire while we are visiting with you. If you have a question about something, something you don't understand, please ask those questions. You are certainly entitled to. We want you to understand as much as you possibly can about the process. However, once the trial starts and you are chosen as a juror, sworn as a juror, and take the box and evidence starts, you cannot ask questions at that point.

There are many times when I know jurors would like to cross-examine a witness. Many times, I want to cross-examine a witness, but I can't do that either. I can ask to have something repeated if I didn't hear it. Likewise, a juror can.

Likewise, you are not permitted to take notes while you are in the jury box because the determination in any case must be based upon the individual decision of each juror, and if you take notes, you might have heard something or perceived

something differently than your neighbor did, and if they see you writing something down that you place importance on, it might distract them or force them to place importance where they otherwise might not have done so, so you will not be permitted to take notes.

However, if there is, when you go back to the jury room to deliberate this case, if there is a question or a dispute about some fact or piece of evidence that was introduced in the courtroom, the jury may specify exactly what it is they have a dispute about, write it down on a piece of paper. "Judge, we want to hear the testimony of Witness "X" when Mr. Bax was questioning this witness on that point." I can then have this lady read that back to you, get that solved for you. You cannot have the whole testimony of any witness read back. It must be specified exactly what points you are in dispute about. Okay?

Now, as I said earlier, it will take us probably two to three weeks to complete the selection of this jury. If you are chosen as a juror today, you will not be required to stay here during that entire period of time. You would be

allowed to go about your normal activities until such time as we have the jury completed, and then we will call you back and have you come back on a day certain and place certain to actually hear the case.

when the jury will be sequestered. I anticipate the evidence in this case will probably take a week, give or take a day or two. There is no way to know that exactly, but I anticipate it will take about that period of time, and there may be some time there that the jury will be required to stay overnight in a hotel.

I want you to be thinking about two things in parting here: first of all, how you, as an individual, feel about the offense of capital murder and the punishment of death as a punishment for a criminal offense.

Now, any questions that are asked of you, any discussions that we have during the course of this examination, are not meant to be personal. They are not meant to try to sway your opinions about anything. You have the right to your opinions. We respect that, but these lawyers need to know how you feel so they can

make, hopefully, an intelligent decision on who to put on the jury; so however you feel about any issue, you are certainly entitled to have the right to have that opinion, and as I say, we are not going to try to embarrass you about it or change your mind or argue with you about that.

The second thing I need for you to think about is whether or not the possibility that you might be sequestered for some short period of time would be of such a burden that you could not participate.

Are there any questions at this point?

MR. ALEXANDER: Yes, sir. When we
leave today, will we know one way or the other
whether we will be part of the jury?

THE COURT: Yes, sir. You will.
Any other questions?

Okay. We have a rather tight schedule this morning. We are probably not going to be able to interview more than two jurors this morning, and that will Mr. Peng and Mr. Woods, and if you two will remain, I am going to allow Mr. Alexander, Mr. Kellogg, Mr. Matthews, and Ms. Monroe to be excused until hopefully I can be back by a quarter of 2:00.

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So those four of you -- you may certainly hang around the courthouse if you like and go about whatever business you would like during that period of time, but let me caution you now, and if you are chosen on a jury, I will make it a little more stringent. This case will receive some publicity at some point. Whether there will be publicity about it today or the next day, I don't know, but if there should be publicity in the newspaper, television, or radio, do not watch, listen to, or read anything about this case.

I haven't told you much about it, but you will know a great deal more about it before you leave here, so if there are no further questions, I will see the four of you at a quarter to 2:00, and, Mr. Woods, if you would, simply step out into the hallway and we will be with you very shortly.

Mr. Peng, if you will wait, we will get right on with it.

Mr. Peng, if you would, have this chair in the middle so everybody can see you.

Is everybody ready?

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questions propounded as follows:

## EXAMINATION

## QUESTIONS BY MR. MOEN:

- Q Mr. Peng? Is that how you pronounce your last name?
- 10 A. Peng.
  - Q Mr. Peng, my name is Bob Moen. I am with the District Attorney's Office here in town.

Seated beside me is another lawyer by
the name of Dick Bax, also with the District
Attorney's Office, and together, Mr. Bax and
myself will be handling this case entitled the
State of Texas versus Ricardo Aldape Guerra.

He is charged with the offense of killing a police officer, James D. Harris, during the course of that police officer's official duty. It is alleged it took place back on July 13th of this year, 1982.

Do you remember reading or hearing anything about this case at all either on the radio or in the newspapers?

A No, I don't remember.

There is nothing wrong with remembering or not remembering.

A Okay.

The only reason we ask the jurors that question is to see if they have formed an opinion about the guilt or innocence based on anything they may have read or heard about the case.

Before I pass off that subject, let me talk about it further. I can't go into the facts in detail, but I can give you a general description of the facts to see if you remember hearing or reading anything about the case.

It is alleged that back on July 13th that Officer Harris was shot three times in the head and killed and the man who did this then killed another man seventy or eighty feet down the street who was driving by with his son and daughter. He was shot in the head and killed, and about an hour and forty-five minutes or an hour and a half later when the police were arresting the subjects involved in these killings, another police officer was shot five times, but he survived, and one of the suspects was shot to death.

Does that ring anything in your mind?

- A I remember hearing on the radio, but I haven't read the newspaper in detail.
- Let me explain a little bit more than the judge did about this portion of the trial.

In a capital murder case, there are only two possible punishments a man can receive who has been found guilty of capital murder. One of the punishments is a life sentence in the Texas Department of Corrections, and the other is the death penalty. Those are the only two punishments a man can receive who is found guilty of capital murder. One of the punishments is a life sentence in the Texas Department of Corrections, and the other is the death penalty. Those are the only possible punishments a man can receive found guilty of capital murder.

In a case as serious as a capital murder case is, the law provides we bring in the jurors and talk with them one at a time to see how the jurors feel about some of the aspects of the law that will come up during the course of the trial.

If you have any questions whatsoever about anything, I encourage you to ask me. If you have any disagreements about anything, I encourage you to state those disagreements, because we live in the

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type of country, Mr. Peng, as you know, where
no person, man, or woman, is required to do
anything that would violate his religious beliefs,
moral convictions, personal beliefs, et cetera,
and that includes being on the jury panel.

No person is required to be a juror on a capital murder case or any other case if that jury service would violate that person's religious beliefs, et cetera, but the only way we know that is by talking to the individual jurors on a case like this and finding out exactly how they do feel.

Because you feel one way and another juror feels another way doesn't mean you are more or less a citizen. The only thing we ask people to do is be fair and honest about how you do feel so we can reach a decision about whether or not they can be jurors in a case of this nature.

Do you follow me so far?

- A Yes.
  - I am going to ask you in just a second your feelings about the death penalty and whether or not your feelings about the death penalty, your feelings and beliefs about the death penalty, will allow you to be a juror in a case where the

death penalty was being actually sought, and whether your religious convictions would allow you to return a verdict in a case where you know the sentence could be the death penalty.

Before you do that, I want to explain to you about capital murders. Not all murders are capital murders. For a man to intentionally and knowingly end another man's life, that is the offense of murder, but the punishment range that a man might receive for having taken another man's life is from five to ninety-nine years or life in the Texas Department of Corrections.

Do you follow me? That is the punishment for the offense of murder.

Do you follow me so far on that?

- A. Yes.
- Q Okay. Only murders that take place to either a particular class of individual or that take place during the course of the commission of another type of crime are punished as capital murders.

  For instance --
- 22 A. Can I say something?
  - Q Yes.

A Please. I say, I can't listen too well. I tend to be getting to lose.

Do you have a problem -- I don't mean to be 2 disrespectful, but do you have a problem understanding the English language? 3 I don't have the problem understanding the 4 5 English language. 6 You have a problem listening? 7 I can't concentrate too long to one person speaking really, because I can catch, you know, 9 the first few sentences you are saying, but you 10 are getting every word, you know, sort of --11 Q. How do you think, if you are on the jury, how 12 do you think that might affect your ability to 13 listen to the facts? Do you think you would be 14 able to listen to the facts or not? 15 I think it is -- well, because --16 You tell us. 17 I think it is very hard, because probably I have 18 difficulty for me to listen to all the testimony 19 because tend to fall asleep during a speech 20 or anything like that. 21 Q. How long have you had this type of problem? Have 22 you ever sought medical treatment? 23 I don't see that it is a problem at all, because 24 I think my attention period cannot stand talking 25 too fast, too steady, or too long, you know.

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RANDALL LEE WOODS,

was called as a prospective juror and responded to questions propounded as follows:

## EXAMINATION

QUESTIONS BY MR. MOEN:

THE COURT: Mr. Woods, we got to you a little quicker than I anticipated.

Just relax and we are going to visit informally here a minute.

Mr. Moen, you may proceed.

(By Mr. Moen) Mr. Woods, my name is Bob Moen. I am a member of the District Attorney's Office, and seated beside me is Dick Bax, also a member of the District Attorney's Office, and Mr. Bax and myself will be representing the District Attorney's Office in the prosecution of this case styled the State of Texas versus Ricardo Aldape Guerra.

Now, Mr. Guerra is charged with the offense of having killed a police officer by the name of J. D. Harris back on July 13th of this year.

Let me give you a general description of

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Although I cannot go into the facts the facts. of the offense, the law allows me to give a general description to see if you have read or heard anything about the case.

It is alleged on July 13th, Officer Harris was killed after making a routine traffic stop, killed and shot three times in the head, and another man was shot in his automobile driving by with his two children, and then an hour and a half to an hour and forty-five minutes later, while the police officers were trying to arrest the suspects, another police officer was shot five times and he survived and a suspect died. took place on the corner of Edgewood and Walker, which is in the Harrisburg area which is the main thoroughfare, Harrisburg and Dumble, in the southeast part of Houston.

Does that ring a bell?

- I heard about it during the media coverage on A. the radio and television at the time it happened.
- Obviously, there is absolutely nothing wrong for a juror to have read or heard anything about a case in which a juror might serve on that case.

The only reason I go into it and bring it up in the first place is to find out whether

or not you formed any opinion about what you read or heard about the guilt or innocence of the man on trial, or would you be able to decide that question as to whether the man is guilty or not guilty based on what you heard from the witness stand rather than what you heard on radio or television?

- A I haven't formed an opinion, and I think I could decide from the evidence I heard.
- Q Let me talk about something else, and that is concerning your feelings about the death penalty.

Before I ask what your feelings are on that topic, let me explain a couple of things to you. That is what we tell every juror that comes in. If you have any questions about what the judge says or anyone says, we encourage you to ask questions.

Later on, when you are selected for the jury panel, it will be too late. I will have no opportunity to answer any questions you might have, and all questions after that period will have to be in writing and addressed to the Court.

Some questions the judge can answer, and some questions the judge can't answer. The judge has to tread on eggshells, so to speak, to be

sure it is an answer he can answer.

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We encourage the jurors to discuss a questions now to clear it up, or at least talk about it.

Not all murders that take place here in our community are punished as capital murders. Back in 1974, our legislature passed a death penalty statute, again pursuant to the holdings of the Supreme Court, in regards to -- for a while, I think you are aware, we had a hiatus where the death penalty was held to be cruel and unusual punishment, primarily because of the way our death penalty was being applied, so the legislature redirected the death statute. have said that in a select few cases, a person who commits a particular type of crime can be subject to answering to that crime from the jury, and if the jury sees fit, he can stand to receive the death penalty as a possible punishment for that They said the death penalty will apply to nine different types of homicides. Five of those involve criminal offenses. If a criminal is committing one of those offenses, such as rape, and he is killing his rape victim; kidnapper, killing the kidnap victim; robber, killing the

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robbery victim; burglar, killing the burglary victim; arson-murder, they have said in those crimes, if the man is in the course of commmitting one of those offenses and intentionally takes another's life during the course of those crimes, that is capital murder.

The legislature has also tried to protect a class of individuals and they have protected employees of penal institutions, police officers, and firemen, and they have said to kill a police officer or firemen during the course of his or her official duties is a capital felony, is a capital murder case; also, they have said for a convict to kill an employee of a penal institution is a capital murder or to kill anyone while escaping from a penal institution is capital murder.

I am sure you are familiar with the Pat case.

Case. That is a criminal case also, the Pat case.

Also, murder for hire is another example of capital murder.

To kill someone for money, that is capital murder as well.

All other murders fall into an entirely different range of punishment.

For me to walk to the bailiff to end 1 his life is murder, and the range of punishment for that crime, however horrible it might be, is 3 five to ninety-nine years or life, a totally different range of punishment for murder as from . 5 capital murder. With that explanation in mind, I would 7 like to find out what your feelings are concerning 8 9 capital punishment. 10 Do you have -- or would your feelings about the capital murder punishment allow you to 11 sit on a jury and return a verdict knowing it 12 might result in someone getting the death penalty 13 or not allow you to? I think I could be objective about it as long as the evidence warranted it. I feel that to me, the big part of capital murder, as I see it, is the intent, the intent to commit the murder, and I have no objection to the death penalty as long as the evidence warrants it. Okay. Let me explain to you how the death penalty is supplied in our capital murder case,

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but before I do that, let me touch on the death penalty just a couple of seconds longer. Is that pretty much the way you have 837 F2068 0672

evidence to answer these questions, such as:

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the Defendant committed other crimes that are known to society and can be shown to the jury, committed

any other offenses that can -- that are known and can be shown to the jury.

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at that time, and after the jury has all that evidence, evidence concerning the crime itself and evidence concerning the man on trial, then the jury goes back and decides what their answers to these two questions might be, because dependent upon the jury's answers, a particular type of punishment is handed down by the judge. The judge waits for what the jury decides.

If both answers are yes, the judge must assess the punishment of death. If there is a no, yes to either one, he must assess the punishment at a life sentence in the Texas Department of Corrections rather than a death penalty.

Do you follow me? One no answer, the Defendant gets life; two yes answers, the Defendant gets death. Before answering a question, all twelve jurors have to unanimously agree. It only takes ten jurors to unanimously agree to answer a question no. Ten have to agree to answer a question no. All twelve must agree to answer a question yes. There is a slight distinction

between the yes and no answers.

What I would like you to do is go ahead, if you will, and read those questions to yourself, and I want to talk to you about some of the words and language that appears in the questions. Okay?

A Okay.

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Q Okay. That first question asks you to make your determination about the conduct of the man on trial, the man found guilty of capital murder.

Was the conduct on the part of this man that caused the death of the deceased, was his conduct done deliberately and was it done with the reasonable expectation that the deceased would die?

Let me give you an example of how that applies in a capital case. Let me give you a hypothetical murder.

A man goes in to a convenience store, and a lady is working there as a cashier. He demands the money. She gives it over to him and it is taken. There are no witnesses other than she. He is an ex-convict and decides to kill her, the only witness he suspects.

As he runs out, unbeknownst to him, he

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has triggered an alarm system, and there are the He shot her to death and the police police. are standing outside the store. He is found guilty of capital murder by the jury. Then the jury would have to decide whether or not the conduct of that man, the pointing of the pistol at the woman, the firing of the gun into her body and causing her death, was that deliberately done and done with the reasonable expectation she would die, and the jury basically answers that question on the facts of the case, and then the jury makes a decision about that man on trial. What kind of person is he? Is he probably the type of person that would continue to commit criminal acts of violence that would constitute a continuing threat to society?

To know about the man, the jury would have to know about any other information, any other crimes the man might have committed or any past record he had. Of course, in our hypothetical, the jury would know he was an ex-convict for some type of felony offense, and then they would be called upon to make a decision on what type of man the man is. Is he the type of man that would in all probability commit criminal acts of

violence that would constitute a threat to society.

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The second question basically asks you to make a prediction about the person on trial.

I don't know how else to sum it up than that, but I would like to direct your attention to the word "probability," and you will notice that the word is "probability" and not "certainty," and I think the reason the word is not "certainty" is the only person in the entire world who could answer Question No. 2 to a certainty is the Almighty himself, and you are not asked to play God.

You will be asked to determine, as best you can, what type of person the man on trial is and whether or not there is a probability he will engage in criminal acts of violence, given the opportunity again that would constitute a continuing threat to society.

"Criminal acts of violence" is a phrase that includes all criminal acts of violence.

Before you could answer Question No. 2 yes, you don't have to believe nor do Mr. Bax and I have to prove to you he will commit robberies, rapes, kidnappings, or anything else, but is he the type

of person that would probably engage in such acts, and would he be a continuing threat to society.

That brings me to the word "society."

You will have to use your own definition about that word. You will realize from your common sense once a man is found guilty of capital murder, the only society a man will find himself in is the prison society, so then you will have to reach the decision about whether the man, in regards to No. 2, is the man the type of person that would probably commit criminal acts of violence that would constitute a continuing threat to the society we live in, and prison is a part of our society.

Do you agree?

A. Yes.

It is an unfortunate part, but nevertheless, it is a part of our society, and I hope you agree with me. There are other people in our prison society who work to maintain our prison system, who work to maintain our prison society.

Would you agree on that?

- A. Yes. I agree.
  - Q I wanted to point something out in regards to

Question 1, and that is the answers to Question

1 and 2 are not automatically answered yes or

no depending upon the jury's perception of the

facts surrounding the crime itself. I am going

to give you an example of what we are talking

about rather than speaking in generalities, give

you a specific.

The reason the answer is not automatically answered yes to No. 1 is there are different fact situations that may result in a man being charged with capital murder.

Let's take the hypothetical and add something to it. Let's say the man who killed the cashier is an ex-convict and he has talked a seventeen-year-old boy into going with him. He puts a gun in this boy's hand for the first time in his life. He goes to the store knowing they are going to commit a robbery, but during the course of the robbery, there is no agreement anyone would be shot to death.

The boy, after the development of the shooting of the woman, he dropped his gun and fled the store in as much panic as anybody else who would have been in the store at the time.

He flees, and let's say he turns himself over to

the police.

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However, under our law of parties,

people who act together to commit a crime can't

say, "I am surprised." That boy would be as guilty

of capital murder as the man who fired the shot

under the law of parties. That is the way our

law is written.

I think you can see when it came down to that boy being tried for capital murder, if it did, when the jury came to that question, the jury might find out there was no conduct on the part of the seventeen-year-old that caused the death of the deceased. The conduct that caused her death was on the part of the ex-convict, and they might very well, even though they found him guilty of capital murder, as they should under our law of parties, they might very well answer the first question no, and when they hear he is a seventeen-year-old with no prior record whatsoever and didn't fire the shot that killed the cashier, they might answer them both no. It depends upon the facts. It just depends upon what type of crime it is, what part the man played in the crime, what type of person the jury perceived him as being as to what the

answers are to 1 and 2.

Do you follow me on that?

A Yes.

However, let me point this out to you. I don't want to mislead you by making you think you are going to hear evidence in the punishment phase of the trial. You may not. But the law says the jury may answer both questions yes based on the evidence they have heard and the crime they have found the man guilty of.

that that comes to my mind right away, and that is the Ronald Clark O'Bryan case, and that is the one where for money, he poisoned his children with Halloween candy, killed his natural son, almost killed his natural daughter. That is a capital murder case where the jury was well warranted with answering both questions yes, just from the facts surrounding the circumstances of that crime itself, and the man in that crime. The jury answered both those questions yes just based on the crime itself.

The answers are not automatically yes, but based on the crime and the man and the evidence the jury hears about them.

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## Can I ask a question?

- Q Sure.
  - A When these questions are asked, are they asked separately? Are we asked the first question, and then you hear evidence as to --
  - What happens is this. We start the second phase of the trial, and either side has the opportunity at the second phase to present whatever evidence they want to the jury, and after both sides close, that second portion of the trial which is called the punishment portion, the jury takes the evidence they have heard and the judge puts those questions in writing on a piece of paper, and taking those questions along with other instructions, they take them back to the jury room and that's how they do the deliberation, and they will sit down and discuss among themselves the evidence they heard and decide how does the jury feel about Question 1, and the jury will discuss that among themselves, and after Question 1, pass on to Question No. 2 and discuss that among themselves what the answer to Question 2 should be, and after both questions

foreman, the jury deliberations are returned in court and their answers are read in open court, and depending upon the jury's answers, punishment is assessed.

Do you understand?

A Yes, sir.

The judge will put those in writing for you after the close of the evidence, and the foreman, whoever is elected foreman, will take the charge and the jury will start talking about the questions based on what they have heard.

now that we have had a chance to talk about them, is there anything about the way these questions are written that makes you think you would be unable to answer the questions, depending upon the evidence, or do you feel you would be able to answer either yes or no depending upon the evidence you hear?

- A Yes. I think I could.
- Q It seems like there was something else I wanted to talk to you about.

Let me pass on to some obligations you have. Let me see.

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before? Let me talk to you about some of your obligations as a juror on a criminal case. Let me tell you what is going to be expected of you. The judge covered some of these things briefly, but I want to go over them in a little more detail and see if you can abide by those obligations during the course of your tenure.

There are four or five obligations,
the way the jury must conduct themselves, basically,
their conduct, in order to hear the evidence and
do the things a jury has to do.

The judge will charge you in regard to the Grand Jury indictment. He will tell you that the Grand Jury indictment is just a piece of paper and he will put this in writing, just like we talked about the questions being in writing.

At the guilt-or-innocence phase of the trial, the judge will give you what is defined as the charge of the Court. The judge will define the offense of capital murder. He will define what he means by "knowingly and intentionally" committing a crime, and you will, based on the charges, apply to those facts the charge given to you by the judge, and that charge will include

a paragraph by which the judge will state the indictment is no evidence of guilt, and you are not to consider that Grand Jury indictment as evidence. It is just an opinion, and you are not to give it any more significance than that, and if anyone were to mention, "Let's find the man guilty because the Grand Jury has indicted him," you are told to look at the charge that it is no evidence of guilt.

Do you feel you could abide by that?

- A. Yes.
- The judge will also charge you about the presumption of innocence and he will basically tell you you are to presume the Defendant innocent.

Let me, without insulting your intelligence, try to tell you something about the presumption of innocence. It does not mean a person is not guilty. The man who killed the lady at the convenience store is just as guilty today as he was the day he committed that crime. However, the juries are to presume the man to be innocent because jurors who know absolutely nothing about a criminal offense are the people we take from the community to decide these crimes, and the way they learn about the crime is from

what they hear from the witness stand, and they
are to base their decision based on the law given
them by the judge and what they hear from the
witness stand and then decide, and they are to make
a decision based on the evidence they have heard.

Do you feel you could make your decision on that and afford the Defendant his right to presumption of innocence, and base your decision on that evidence and the law as given to you in the charge?

A Yes.

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The judge will also charge you that the burden of proof -- and he will put this in writing -- that the burden of proof in any criminal case -- I know you are probably not familiar with that phrase, but when someone commits a crime in Harris County, Texas, the burden falls on people in Harris County to prove to other people in the community that make up a jury that that man committed a crime in Harris County.

Obviously, we don't ask citizens who are not trained, not lawyers, pull them off the street to come in and prosecute somebody. That duty is with the District Attorney's Office, but that burden rests with Mr. Bax and myself.

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Our burden is to prove to you beyond a reasonable doubt, not beyond all doubt, any doubt, or a shadow of a doubt, as so often used on lawyer shows, but to prove beyond a reasonable doubt. Okay? That is the burden of proof.

The burden of proof never shifts to the Defense. They don't have the burden of proving anything. They can. The trial is open to them in the proceedings, and if they want to, they can subpoena witnesses. The facilities of issuing subpoenas and bringing witnesses down is as available to the Defense as it is to the Prosecution at no cost. A subpoena can be issued, witnesses can be called to the courtroom, and any defense they have can be presented, but they don't have a burden to do that.

If, for whatever reason, they decide they want to be totally silent and not call witnesses, they can rest right after Mr. Bax and I do, and they don't have any burden if it backfires. We have the burden of proof, and you must always hold it to Mr. Bax and myself, hold it to proof beyond a reasonable doubt. That is the phrase.

The charge will charge you in writing

"beyond a reasonable doubt."

Okay. Before you can answer either

one of these questions yes, Mr. Bax and myself

have the burden of proof applying to these
questions, too. We have to prove to you beyond

a reasonable doubt, not any, all, or a shadow

of a doubt. I am telling you up front I cannot

prove to you Question 2 beyond any or beyond

a shadow of a doubt. Like I say, there is only

one person that can meet that burden of proof and

he will not be called as a witness. I am not

trying to be blasphemous.

Our burden is to prove beyond a reasonable doubt.

The same thing applies to the Defendant.

Our burden is to prove beyond a reasonable doubt,

not any, all, or a shadow of a doubt. The judge

will charge you that is our burden.

There is no definition for that term
"reasonable doubt," so use your own common sense.

Frankly, does common sense tell you we have met
our burden or not? Frankly, there is no legal
definition I can give you.

Now, he will charge you also in writing on the Defendant's failure to testify. If the

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Defendant does not testify, the judge will tell you that you are not to consider the Defendant's failure to testify as any evidence of his guilt. Why is that? Well, silence is not evidence. jurors should base their decision on what they have heard from the witness stand rather than on what they have not heard, so the Defendant has the ability and he has the opportunity if he wants at his trial to testify, and I don't know from the D.W.I. case you were a juror on if that Defendant got on the stand or not, but if that Defendant had decided he wanted to remain silent at his trial, he could, and the only thing the judge had to instruct you on was that if the Defendant did not testify, you were not to consider his failure to testify as evidence of guilt. Instead, you were to base your opinion on what you have heard rather than what you haven't heard. doesn't mean you wouldn't wonder why he failed to testify, but the judge tells you you are not to base your decision on the Defendant's failure to 22 testify, but on what you have heard from actual witnesses in the trial.

Do you follow me on that?

A Yes, I do.

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Finally, the judge will tell you you have an obligation in regards to the judging of the witnesses, and this will be in writing as well.

Everything we have talked about, Grand
Jury indictment, presumption of innocence, beyond
a reasonable doubt, credibility of witnesses,
failure to testify, will all be in writing as
part of the judge's charge.

He will tell you this, that you are not to give any witness any more or less belief when a witness testifies just because of the job a witness has. In the eyes of the law, no person because of his or her job is recognized as being a more truthful person than anybody else because of the job a person has chosen to follow. Even your favorite minister of your church is not recognized down here at the courthouse as being an automatic truth-teller just because he is a minister:

The jury might choose to believe

everything because he looks like and sounds like

a truthful person, but a police officer, doctor,

lawyer, whoever it might be, no person is given

more or less belief in the eyes of the law because

of his or her job.

2 A Yes, I do.

Q Finally, the judge will charge you on this, but one of your jobs as a juror is to judge the credibility of witnesses. What does that mean? You have the ability as a juror to believe part, disbelieve all, or believe everything a witness tells you under oath. That sounds crazy, doesn't it, to think that someone will get on the stand and take an oath to tell the truth and not do that. It not only sounds crazy, but from a standpoint of what we read in the newspaper every day, I think you understand it happens more at the courthouse than we like.

I wish we lived in the type of world where no one would ever tell a lie after they have taken an oath. I would suggest to you we probably wouldn't need jurors, but unfortunately, we do not. One of your obligations as a juror and one of the functions you will serve is to judge the credibility of the witnesses, and you do have the ability, if you choose, based on what a witness has told you, to believe or disbelieve any portion or all of what a witness has told you even if they were under oath.

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That applies to the Defendant, too.

If he decides to testify, judge him like anybody else, what bias, motivation he has in telling what he is telling, does his story make sense, et cetera, the same you would ask of any other witness on the stand as well.

Okay. Let me talk to you about one other aspect of the law, and I am about through, and I want to talk to you about probation as that applies to the range of punishment for murder, and I want to talk to you about a concept in law called lesser included offenses.

- A Okay.
- The offense of capital murder includes the lesser offense of murder.

Let me give you a hypothetical of what I am talking about rather than try to explain it in legalese type of language.

Imagine the store we talked about earlier where the man went into the convenience store and was charged with robbery-murder. Imagine at his trial, take a little different set of facts. He is charged with capital murder. The jury hears the evidence at a trial, and the jury decides that what really happened, because there were other

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witnesses present, what really happened is that the cashier was in fact a girl friend of this man on trial and that he didn't shoot her during the course of the robbery but he murdered her as a result of a continuing argument that they had had earlier in the day and some words had passed, and this could be shown to the jury, and perhaps the police misperceived this at the time the offense took place or one of the other witnesses mistook it as a robbery-murder, but what the jurors really heard is that this was just a girl friend-boyfriend dispute where he took her life as a result of an argument they had earlier in the day, and he's got a nasty temper and he took a gun out and shot her as a result of their argument earlier in the day and it is not a robbery, but murder.

You see, in that particular case, the jury's proper verdict would be not capital. The jury's proper verdict would be guilty of the lesser offense of murder.

The reason I say murder is a lesser offense than capital murder is because murder does not have as serious a punishment range as capital murder. The range of punishment is five

to ninety-nine years or life, and in addition,

even though the jury has found someone guilty

of capital murder, the jury can, if they assess

a term of years at ten years or less as a proper

punishment and the jury believes when they find

someone guilty of the offense of murder, they should

only receive ten years or less, the jury can

recommend probation in the court.

"probation." That means he is released by the judge, didn't have to go to the penitentiary and he is put on probation for a term of years the jury has decided on and given conditions he must follow. Don't violate the laws; work faithfully at suitable employment; remain at a designated place in the county; if you are going to move, notify your probation officer; avoid persons or places of harmful or disreputable character; avoid vicious habits, such as narcotics or drugs. Those are basically the terms and conditions of probation.

With that in mind, let me give you a hypothetical example of how all this applies: capital murder, lesser included murder, possible probation for a person found guilty of murder.

Let me give you an example and try to tie it in.

\* Imagine a situation where a man and woman married for forty or fifty years find themselves -- and it is probably not all that much of a hypothetical, really, but probably a situation that occurs to elderly people more often nowadays -- but anyway, imagine he is in the hospital dying. He is in his seventies, and his wife is in her seventies as well, and he is dying of a terminal disease, whatever it might be, and he is in the hospital and his last years are supported by life-support equipment, modern medical technology, and she is in the hospital with him, like two people in love for that many years would be.

They talk among themselves about their being in the hospital. They realize it's draining all the money they have saved for their retirement all their lives. The only thing that is going to happen, his life is going to be prolonged and she is going to be destitute, surviving by social security or whatever goes on after your savings are gone, and they talk among themselves and they do it with a clear mind. She decides and he decides and they decide to

remove some of his life-support equipment so he will pass during the course of the night and just end it, and she does it and he dies.

Under our definition of the offense of murder, she has committed that offense. She has intentionally and knowingly assisted in the death of a human being. It doesn't make any difference if he is going to die anyway. Nobody has a right to participate in mercy killings under our law. There are no exceptions. So, technically, she is guilty of the offense of murder.

Let's say some family members on his side, brothers and sisters, elderly brothers and sisters, are so bereaved at their brother's passing that they are able to convince the Grand Jury that the only reason the wife did that to him, removed his life-support equipment was, unbeknownst to everyone, he had property in a retirement community at a resort and a builder wanted that half acre to build some resort condominiums or whatever on it, and now that he has passed, they are able to convince the Grand Jury she would stand to make a lot of money from the sale of that land and they are able to convince the Grand Jury their brother was opposed, but now she could

profit by the money. Capital murder.

Let's say in this hypothetical situation she gets indicted for capital murder, but the jury sees the facts and they think, "That is impossible. It's an act of love. It may be murder, but if anything, it is an act of love."

murder and find her guilty of the offense of murder because technically, that's what she is guilty of, but the jury decides there is no way this lady should go to the penitentiary and that is not the place for her to serve out the last few years of her life for what she has done, and they recommend probation.

I hope by that example I have tied together all the various ranges of punishments, the lesser included offense of capital murder, murder; capital murder.

Do you follow me on that?

- A Yes, I do.
- The only thing I wanted to ask you is this: If you were a juror and find someone guilty of murder, do you feel you could keep an open mind and could consider probation if you felt like it were a proper case for probation? Would you be

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able to do so and say so to the Court? 1 2 Yes. Let me look at your personal information sheet. 3 What does your dad do? If he is not still actively employed, what type of occupation 5 was he in? 6 He was a carpenter. 7 Any brothers and sisters in your family? 8 Two brothers and four sisters. 9 I am not going to exhaust your knowledge as to 10 their occupations, but what about your mom? Did 11 she work outside the home or was she a housewife? 12 She was a housewife. 13 What school do your children attend? 14 15 Carpenter Elementary School. In Deer Park? 16 17 Right. A. In '69 when you were a victim of a car theft, was 18 the person or persons who stole your car 19 20 apprehended? 21 Not to my knowledge. 22 Is there anything about the way that case was handled by the police or District Attorney's 23 24 Office that left a bad taste in your mouth? 25 Well, I was a little bit surprised. I had never A.

been involved with anything like that before. 1 I made the calls to the police, and it was while 2 I lived in a duplex apartment. It had a driveway in front, and I went to go to work and the car was missing and I guess I expected a police car 5 to come and do some investigation, but we handled 6 it by telephone, but I did not know that was the 7 way it was handled. 8 9 It still is. Unless you want them to come to the house, they 10 A. take the report on the telephone. 11 Okay, and is there anything about -- I take it 12 there is nothing about your jury service on the 13 D.W.I. case when you served that would make it 14 impossible for you to be fair and impartial today? 15 16 No. Are you a member of any civic clubs or organizations, 17 the Masons or Knights of Templar or anything like 18 19 that? 20 No. Let me also ask you this, something else. I was 21 getting ready to pass you to the Defense. I know 22 23 they have some questions they want to ask you.

The evidence in this case might show

the Defendant in this case is an illegal alien.

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Harris County on a particular day and this

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Yes, sir.

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Defendant shot and killed a police officer in the lawful discharge of an official duty knowing at the time he was a police officer. They must prove all those elements to you beyond a reasonable doubt.

As Mr. Moen told you, there is no legal definition of what a reasonable doubt is. The judge won't give you one. It won't be in the charge. You will never see one. I have never seen one, but all I can tell you is: Across the street at 301 Fannin where they are trying lawsuits for personal injuries for sometimes millions of dollars, the burden of proof over there is by a preponderance of the evidence, proof by the greater weight of the credible evidence.

Over here in the criminal courthouse, the legislature said, "Wait a minute. Before you go ahead and forfeit somebody's life, literally in this case, the State has to prove their case to you beyond a reasonable doubt." So it is a higher burden than there is across the street.

Do you follow me there?

And rightfully so, because before the State will

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come to you, if he is found guilty, and ask you to answer those two questions yes, you better make sure they have the right man.

Do you agree with me there?

- Yes, I do.
- How do they go about doing that?

First of all, they call witnesses and they will come down here and give their versions of the facts and after they are through, they will rest their case which means that is all we

At that point in time, the Defendant can, if he chooses, present evidence. He doesn't have to.

Let's assume for a minute that the State has presented evidence and you are up there in the jury box and have been thinking about the case, the evidence in the case, and you say, "Well, I think he did it, but for some reason, the State hasn't proven their case to me beyond a reasonable doubt," and the State then rests their case and we rest our case, too, don't put on any evidence whatsoever.

Okay. In that hypothetical example, what would your verdict be?

- It would have to be not guilty based on that, if

  I had a reasonable doubt about the Prosecution.

  So you see where you can think somehody else is
  - guilty, you know, just think that he is guilty, but they haven't proven it to you beyond a reasonable doubt.

Do you follow what I am saying?

A Yes, I do.

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Then the Defendant can, if he chooses, present evidence. He can call witnesses. He can take the stand himself. At that point in time, if you are in the jury box, you will probably hear two diametrically opposed stories, and then it will become your job as a juror to decide from the facts, because if you are a juror, you will be the judge of the facts. Judge Oncken will be the judge of the law. He will rule on all the objections that the lawyers will voice an objection to, but as far as the facts go, you twelve people will be the judge of the facts.

So basically, your job will then be to judge the credibility of the witnesses.

And you have been around -- I believe you are a maintenance superintendent?

A Right.

And you can tell where somebody might fudge on 1 2 the truth a little bit and while they might have 3 a reason for fudging? How many employees do you have? 5 About a hundred. And where do you work? 7 The location is Shell Development, out on Highway 8 6 and Westheimer. 9 Highway 6? 10 Yes. 11 I didn't know Shell had a plant out there. 12 It's a research facility. They do small-scale 13 operations. 14 Is that the new plant? A. Yes. 16 They have an old one? 17 On Bellaire Boulevard. 18 O.S.T.? 19 Bellaire. 20 Are they moving that plant to Highway 6? 21 A. No, there are two separate facilities. This has 22 been six or seven years. 23 You've got a drive. 24 I do. A. 25

My brother lives in Deer Park. What side do you

live in?

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A I live on the west side.

A He lives on the east side right near Deer Park
High School.

so anyway, right back to this element or elements, the State has to prove them to you beyond a reasonable doubt, so after you have heard all the evidence, it will become your job to go into the jury deliberation room and deliberate, ponder and think about whether the State has proven their case to you beyond a reasonable doubt, and if they haven't, then it will be your job, under your oath as a juror, to come back with a verdict of not guilty.

Can you do that if you believe that the State has not proven to you its case beyond a reasonable doubt?

- A Yes, I could.
- Even though you are thinking in your mind, "Well, he might have done it. There is a possibility that he did it, but I don't think the State has proven its case to me beyond a reasonable doubt, so therefore I am going to vote not guilty"? Can you do that?
- A Yes. I think I could.

In a capital murder case, if you find Okay. the Defendant guilty, then you have to go back to the punishment stage and answer two questions. Once you have found a person guilty of capital murder, there are only two possible punishments, life or death, and of course, that is determined by how you have answered Questions 1 and 2. The first question is going to ask you whether the conduct of the Defendant that caused the death of the deceased was committed deliberately and with the reasonable expectation that the death of the deceased would result. The word "deliberately" is underlined there. ponder on or think about with measurable

Deliberately, I have been told, means to consideration, such as when you go into the jury deliberation room; or another way of saying it is, "Was it premeditated?"

MR. MOEN: I object to counsel interposing his definition of the word "deliberately" as being premeditated.

THE COURT: Sustained.

(By Mr. Elizondo) Some people say it would be premeditated.

Would you agree with me there?

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- I am not sure. It is a difficult concept. It would be based on what I have heard. The Defendant is charged with killing a police .5 officer. Is there anything about that aspect of the law that would bias you against this man? A police officer is a human being just like everyone else. 8 So you could base your verdict, base it on what you hear from the witness stand, aside from any 10 emotions that might be aroused? 11 12 A Yes. The second question is asking you to determine 13 beyond a reasonable doubt whether there is a 14 probability that the Defendant would commit 15 criminal acts of violence that would constitute 16 a continuing threat to society. 17 Question No. 2 is, in effect, asking 18 you to predict the future. 19 Would you agree there, whether there is 20 a probability that he will commit future acts of 21
  - A. Yes. That is true.

violence?

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Q Do you think that a person can change his mode of behavior or his mode of conduct? A Yes, I do.

As Mr. Moen was telling you about the robbery,
you know, the two-time ex-con that went to the
Seven-Eleven and robbed them with a seventeenyear-old, let me give you a hypothetical on that.

Let's assume the robber goes in and along goes the seventeen-year-old. The seventeen-year-old is going to buy a loaf of bread. The robber is going with the intent of robbing the Seven-Eleven. The seventeen-year-old goes in there and buys a loaf of bread and stands right next to the robber or the two-time ex-con and sees this guy shoot the cashier. The seventeen-year-old gets scared and runs out the store, you know, and leaves the loaf of bread behind. He runs. He is scared. The robber also runs. The seventeen-year-old did not aid or abet or assist this other guy in the commission of any robbery.

seventeen-year-old is guilty of anything?

Probably guilty of being in the wrong place at the wrong time would be the only thing, if he did not know a robbery was being committed and it was proven he was in no way involved in it, then I don't feel like he was a part of the robbery or

Do you think in your own mind the

1 the murder, but it would depend on the evidence. 2 And assuming he was tried for a robbery-murder, 3 then your verdict would be, if you believed he did not aid or assist or abet in the robbery, 5 then your verdict would be not guilty? 6 Yes, if I believed it. A 7 As Mr. Moen was telling you a while ago, there is 8 the lesser included offense of capital murder, and 9 that is murder. That is when you intentionally 10 and knowingly take the life of somebody period, 11 by shooting him with a gun. That is all. 12 The range of punishment for that offense 13 is five to ninety-nine years or life and a fine 14 of up to ten thousand dollars can also be 15 imposed. 16 I believe you told Mr. Moen you could 17 consider probation in a proper case in your mind 18 for a murder case? 19 Right. 20 I believe you told Mr. Moen if the evidence shows 21 this Defendant is an illegal alien, you would not 22 hold that against him in any way, shape, form, 23 or fashion? 24 No, sir. I have mixed emotions about illegal A. 25 I work around them in my business aliens.

1 construction. Normally, our company policy is 2 not to hire them, but we base that on people 3 having a social security card. Yes. And depending on what they can get and when they 5 get hired. I am really not -- as far as their 6 being in this country illegally, I think that is 7 8 wrong, but I have been to Mexico. I was down 9 there in April at a fishing resort, and I can well 10 see why they come over because of the poverty 11 level, and it was the first time I had been down 12 there, and it opened my eyes. 13 Which fishing resort? 14 Lake Guererro. 15 Did you catch any bass? 16 A. Oh, yes. 17 There are a bunch of them out there. Q. 18 So then the fact, if the evidence would 19 show he is an illegal alien, you would not hold 20 that against him? 21 No. 22 You would guarantee then he would get a fair and 23 impartial trial if you were a juror in this case? 24 Yes. I feel like he would. A. 25 Is that in Garden Villas? a Jesse Jones High School?

Now it is Martin Luther King Boulevard. 1 to be South Park Boulevard. I guess it's two 2 miles out off the South Loop headed back south. 3 Yes. A friend of mine went to Jesse Jones. Ø Did you ever know Tip Scrubbs? 5 Yes. 6 A. He played football. I knew him. I didn't know him personally. Billy Atestas? Yes. I -- I am not sure. A. 10 It is a Greek name. 11 Did you play ball for Jones? 12 No, I didn't. I didn't know either one of them 13 personally, just that they were both football 14 players and everyone knew them. 15 And what did you major in at the U. of H.? 16 Electronic technology. 17 A. You got a degree in that? 18 19 A. No. Right here on this -- where you have an interest 20 in criminal cases, it is kind of, not Xeroxed 21 correctly, and it says the Hinkley case, Eva 22 Lott. 23 That was just a recent case that came to my mind 24 when I was filling out the form, and the interest

A little while ago, you told Mr. Moen you had

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Q.

We estimate the case might take maybe a week,

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Q.

1		week and a half. We don't know for sure at this
2		sime about the actual case.
3	·	Would that hurt you at your job in any
4		way?
5	A.	Mo, it wouldn't. Brown & Root or my company
6		is civic-minded, and they consider it a
7		responsibility to serve on a jury. They pay us
8		For the time that we spend in here, whatever it
9		may be.
10	Q	Can you promise us if you hear the evidence in
11		this case and if you don't believe Mr. Guerra
12		committed the offense, and regardless and
13		irrespective of any kind of pressure you may hear
14		from anywhere, can you vote and say not guilty
15		for Mr. Guerra?
16	A	Yes, I can.
17		MR. ELIZONDO: We will pass him, Your
18		Eonor.
19		MR. MOEN: The State will accept Mr.
20		Woods, Judge.
21		MR. ELIZONDO: Judge, we will accept
22		him.
23		THE COURT: All right, Mr. Woods, you
24		will be a member of the jury on this case, and I
25		would like to give you a few instructions.

First of all, raise your right hand 1 2 and be worn. (Mr. Woods was sworn.) 3 THE COURT: As I told you, I am going to excuse you now, and between now and the time you are called back down here on this case, you are not; to watch or listen to any media coverage 7 concerning this case, and of course, that admonition will carry over during the course of the trial. 10 Also, I am going to -- we had a form --11 there it is -- give you these numbers. This is 12 the number of the court you will be in. 13 This is where the courtroom is located, my name. 14 and Scott Gordon is my coordinator. If you have 15 any problem and if you have to leave town or 16 anything of that nature, please keep in touch with 17 18 us and let us know where you are. Periodically, we will check with each 19 member of the jury to give them some idea as to 20 when we think the case may begin. 21 Is there anything else y'all can think 22 23 of? MR. MOEN: Judge, I can't. 24 MR. ELIZONDO: No, Your Honor. 25

1	THE COURT: It may be two to three
2	weeks before we do get started. You are the
3	third juror selected, and we have actually nine
4	more to go, and I am going to select one
5	alternate juror, so there are actually ten more
6	jurors.
7	MR. WOODS: About how much notice will
8	I get? I need to tie up things at work.
9	THE COURT: I will try to give you
10	three to four days' notice.
11	If we complete jury selection on
12	Tuesday or Wednesday, it will probably be Monday.
13	I will try to give you at least a couple of days'
14	advance notice.
15	Do you have any questions?
16	MR. WOODS: No.
17	We will be notified by telephone when
18	to come?
19	THE COURT: Yes.
20	MR. WOODS: Thank you very much.
21	MR. ELIZONDO: Thank you.
22	THE COURT: Go ahead and ask Mr.
23	Alexander to come in.
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1	PHILIP DALE ALEXANDER,
2	was called as a prospective juror and responded to
3	questions propounded as follows:
<b>4</b> .	*
.5	EXAMINATION
6	
7	QUESTIONS BY MR. BAX:
8	THE COURT: Mr. Alexander, as I told
9	you earlier, we are going to be very informal.
10	If you would like to, loosen that tie and leave
11	your coat off and relax and visit with us a bit.
12	You may proceed.
13	Q (By Mr. Bax) Good afternoon, Mr. Alexander.
14	A Good afternoon.
15	Q Are you a little cold in here?
16	A. Yes.
17	Q All right. As the judge told you, my name is
18	Dick Bax. I am an Assistant District Attorney.
19	To the right is Bob Moen, also with
20	the District Attorney's Office.
21	Bob Moen and I will be representing the
22	State of Texas against Ricardo Guerra. We will
23	be representing the State of Texas and the family
24	of Officer J. D. Harris.
25	We are not allowed at this time to go

into the specific facts of the case, and this whole examination and the questioning of you will be primarily done on hypothetical types of questions. Okay?

A. Yes.

There is one area I do need to talk to you about, and that is pre-trial publicity to determine whether or not you have formed any type of opinion about this case from news accounts, either on TV or radio or in the newspaper such as would cause some people to form an opinion as to guilt or innocence just from what you have read in the paper.

I am allowed to tell you this offense took place back on July 13th of this year and Officer J. D. Harris made a routine traffic stop. After getting out of his patrol car vehicle, he was shot three times in the head. It occurred at Edgewood and Walker streets, which is on the east side of Houston. Harrisburg is the main thoroughfare in that area.

Shortly after Officer Harris was shot, a civilian was driving down the street with his two children and he was also shot and he died as a result of that wound.

About an hour and thirty-five minutes later or an hour and forty minutes later when the police were attempting to apprehend the suspects, another police officer was shot five times and he survived. One of the suspects was shot by the police.

With those facts in mind, do you recall reading or hearing anything about this case prior to today?

- A Other than news, hearing it on the television, but as far as details, I don't recall them.
- Would it be fair to say at this point in time
  you have formed no opinion as to whether or not
  this Defendant seated at the counsel table to my
  left, whether he is guilty or not guilty?
- A No, I have no opinion.
- And basically, that is what the law requires, that the people who sit on the jury, whoever those twelve people may be, they have no preconceived ideas before they come into the courtroom to listen to the facts. That is basically why I asked you that question or those series of questions.

As you know, the judge informed you we would be talking about a death-penalty case.

Should a jury of twelve people find this Defendant

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guilty of the offense of capital murder, Mr. Moen and myself will stand before you and ask you to answer these two questions yes, which would then require Judge Oncken to sentence the Defendant to death. Okay?

Before I get into your personal feelings about the death penalty, let me give you a little bit of a background and let you know a few things.

The reason we conduct voir dire individually is because of the serious nature involved in the death penalty. We have many different views in our society as members of our society as to whether people are in favor of or opposed to the death penalty.

At this point of the trial, of course, no one has to agree with the law or agree that they can, in fact, participate in a case which may ultimately result in the death of another human being. Certainly anyone who takes that stand where you are right now has the perfect right to feel however they choose about the death penalty. What we are trying to avoid ultimately is putting a person in a place where they may have a conflict about what their religious, conscientious, and moral scruples are about the

death penalty and the oath they may take to 1 2 follow the law. 3 Do you follow me? A. Yes. And there is no way anyone can be forced to sit on a jury where they would have to come in conflict with what their religious, moral, or conscientious scruples might be. In other words, if someone were to come before us and say, "Look, I can understand in certain cases the death penalty may be appropriate, but I personally because of my upbringing or philosophy, I could not participate in that, be able to wake up and look at myself in the morning and face that; someone may be able to do that, but I personally couldn't participate in that type of trial. Okay? There is nothing wrong with that opinion. No one would say, "Wait a minute. me tell you the process about capital punishment. Let me tell you what is good about it."

Okay. No one is going to try to change any opinion you may have, but we do need to know how you honestly feel and whether you feel in a case such as this you would be able to participate

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1 and give both the State and the Defense a fair 2 trial. 3 Do you follow me? 4. Yes. With that in mind, could you tell us briefly what .5 your feelings are about the death penalty and capital punishment and how it fits into our 7 8 society, if it does? 9 Generally speaking, I feel like the death penalty 10 is appropriate in some cases. I would say 11 generally. 12 What type of cases do you have in mind? 13 minute, I am going to go over some with you, but 14 just now, what type of cases? 15 I have glanced at No. 2, and if I felt in my mind 16 the individual had committed the crime and had 17 a probability of committing that crime again, or 18 injuring society, well then, certainly it would 19 be an alternative in my mind. 20 Do you feel it has a proper place, let's say, as 21 a deterrent to the person receiving it? Do you 22 think that person would be deterred from committing 23 criminal acts of violence in society so it would 24 be fair to say in your mind as a deterrent, you 25 feel it might be proper?

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I know it is something you don't do, sit around the dinner table and say, "Let's talk about the death penalty," but I know people may have read something in the newspaper or had a set of facts

presented and said, "That is the type of person the death penalty would be the proper punishment for."

- A We have only been married ten months, and haven't discussed it.
- Let me tell you the type of crimes that the death penalty becomes a possible punishment for in Texas. There are only a few.

First of all, you have to have a murder.

There has to be an intentional or knowing taking of another person's life without justification.

All right?

But murder alone -- and I don't mean to minimize murder. It is a very serious offense in and of itself -- but simply the taking of another person's life is not enough in itself to raise the death penalty issue. There has to be another element tied to it. I think you might think of it as an aggravated situation if there is such a thing.

You have to commit a murder during the course of several offenses, or if you kill a specified or classified type of person. For example, if you kill a person during the course of a robbery, the hijacking of a Utotem; in the course

1 of a burglary, breaking into someone's home and 2 the owner is killed in that burglary; or during a rape, arson, or kidnapping, in any of those cases, murder plus one of those crimes is 5 elevated to capital murder. 6 If you kill a police officer and you 7 know he is a police officer in the lawful discharge of his official duty --Yes. 10 -- it elevates it to capital murder or a fireman 11 under the same circumstances. 12 If you kill for hire or hire someone 13 to kill for you, and the killing has actually 14 taken place, or if an escapee from a penal institution kills a guard or someone in the course of his escape, that is elevated to capital murder. Those are basically the areas in Texas where someone becomes subjected to the death penalty, and knowing those are the types of cases, would you agree those are appropriate types of cases where the death penalty could apply? I agree.

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In any of these cases, should a person be found

guilty of murder-robbery or murder of a police

officer, the death penalty is not automatically

Then the two questions that you see 1 assessed. before you are submitted to the jury, and 2 depending upon the jury's answers to those two 3 questions, the judge will either assess life or 5 death. If all twelve jurors -- let me get over here where I can look at them at the same 7 time -- if all twelve jurors answer Question No. 8 1 yes and Question No. 2 yes, then the judge must, 9 by law, sentence the Defendant to the death 10 penalty. If either Question 1 or 2 is answered in the negative, then the judge must, by law, assess life imprisonment. Okay? Now, to answer a question yes, as I said, all twelve jurors must agree that the answer is yes. All twelve must say yes. To answer no, it takes only ten to It takes all twelve to agree to answer agree. a question yes.

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Although the jury doesn't go in the back and say, "Judge, we have decided this Defendant deserves the death penalty. We think the death penalty is appropriate," the way you answer these two questions, you really know what the judge will

•		or warm not do arter you return your verdict.
2		Do you have any questions as far as
3		about the types of cases and how the death penalty
4 ·		comes into play at this point?
·5	A.	The judge would not give the death sentence unless
6		all twelve jurors said yes to 1 and yes to 2?
7	Õ	That's right.
8	A.	And if:all twelve said yes to 1, how many would
9		••• · · · · · · · · · · · · · · · · · ·
10	Q.	Let's say all twelve said l is yes. We all agree
11		the answer to No. 1 should be yes.
12		You start talking about No. 2, and ten
13		say yes, and the other two say no. It would be no
14		because all twelve have to have a unanimous
15		verdict to answer either question yes.
16	A.	What happens on Question 2 if nine jurors say
17		yes and one says no?
18	Q.	It would probably depend on the judge. You would
19		send out a note saying, "We can't reach a verdict,"
20		and the judge would probably say, "Continue your
21		deliberations."
22	A.	Okay.
23	Q.	Under the law, they would have to be answered
24		yes or no.
25		Let's stop for a second and go to the

content of the two questions, and let me tell you, first of all, these questions are not specific to this case.

In 1974, the death penalty was reenacted in Texas, and since 1974, every capital jury who reached the punishment stage of the trial has had to answer these questions. There is nothing about this case or this judge or these lawyers involved that is different.

The first question really appears to be straightforward. It is really asking two questions in one. Was the conduct of the Defendant done deliberately, and was it done with the reasonable expectation that someone would die as a result of that conduct?

That question, of course, calls upon the jury to look back upon the evidence in determining whether the person was guilty or not guilty.

That question is not automatically answered yes because the person is guilty of intentionally causing the death of another person.

Would you agree with me there?

All right?

At this stage of the trial, let's assume you have reached the punishment stage of the trial

and you have had to find at that point that a person intentionally and knowingly took the life of an individual. A lot of people say intentionally and deliberately mean pretty much the same thing. The judge will not define deliberately for you. That will be something the judge will tell you to use your common, everyday meaning of that term. It does not stop at the word "deliberately," and it continues on and says, "Was it done with the reasonable expectation that the death of the deceased or another would result?"

So if you find someone intentionally acted, to find him guilty, does not mean 1 is automatically answered yes.

Let me see if I can give you an example to go over there.

- A Okay.
- The law says to engage in the conduct, if you consciously and objectively engage in certain conduct that causes the death of someone, you have acted intentionally.

Assume with me someone goes into a Utotem, and while he is inside, he's got his gun. He goes up to the cashier and demands the money.

1 She turns it over, and he's got his car running 2 outside, and he doesn't want the clerk to follow 3 him out togget his license number, so consciously, he shoots the person in the leg. Okay? And he runs out of the store. 6 It severs an artery as he leaves the 7 store, and she dies and we have a capital murder. Я He was engaging in the conduct, and therefore, the jury, if they believe that to be 9 the evidence, would believe him to be guilty of 10 11 capital murder. 12 When you get to this question, you would 13 say, "Yes, he acted deliberately, but when he shot 14 her in the leg, I don't know if the Defendant had 15 a reasonable expectation that someone was going 16 to die." 17 You can see the fact situation shows this 18 question could be answered yes or no depending 19 upon the facts and not because someone was found 20 guilty. 21 Do you follow me there? 22 I do. 23 Question No. 2 is more difficult to deal with 24 because of, I guess, the way it is worded.

there a probability that the Defendant on trial

would commit criminal acts of violence that would be a continuing threat to society?

We've got a few terms underlined also there, and those will not be defined for you. You will have to use your everyday meaning in defining Question No. 2. The reason "probability" is underlined is to point out to the jury the State does not have to prove there is a certainty the Defendant will have to act a certain way in the future.

of course, there is no way I could prove to a jury what is certain to happen in the future, and there is only person that could probably answer that question for us, and that person will not be a witness and give us guidance, but the question is, is there a probability, more likely than not that a Defendant would commit criminal acts of violence. You have agreed that is a good criteria to determine whether a person could receive the death penalty or life imprisonment.

I would like to point out to you criminal acts of violence include other capital murders, but that is not the only thing they include. A burglary, forcefully breaking into someone else's home or car, a rape, a robbery, or just slapping

people around. Those are acts of violence, assault or conduct against other persons.

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There is no way I can prove that someone will commit one specific crime. There is no law -- the law requires I prove he is a person likely to commit future acts of violence.

Do you feel you could answer Question

2 yes if you felt a person was apt or likely to

commit criminal acts of violence, although not

another capital murder, or would you require that

we prove the person would commit future acts of

violence such as murder or capital murder?

We are assuming the answer to 1 was
yes. Let's do that. You have answered 1 yes.
You now know if you answer 2 yes, the judge will
assess the death penalty, and he has no choice
in that matter, and let's say after hearing all
the evidence, you were convinced beyond a
reasonable doubt that the person was likely to
commit criminal acts of violence. He might
go around beating up people or commit criminal
acts of violence of other sorts. You weren't
sure he would go out and kill again.

Would you be able to answer Question 2 under those circumstances, or would we be

them.

A All right.

Just on the facts of what a person's conduct was in one specific transaction, that can provide enough evidence for a jury to answer Question 2 yes.

It also says you can offer other evidence if you choose to to help the jury, other convictions or other criminal episodes a man might have been involved in to answer Question 2, but that is not required.

been upheld. It involved a man named Ronald Clark O'Bryan who poisoned one of his children and attempted to poison his daughter on Halloween back in, I think it was '74 or '75 for insurance money. He poisoned his own children, and the only evidence the jury heard was about that one specificant, and the jury upheld the yes answer saying anyone who would do that would probably commit future criminal acts of violence.

Can you see where this would be answered yes on the facts?

- A. Yes.
- Q Do you have questions on Questions 1 and 2?

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A. No.

I guess these are rather clear questions, and I guess clinical ones on determining whether a person should receive life or death. Do you agree?

A. I do.

Let me go over some of your duties and responsibilities you would have if you were to be on this jury, or really, any jury, whether a capital murder case or driving while intoxicated case.

First of all, the judge, at the conclusion of the trial, will give to the jury, both at the punishment and at the guilt-or-innocence stage, the law of the case. It will be written on legal-size paper containing certain definitions telling you what capital murder is, what intentionally is, what a peace officer is, and will contain any definitions that apply to the case.

It will also have in there certain

general instructions and will tell you first off

that the fact that a Defendant has been indicted

for the offense gives no rise to inference of his

guilt, and the jury cannot consider the fact that

a person has been indicted as any evidence of his

guilt. Okay?

A Yes.

An indictment is simply a means by which we have all ended up here today. Number one, it puts the Defendant on notice of what he is charged with.

Secondly, it tells the State what they must prove to a jury to be entitled to a guilty verdict. But the fact he has been indicted is no evidence in telling the jury in deciding whether he is guilty or not guilty.

The law requires the jury themselves to hear the evidence and not rely on what another individual's verdict is.

Would you have trouble in finding a Defendant guilty that way and not holding the fact that he's been indicted against him?

- I guess the reason I am hesitating, I take it is
  I would think -- my assumption would be that there
  is some substantial evidence against the
  Defendant or else why am I here or the Defendant
  here?
- I don't think anyone would be expected -- I don't guess anyone would say you sit down here and we will get a case against you to prove you had personally killed this police officer. Okay?

personally killed this po-

A Okay.

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That is a natural feeling anyone would have in your position that obviously there must be something these people are going on; otherwise, we wouldn't be wasting our time. We didn't pick this person out in front of the courthouse and decide we will try him.

You are the only person that can answer this for us. Can you put this out of your mind and decide this case on the facts you hear from the witness stand and not use that fact that he's been indicted for evidence of guilt, for your part?

- A I think I could.
- In a minute, Mr. Elizondo and Mr. Hernandez will question you, and when you use a term like "I think I can," it scares lawyers.

Let me tell you why. Suppose you had a big mallet in your hand and I say, "Are you going to smash my hand with that mallet," and you said, "I don't think I will." I would be leery to put my hand over there on the table in that situation. Okay?

- A Okay.
- Q I hate to pin you down, but we need to.

Can you tell me whether or not you would be able to follow the law in that regard and not consider that indictment as evidence, but just follow the law and look to the evidence before you?

- A I would follow the law.
- That goes hand in hand with what we call presumption of innocence.

Every Defendant brought into the courtroom is presumed to be innocent. The judge will also tell you that in the charge.

The law requires, as I said earlier, that the twelve people taking the jury box do so with an open mind with no preconceived notions of guilt, innocence, or anything, and that way, it really affords both sides a fair trial and fair hearing before the jury.

Again, I am not going to try to insult your intelligence by telling you someone committed an offense back on July 13th and put in the courtroom today, somehow they are magically innocent. If they were guilty at the time of the offense, they are guilty now, and they will be guilty at the time they die.

The law requires, however, the jury make

an independent decision on a person's guilt depending on the evidence.

afford kim that presumption of innocence?

A I can.

- The easiest way to think about that is, if I read to you the indictment and you have heard me read for twenty minutes or so and I said, "Mr. Alexander, go in the hall and let me hear about what we shave talked about, about whether Mr. Ricardo Aldape Guerra is guilty or not guilty."

  You would have to return a not guilty verdict, because you haven't heard the evidence, and we ask that you be in that courtroom and continue that state of mind until the State proves its case to you. If you disagree, fine.
- A I am following you so far. Fine,
- The judge will instruct you that the Defendant has what we call a Fifth Amendment right. A Defendant in a criminal case does not have to testify. I can't call him to the stand. The judge can't call him to the stand. The judge can't call him to the stand. The jury can't say, "I want to hear from the Defendant." His lawyers can't force him to take the stand.

That decision of whether or not a

Defendant testifies is solely by the Defendant.

They can advise him one way or the other, but

it would be his decision as to whether he testifies.

If a Defendant does not testify, the judge would instruct the jury that you can't use that; you can't use silence as evidence as to a person's guilt. All right?

- A Okay.
- Now I know again your natural instinct is, "Wait a minute. If I were charged with an offense and I didn't do it, I would be the first guy on the stand to say, 'Wait a minute. You've got the wrong guy. I didn't do it.' That is a natural instinct, and there is nothing wrong with a jury wondering why didn't the Defendant testify, and the judge will tell you if he doesn't testify, you can't use that as evidence.

In other words, if you heard the evidence and the State rested and the Defense rested without putting on evidence and you went back and said, "Bax and Moen were good, did a good job, but they can't convince me beyond a reasonable doubt, but that Defendant didn't get on the stand and we'll get the State over the hump because the Defendant didn't testify." That would be wrong.

Can you follow that law, and if the Defendant chooses not to testify, not consider that as evidence?

If a Defendant chooses to testify, he is like any other witness. Your most important function as a juror will be to judge the credibility, believability of the witnesses as they testify.

You can as a juror believe everything a witness says. You can believe one portion and disbelieve another portion, or you can choose to disbelieve all of that testimony as being untrustworthy and untrue.

Of course, you do that like you do your everyday decisions. You look to a person's demeanor, bias, motive, prejudice, what they are saying, look to the reasonableness of what they are telling.

Is that reasonable, and can you make that decision? The judge doesn't make that for you. That is the jury's job to decide who is being honest with you and who is not, and when the Defendant takes the stand, he is the same as any other witness. Simply because he is presumed to be innocent is no assumption he is a truth-

A Okmy.

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One thing, whether it be a minister, lawyer, dontor, or plumber, no witness takes the stand with automatic believability simply because of their occupation. The law requires you to judge their credibility before deciding whether they are right or wrong. It is wrong to say, "Here comes a police officer," and automatically believe everything he is going to say.

Do you feel you could do that, judge the credibility of witnesses and make a determination as to whether they are credible, regardless of their occupations?

That doesn't mean you can't believe a police officer has more experience or is more of an expert witness in certain areas where he may give his testimony in one area, but the simple fact of what they do, be it a preacher or whatever, does not give them automatic believability or credibility.

- A Right.
- Q I have used a few times the words proof beyond a reasonable doubt. I don't know what that is.

I have never been on a jury and never had to deal with it on those terms.

Judge Oncken will never supply you with proof beyond a reasonable doubt. That is something individual to each and every juror, and the jury, after listening to the facts, if they are convinced it has not been proven to them beyond a reasonable doubt, if they are not convinced, they should find a person not guilty. That is based on the facts and the judges and lawyers can't agree on a definition.

I can tell you what it is not. It is not proof beyond all doubt or any doubt or a shadow of a doubt. That is what we hear on TV lawyer shows. Those terms need to be left there. There is no way I can prove anything beyond all doubt or a shadow of a doubt. The only way I could do that is if you were an eyewitness to each and every transaction.

You could be waiting at home for a phone call saying, "We have twelve people. Come on down. It's time to tell the jury what happened," and again, I may have twelve people who saw the same thing and they have seen it from a different respect.

1		Do you follow me there?
2	1.	Yes.
3	Q.	Do you feel that is a fair burden, proof beyond
4		a reasonable doubt?
5	A.	I do.
6	ð	And that is why the burden of proof is the same
7		in any criminal case, whether a driving while
8		intoxicated case or a capital murder case.
9		Do you feel you could follow that
10		principle of law if I proved my case to you
11		beyond a reasonable doubt and find this
12	-	Defendant guilty of capital murder?
13	A.	Yes.
14	Q.	And you could answer those questions yes if I
15		proved it to you beyond a reasonable doubt?
16	A.	Yes.
17	ð	One other concept I need to talk to you about
18		briefly is what we call "lesser included offenses."
19		As I told you earlier, you have to
20		have murder and some lesser crime, either a
21		certain crime or a certain class of people.
22		Let's say you, as a juror, believe that
23		a person knowingly took the life of another
24		person, but you have a reasonable doubt as to
25		whether the aggravated portion was proven to your

satisfaction.

For example, what appears at first blush to be a robbery of a Utotem store, it turns out from the evidence that the man who went into the Utotem was the lover of the girl and he was not after the money but mad because he heard she had been cheating on him. The person says, "I've got a reasonable doubt as to whether a robbery was taking place." You would find him not guilty of capital murder because you don't have both of them, and you would find him guilty of murder.

Okay? Murder carries a different
punishment range. It is no longer life or
death. If a person is convicted of murder, the
range is five years in the penitentiary to a
maximum of life or ninety-nine years, and in
addition, a fine can be given up to ten thousand
dollars, and you can see, it is a very wide
range of punishment, from five years up to
life.

If a jury hears a fact situation involving a murder case, and if the jury believes then the case is worth -- I use the term "worth" -- the case is worth ten years or less under the facts, the punishment should be ten years to five

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years, and if the Defendant has never before been 1 convicted of a felony, the jury could recommend probation to the judge. 3 Probation means release upon certain conditions of release. 5 Do you feel there are certain cases when you could consider probation for the 7 intentional taking of another person's life? 8 For the intentional --For the intentional or knowing taking of a 10 person's life? 11 12 A. No. Let me see if I can give you one fact situation 13 which will take us through lesser included 14 offenses and take us to probation. 15 Okay. 16 A. It is not right for us to sit down and say, "Can 17 you think of a fact situation for probation for 18 a murder case?" That is putting you on the spot. 19 Let me see if I can give you a fact 20 situation and deal with that. 21 Suppose there is an elderly couple in 22 23 their seventies and eighties, and they have had 24 a happy life, raised their children and grand-

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children, and perhaps have great-grandchildren.

The woman becomes terminally ill. There is no question she has two to six weeks to live, and the only reason she is alive is she is attached to some life-support equipment in the hospital. The savings are slowly and quickly dwindling, taking care of medical expenses.

The husband and wife have a discussion, and she says, "Look, we have had a good life.

You have been good to me. I want to die. I have been in pain. It hurts, and I don't want you to be broke when I am gone. Can you pull the plug and end it?"

He says, "No, I can't do that," and she goes on and on, and finally in a moment of weakness, he reaches down and pulls the plug. She dies.

Under the law, he has caused her death.

It is murder.

family members of her family who know the two own property up around Lake Conroe that she had never wanted to sell, but he had wanted to sell it. Now that she is gone, it is open to sell, and the family somehow convinces the Grand Jury that the reason he pulled the plug was not out

of love, but because he wanted to get the sale
of that property.

"That is preposterous. That man no more killed her for that property than we would do so." They find him not guilty of capital murder; it was not done for money. But they find him guilty of murder under the law, although they find him not guilty of capital murder, and in that case, they could, if necessary, recommend probation.

That -- I hope that one example gave you capital murder, murder, and possible probation.

Can you see now where there are possible cases where probation for the intentional taking of another life would be proper?

A. Yes, I can.

- Q Do you have any questions?
- 19 A. Not yet, no.
  - The judge will also tell you one of your obligations as a juror will be that you, during your deliberations on punishment, not discuss or allude to or comment upon the length of time a person might have to serve on any specified sentence he might receive.

In other words, you can't discuss
the marole law as part of your deliberations.
The judge will tell you that that area of the
law, when a person is paroled, how long they
must spend in prison, lies within the exclusive
jurisdiction and discretion of the Board of
Pardons and Paroles, and that the jury is not
to consider parole when they decide the number
of years or life or death as their punishment,
but their punishment must be based on the
evidence.

and not consider parole and restrict your deliberations on punishment on the evidence before the jury? You will be so instructed.

The judge will tell you in the charge you can't do it, even though you have taken an oath saying you will follow the law.

I am not saying you have to like parole or enjoy parole because you keep that out of your deliberations.

If I felt like a person should be sentenced to life imprisonment and I knew that there was a good chance that people with that sentence would get paroled in five or ten years or fifteen years, I

could not tell you it wouldn't influence my 1 decision. 2 First of all, let me ask it this way. Do you have 3 then a preference as to either the death penalty or life imprisonment? Would you prefer one --5 assuming, again, you found a person guilty of 6 capital murder -- would you have a preference as 7 to either a life sentence or a death sentence? 8 I guess life. 9 Well, the awkwardness I am having right 10 now is that I don't know how often people who are 11 assigned life sentences get on parole, but I have 12 heard some of that, so I would like to find out 13 about that. I would have some conflict in 14 deciding. 15 See what I mean? 16 17 a Just because of that, that is why the law says you, 18 as a juror, must take an oath to promise you would 19 not do that. Okay? 20 A. Okay. 21 Suppose you and the other eleven are sitting back 22 there and say the answers should be no.

hasn't been proven the answer is yes. Okay.

this would be wrong for you to discuss with the

other jurors, "If we give him life imprisonment

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1 and that is what the evidence calls for, he is 2 going to get let out and given five, ten years," whatever y'all decide on. Would you go ahead and answer the 5 question yes, which would impose the death penalty? No, I would follow the law. Okay. That is why we are going over these things, to see if you could follow the law. You are the only one who can tell us. You are not the 10 minority. When people come before us and we 11 talk about the parole law, people say, "If we 12 assess him sixty years, he should serve sixty 13 years, and if we assess him life, he should serve 14 life." You are not in the minority and there are 15 a lot of people in the same frame of mind, but 16 the law requires you may feel that way, but could 17 you set that aside and follow the law and make 18 your decision based on the evidence and not make 19 your decision based on the feelings you have? 20 I would be able to. 21 22 sheet.

Let me ask you just a few questions from your data

At one time, you attended a theological school?

That's correct. A.

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- Q How long ago was that?
- 2 A '65 through '68.

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- 3 Q And were you studying for the ministry at that
  4 time?
  - A To be a youth counselor and church-related work.
  - Q So that really didn't have anything to do with the training as far as a missionary, to be a missionary or reverend or anything like that?
    - A People at the same school were training for ministerial vocations and so on, but in terms of my own training, the degree I received was not geared specifically for that. I am not sure what you were asking.
- 14 Q What denomination was that?
  - A It was nondenominational, but close to Baptist in theology.
  - Q. Anything about that background or educational background that would affect you in any way in listening to this case?
- 20 A. No.
- 21 Q What type of bottles do you collect?
- 22 A. I work for an airline and get to fly free all
  23 over the place and get to collect the miniature
  24 liquor bottles.
  - Q Do you collect them full or empty?

A Full.

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Q It states you had an interest in the Cullen Davis case.

Were you dissatisfied with what you may have read in the newspaper or TV accounts?

- A It confirmed a suspicion that if a person has enough money to buy good lawyers, that certainly can weight the case at least. I didn't have any reason to be dissatisfied.
- As you can see, Mr. Guerra has an interpreter next to him and she is interpreting all we are saying to him today. The evidence may, in fact, show he is an illegal alien.

The first question is: Would the fact he is an illegal alien cause you to be biased or prejudiced in any way?

- A Not as it relates to murder.
- Now, it is obvious, I think to you, and I mean no insult or anything to Mr. Elizondo or Mr. Hernandez, but he is not represented by "Racehorse" Haynes today.

Would that cause you to bend over the other way in such a case as this when you know that Cullen Davis and other people with money are able to buy themselves out by the high-powered

1		lawyers?
2	A.	I think it would be more equal in representation
3		rather than have somebody
4		No.
5	<b>Q</b>	You worked with the customs agency. Where is that
6		at?
7	A.	At the Montana-Canadian border. They have a lot
8		of summer jobs, summer traffic up there.
9	Q	In the wintertime, there are no people up there
ιo		except residents?
11	A.	Mainly. In fact, many customs the port is
12		open in the wintertime, but only open for travel
13		in the summer, so that is what I was doing.
14	Q.	And how were you assigned when you were in the
15		Army in '69 and '70?
16	A.	Drafted.
17	Ō.	Were you a product of the lottery system?
18	A.	Number 16 out of 65.
19	Q	I was 235. I felt lucky.
20		Where were you stationed?
21	A.	Basic training at Fort Ord in New Jersey and
22		New York City, and finally assigned permanently
23		to Fort McClellan, Alabama.
24	Q	Do you have questions about anything we have
25		talked about for the past forty-five minutes?

1	A.	No.
2	Q	I will check with Bob Moen to see if he has
3		questions.
4		Thank you very much. He has no
5		questions.
6		
7		EXAMINATION
8		
9	QUE	STIONS BY MR. ELIZONDO:
Q	Q	Good morning. How are you doing?
11	A.	Fine.
12	Q.	As the Prosecution was telling you, this is a
3		capital murder case.
l <b>4</b>		In a capital murder case or in any
15		criminal case here in Texas, the State has the
16		burden of proving this case to you or any
17		prospective juror beyond a reasonable doubt.
18		They must prove certain elements beyond a
19		reasonable doubt, that number one, in Harris
<b>20</b>		County, Texas, on a particular day, this man shot
21		and killed a police officer in the lawful discharge
22		of an official duty, knowing at the time that he
23		was a police officer. He must prove all those

elements beyond a reasonable doubt.

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The prosecutor is right. There is no

legal definition of "reasonable doubt." The judge will not give you one and they can't give you one and I can't give you one. All I can say to you is, across the street at 301 Fannin where they try lawsuits over personal injuries, sometimes for millions of dollars, the burden of proof over there is by a preponderance of the evidence, the greater weight of the credible evidence.

A. Yes.

Q In other words, the side that's got the most evidence wins.

Over here on this side, the legislature says before you can put this man, before you can forfeit his life, take away his life, the State is going to have a pretty heavy burden, a harder burden than across the street, and that will be proved beyond a reasonable doubt, so I hope you can gather by that analogy that the proof they have is pretty heavy burden, and they should accept that.

Do you follow that?

A. I do.

Q How do they go about proving their case beyond a reasonable doubt? They go out here and call

witnesses and they sit where you are sitting right 1 now and they will give their rendition of the 2 facts, and then after they get through presenting 3 evidence or presenting the witnesses, they will go ahead and rest their case, which means that 5 is all we have. 6 7 Then the Defendant can, if he chooses, present evidence. He doesn't have to. 8 9 just stand mute during the whole proceedings. 10 How would that affect you if he stood mute throughout the whole proceedings? 11 12 If he did not testify? Is that what you mean? 13 Correct, or present any evidence by way of other 14 witnesses? 15 Well, it would seem to weight it in the other direction. 16 17 Would you hold it against this man if he didn't 18 testify, let's say, or put on any evidence 19 whatsoever? 20 If the only evidence that was presented to the 21 court was against the witness -- is that what you 22 are saying? 23 Correct. If you believed it beyond a reasonable 24 doubt.

If I believed that he was guilty beyond a

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A.

reasonable doubt? 1 Correct. 2 3 Would I hold it against him? I am sorry. 5 Let me rephrase the question. The State will put on evidence, right? 6 Then they will go ahead and rest their case, and 7 let's assume that they rest their case and you are 8 9 sitting back there and you are saying to yourself, 10 "Well, I don't know. I think he did it, but I 11 am not sure beyond a reasonable doubt." 12 Okay. 13 The Defendant does not testify and we don't put 14 on witnesses or evidence. 15 What would be your verdict in that type of situation? 16 17 Under the oath I took, I would have to say 18 innocent. 19 Not guilty? You could do that? 20 Right. 21 You can see you might reach a point in the 22 deliberations where you might think he is guilty, 23 but you haven't had it proved to you beyond a 24 reasonable doubt. 25 Do you hear what I am saying? Can you

see where you might get at that point where you can think, "Maybe he did or maybe he didn't. I am not sure. The State hasn't proven their case beyond a reasonable doubt"?

Can you see where you might get put in that position?

- A Yes, I can.
- And if you got put in that position, can you promise Ricardo Guerra you would find him not guilty if it were not proven to you beyond a reasonable doubt?
- A I would have to, right?
  - The Defendant can, if he chooses, put on evidence.

    He can testify. He can call witnesses to the stand, and if he does testify or present evidence,

    I could almost guarantee you there would be two diametrically opposed stories, and it will become your job, your function as a juror to decipher the facts.

You see, you twelve people back there are the judges of the facts. Judge Oncken is the judge of the law. He will rule on all the questions of law.

You, as the jury, will be the judge and rule on the questions of fact, so when you go back

- A I think they could be acquainted.
- So if you were to find the Defendant guilty of intentionally and knowingly killing a police officer, would you automatically answer Question No. 1 yes?
- A With the reasonable expectation that the death of the deceased --

Right. I would.

Q Can you see where Question No. 1 is asking for whether the conduct of the Defendant that caused the death of the deceased was committed deliberately and with the reasonable expectation that the death of the deceased would result?

An example I might give you to try to clarify that whole thing -- I hope I can -- let's say you have two robbers. One is seventeen and one is thirty-five. The one that is thirty-five has been in the penitentiary two times before. They both planned and conspired to go into a Seven-Eleven and rob the Seven-Eleven, and while they are out there robbing, unbeknownst to the seventeen-year-old robber, the thirty-five-year-old shoots the cashier and they all run. They are scared.

Under our definition, that would be

1 capital murder, robbery-murder, so if you believe 2 beyond a reasonable doubt that he committed that robbery-murder, you would then go to the punishment stage and you would answer Question No. 1, whether 5 the conduct of the Defendant, the seventeen-year-6 old, that caused the death of the deceased was 7 committed deliberately and with the reasonable 8 expectation that the death of the deceased would 9 result. 10 Would you answer that question 11 automatically yes? 12 A. Specifically about the seventeen-year-old? 13 a Yes, sir. 14 The thirty-five-year-old pulled the trigger? A. 15 Q. Yes. 16 Under the law of parties -- I am sorry 17 let me backtrack a little bit. 18 Under the law of parties, a person who 19 aids, abets another in the commission of an 20 offense is just as guilty of that offense as if 21 he himself had done it. 22 A. Okay. So an accomplice is guilty is what you 23 are saying? 24 Correct. 25 Following that logic, I would have to say yes, if

•		ne is as guilty as the thirty-live-year-old,
2	• •	the way the law defines it.
3	Q.	So then if you were to find Ricardo Guerra guilty
4		of
5	A.	Uh-huh.
6	Q	of intentionally and knowingly killing a
7		police officer in the lawful discharge of his
8		official duty knowing he was a police officer,
9		then you would go to the punishment stage and
ιφ		automatically answer Question No. 1 yes?
1	A.	All right. Looking at what you are saying, once
12		I have identified the thirty-five-year-old with
13		having deliberately, with reasonable expectation
l <b>4</b>		of having shot the police officer
15	Q.	No, no. I am sorry.
16		Let's do it with a hypothetical.
17		Strike that.
18		Back to the murder of a police officer.
19	A.	Okay.
20	Q.	The Defendant is charged with intentionally and
21		knowingly killing a police officer.
22	A.	Okay.
23	Õ	If you find him guilty beyond a reasonable doubt
24		that he killed a police officer intentionally
25		and knowingly

1	<b>A</b> .	Uh-huh.
2	Q	and then we go to the punishment stage
3	A.	Uh-huh.
4	Q	at the punishment stage, would you automatically
5		answer Question No. 1 yes?
6	A.	Yes.
7	Q	Then you will go to Question No. 2, and if you
8		find him guilty of intentionally and knowingly
9		killing a police officer, would you automatically
lQ		answer Question No. 2 yes?
11	A.	I wouldn't automatically. I would have to look
12		to see if there was a probability that in the
13		future he would be a, you know, menace to
14		society and a threat.
15	Q	So then there is no difference in your own mind
16		between finding him guilty of the offense of
17		intentionally and knowingly killing a police
18		officer and answering Question No. 1 yes?
19	A.	Right. There is no difference.
20	ð	And you are saying they are one and the same
21		then?
22	A.	Right.
23		MR. ELIZONDO: Your Honor, we would
24		respectfully challenge.
25		MR. BAX: May we have a moment, Your

1	Honor?
2	No objections, Your Honor.
3	THE COURT: All right, Mr. Alexander.
4	Thank you very much for your patience with us.
5	We appreciate it very much. You may be excused.
6	MR. ALEXANDER: Thank you.
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10	PAUL DONALD KELLOGG,
11	was called as a prospective juror and responded to
12	questions propounded as follows:
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14	EXAMINATION
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16	QUESTIONS BY MR. MOEN:
17	THE COURT: All right, Mr. Kellogg,
18	please relax with us and we will visit with you
19	a little bit and get your opinions about certain
20	things.
21	Bear in mind that I told you earlier
22	today if you don't agree, tell us about it.
23	Mr. Moen, you may proceed.
24	MR. MOEN: Thank you, Judge.
25	Q (By Mr. Moen) Mr. Kellogg, we apologize for

all the time you have done waiting around here today, and I think you are entitled to an explanation of why you had to wait.

As the judge mentioned to you earlier, all the selection is done one at a time. The law requires it be done that way. I think it will become obvious to you in the next thirty minutes I need to talk to you about why that is.

There are a number of things I need to explain and cover on capital voir dires.

Capital cases are different from the regular trials, and those things need to be covered to find out what your feelings are.

Whenever you are talking about a case where the death penalty is being sought, one of the two possible punishments a person can receive is the death penalty.

I think you realize from your own common sense and from the many people in the world, that there are many different opinions about the death penalty.

Sometimes we run across people who, because of their feelings about the death penalty, could not serve on a capital murder case, maybe on another case, but not a capital murder case.

is a possible punishment.

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The range of punishment for a murder case in our state has an entirely different range

For someone to take another human being's life, and if that person were found guilty by a jury, he could receive a punishment range of from five years in the penitentiary all the way up to ninety-nine years or life, depending upon what the jury thought was proper.

Our legislature has said that murder that occurs during the course of certain felonies and for an individual to murder a certain class of people, we are going to categorize these murders as capital murders.

example: Our legislature has said that if a person commits a murder during the course of one of five felonies, for the rapist to kill his rape victim; for the kidnapper to kill the kidnap victim; robber to kill the robbery victim; burglary-murder; arson-murder; those are the five examples where if a person commits murder during the course of committing one of those felony crimes, he has committed the offense of capital murder.

The legislature has said also in four other instances a person can stand before a jury

for the offense of capital murder: 1 If thev commit murder to a police officer or fireman during the course of their official duties, or 3 if they murder a person who is employed at a penal institution or if a convict attempting to escape from a penal institution kills anybody, and finally, murder for hire or murder for money. 7 8 Those are the only examples in our state in which a person can be convicted of the 9 10 offense of capital murder. Only if he murders one of those 11 12 protected individuals or commits a murder during 13 the course of the commission of one of those 14 felonies I have mentioned. 15 Every other murder falls into this other 16 range of punishment. I don't care how horrible 17 it might be. It could be someone standing out in 19 front of Foley's and killing men and women as they come out so he can attract attention to his 20 political cause. That is murder. 21 Capital murder has nothing to do with

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victims.

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the circumstances surrounding the death of the

Now, the way that the punishment is

assessed in a capital case is not by the jury,
after they have found someone guilty of capital
murder, going to the jury room and saying, "Should
we give this person life or death," and then coming
out and telling the judge what decision they have
reached.

The jury takes a look at those two questions on your left in the jury room, and depending upon the jury's answers to those questions, a particular type of punishment is handed out by the Court.

Since the jury, by their answers to the questions, decides on the penalty, the judge doesn't have discretion, and the way the jury tells the judge what to do is by these questions.

If the jury answers both of these questions yes, the death penalty is assessed to the Defendant. If a no answer is given to either one of the questions, it is life in the Texas Department of Corrections rather than the death penalty, so the judge waits for the jury to tell him what to do.

The judge tries to hide nothing from the jury. You know and you all know exactly what the effect of your answers is. No one is trying

to hide anything from you. You will know up front what is going on.

Before they can be answered yes, all twelve jurors have to unanimously agree. It takes all twelve in agreement to answer yes, but only ten have to be in agreement before a question can be answered no. There is a slight distinction. Ten have to agree to answer a question no. All twelve to answer a question yes.

Do you follow me so far?

A Yes.

A while ago this morning -- I know you have had a chance to glance at the board, but if you haven't had an opportunity to look at the questions, I want you to take a moment to look at them.

Okay. Let's talk about that first question first. That question is a rather straight, up front question, I would suggest, because it is asking you to make a determination about the conduct of the man on trial found guilty of capital murder.

You see, the way these questions come into play is like this: The first stage of the trial, all the jury hears is evidence about

whether the man is guilty or not guilty, and
they come back with a verdict and tell the judge
through their foreman what their verdict is
through their foreman. "Judge, we find him guilty

Then we start what is called the second stage of the trial or the punishment phase. At that phase of the trial, either side can again offer testimony concerning what type of man is on trial, what type of person is he? Has he committed any other acts of violence that would be pertinent to the jury's deliberations on these questions? Has he been convicted for any other felonies that would be pertinent to answer these questions?

Character witnesses that know of his character and can testify to his character as good or bad and as being a law-abiding citizen can testify to help answer these questions, and after all the evidence is completed, the jury takes the evidence from the trial itself, any additional evidence heard at the punishment stage, and ultimately, the judge will have these typed on a piece of paper and they will take them back to the jury room and deliberate on what their

or not guilty."

answers should be.

Now, this first question asks you to make a determination about the conduct of the man on trial found guilty of capital murder.

Was it that man's conduct that caused the death of the deceased and was it deliberately done and was it done with the reasonable expectation the deceased would die?

are talking about in regards to Question 1. A man goes into a convenience store and robs the woman cashier, says give me all the money, and she turns it over. It is late at night. He thinks there are no other witnesses. He is an ex-con and doesn't want to risk a lineup a couple of weeks later, so he shoots her to death.

Unbeknownst to him, she has triggered an alarm that calls the police and they are waiting outside and he is arrested. That man has committed capital murder. During the course of a robbery, he has caused a death.

Okay. After the jury has found him guilty of capital murder, they would have to answer this first question. Was the conduct on the part of that man that caused the death of

of her body at which she was struck by the bullet, was that conduct deliberate and done with the reasonable expectation she would die?

Do you see where that is a rather straightforward question based on the offense of the criminal himself?

- A Yes.
- However, this question is not automatically answered yes just because someone has been found quilty of capital murder because there are other cases and other fact situations -- I guess as many fact situations as the mind can think of -- where the jurors' answers might be no.

Let me give you an example. Imagine
the same situation we have talked about except
this time, the ex-con goes in and asks a
seventeen-year-old to go with him. Let's say
the ex-con provides this seventeen-year-old with
a weapon. The seventeen-year-old owns no such
pistol or loaded gun, but he is given one by the
ex-convict.

They go to the Seven-Eleven store. The seventeen-year-old is outside watching as a lookout. He doesn't know the ex-con is going to

kill a woman, and the ex-con kills the woman.

However, under our law of parties, they can't come and say they were surprised by something another person did. By committing the crime together, they agreed to commit it. Our law says if you act together to commit a crime and other felonies are committed, that should have been anticipated by you during the course of committing that crime, then all the parties are responsible regardless of the parts they played in the commission of the crime.

tried and convicted for the offense of capital murder even though he did not pull the trigger that caused the death of the cashier, but I think you could see in that case when the jury is getting ready to answer that question, they could see there was no doubt the answer to the question for the ex-convict should be yes, but when it came to the answer for the seventeen-year-old, they very well might feel when the question came to his case, it should be no because the conduct that caused her death was not on his part.

There could be different circumstances

that could require different answers to Question

1, even though someone were found guilty of the

offense of knowingly and intentionally killing

another person, and in capital murder.

- A Yes. That is clear.
- Now, the second question asks you to make a little bit different determination. It asks you to make a determination, not so much about the facts of the case, although they are very important in making this determination, but it asks you to make a determination about the man on trial, what kind of person is he? It asks you to find out, if you can, in answering the question, if you can, whether or not the person on trial, the man on trial is the type of person or there is the probability that he would commit criminal acts of violence that would constitute a continuing threat to society, and we have underlined that phrase and these words here to help draw the juror's attention to these questions we talk about.

This first word is "probability," and

I would like to point out all this question

requires of you as a juror is to believe there is

a probability -- not a certainty, and I think you

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realize why. The legislature who drew these questions up did not require the jurors to put themselves in the position of God, and he is the only person I know of who could answer this question or predict what any person could do to a certainty, and you are not asked to place yourselves in the position of God. Probability is not a certainty — that the man would engage in this type of conduct, criminal acts of violence.

Before you answer this, you do not have to believe nor does it have to be proven to you the man would have to commit any particular type of violence, whether they be assaults, kidnappings, robberies, rapes, burglaries, or any other type of criminal act of violence we can think of.

That phrase includes the whole works.

Is the man the type of person where there is a probability he would engage in that type of conduct, and would that conduct constitute a continuing threat to society.

And the word society is all-inclusive. Okay?

A Yes, sir.

I think you realize, after a man is found guilty ı of capital murder, the society he is going to 2 find himself in is the prison society, but I 3 hope you would agree with me -- maybe you would diagree -- but I hope you would agree that there 5 are people who work in the prison system. have a right to serve out their time and hopefully 7 make a better life for themselves if they want to or choose to. 10 Do you follow me on that portion? 11 A, Yes. 12 Now that I have had a chance to go over both of 13 those questions with you, do you have any questions of me about Questions 1 or 2 that we can talk 14 about now? 15 I believe not, sir. 16 Is there anything about the way the 17 Okay. questions are worded, just the way they are worded 18 19 -- not about the evidence you might hear -- that 20 you feel like would make it impossible for you 21 to answer 1 or 2 because of the wording these 22 questions contain? 23 No.

Or do you feel like you could answer them based

upon the evidence you will hear?

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A I believe so. Yes, sir.

Now, let me point one final thing out in regards to these questions, and I will get off of those.

additional evidence other than the evidence surrounding the crime itself. In a proper case, the jury can answer these questions yes based on just the evidence of the crime itself, and I quess the classic example of that that comes immediately to all of our minds is the case of Ronald Clark O'Bryan, the man who poisoned his children on Halloween for insurance money. That is a case already affirmed in the Court of Criminal Appeals. The jury can answer these without additional evidence. There is no requirement of that. I just want to point that out to you.

However, your answers are not automatically yes just because the man is found guilty. Just because a jury has returned a guilty verdict is not a reason to automatically answer either one of the questions yes.

Do you follow me on that?

A. Yes.

THE COURT: Excuse me.

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Mr. Kellogg, I have a cup of coffee. 1 Would you like some? 2 3 Excuse me, Mr. Moen. MR. MOEN: That is fine, Judge. need to catch my wind anyway. We have been doing this for a couple of weeks and there was one time when I stayed until 11:30 at night picking jurors, and they were as happy as I was about that. 10 THE COURT: You might point out that was not in this case. 11 12 MR. MOEN: That was not this judge, but in any event, let's talk to you about -- I 13 noticed you have been a character witness in a 14 case and served once on a civil jury. 15 Let me talk to you about the obligations that 16 will be required of you as a juror in a criminal 17 18 They would be no different in a capital 19 case from any other case, but I want to point 20 out some things required by your jury service 21 to see if you agree or disagree. 22 I didn't tell you this, and you strike 23 me as being the type of person I wouldn't have 24 to say it to, but if you have any disagreements, 25 let me know. If you have any questions anytime

I am talking, don't hesitate to interrupt me as
to whatever is on your mind so we can clear it
up now,

There are rules that govern a lawyer's conduct after jurors are selected, and if you had any questions, you know -- it's the thing I guess I live in the most fear of -- that after three or four weeks of trying to get a jury together on a case like this, there would be one question a jury wanted to ask that inhibits their ability to reach a verdict, and as a result, the jury doesn'st reach a verdict and we have to go through the whole process again. That, I think, is a recurring nightmare I have in the practice of law in cases like this.

I encourage jurors, if you have any disagreement, please do not hesitate to ask so we can clear it up now.

- A All right.
- The judge will tell you in writing -- the judge

  used the phrase earlier this morning -- in his

  charge of the Court, and I know I have talked about

  it.

The charge of the Court is a legal paper like this, and the judge will set out in

legal writing for you and for the jurors as to exactly what the law defines as capital murder. He will define intentionally and knowingly and he will define the legal definition of the phrase of murder and what a police officer is and other facts pertaining to the case, and you will all take the facts you have heard from the witness stand and apply those to the law the judge gives you and see if those fit the definitions, and that is how you decide the case.

instructions, also will include admonitions as
to what jurors should do, and the judge will tell
you first off you are not to consider the Grand
Jury indictment as any evidence of anything
whatsoever. Basically, in legalese, he will talk
about what it means, and the Grand Jury indictment
is not evidence at all. It is kind of like a
starter's pistol in a race. Until the gun goes
off, you can't have a race, and until the Grand
Jury indicts him, we cannot go to trial. You cannot consider it as evidence.

Do you feel you can abide by that?

A Yes, sir, I could.

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Q The judge also talked about this, this morning:

As the Defendant sits here, you have to presume 1 he is innocent. I don't want to insult your 2 3 intelligence and say that just because a man is presumed to be innocent, he is not guilty. 5 think you realize that a man caught in an act is just as guilty when he is caught as when he comes 6 to the jury. However, the law says when jurors 7 don't know anything about a crime a man has committed, they should base their verdict on what 10 evidence they hear in the courtroom. That is basically what the presumption of innocence is 11 12 all about.

Do you feel you could afford the Defendant the presumption of innocence and base your verdict on what you hear from the witness stand?

A Yes, sir.

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The law will also tell you if the Defendant fails to testify, you are not to consider his failure to testify as evidence of his guilt, but on what evidence they have heard from the witness stand rather than from the fact the Defendant has decided that at his trial, he wanted to remain silent. That is called the Defendant's failure to testify.

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Wants to, get on the stand at his trial. Any person can. But as crazy as it may seem, if a person down't want to testify, he can remain totally silent. At one of the most important days of his life, he can remain seated at the counsel table and never get on the stand to testify if he decides, for whatever reason, that is what he wants to do.

The only thing the judge tells you is that if he chooses to remain silent, you are not to consider that as evidence of his guilt.

Do you agree on that?

- A. Yes.
- I do anticipate he will testify, but I must tell you and the judge will charge you if he does not, that is no evidence of his guilt.

The judge will also tell you that the burden of proof in a criminal case rests right here with Mr. Bax and myself. Before you can say by your verdict, Mr. Kellogg, that the Defendant was guilty, you would have to say Mr. Bax and I have proved it to you beyond a reasonable doubt. That is the phrase. You have to believe we have proved it to you beyond a reasonable doubt.

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any doubt, you would have to look at me and say,
"You did the best job you could, but that is not
enough. You didn't meet your burden of proof.
You didn't prove it beyond a reasonable doubt,
and therefore, I find the Defendant not guilty."
That would be the proper thing.

Do you follow me on that?

- A Yes, sir.
- Q Both sides are wide open. All right?

witness, the clerk will give the subpoena to a process server. It doesn't cost a thing but the taxpayers' money. Both sides can offer whatever evidence they want to, but only one side has the burden of proof, and that is this side here, Mr. Bax and myself. We have to prove to the jury beyond a reasonable doubt. That is not to prove, as we hear on the lawyer shows now and then, "beyond all doubt," "any doubt," "a shadow of a doubt."

When I was a kid growing up, Perry Mason was one of the must shows in my family, and as a kid growing up, I can't tell you how many times Mr. Burger was bested by Perry Mason because he

had not proved his case beyond a shadow of a doubt. That is on the lawyer shows.

Here in the courtroom, the test is beyond a reasonable doubt. Why is that? The law recognizes, and I think we do, that for anyone to be convinced beyond a shadow of a doubt or beyond all doubt or any doubt, they would have to be present when the transaction or offense took place themselves.

Now, our law says that witnesses can never be jurors on a criminal case. Only people who don't know anything about the crime that took place, who find out about it for the first time inside a courtroom from witnesses who are telling them about it can be jurors, and I think the law realizes the inconsistencies that can occur even between eyewitnesses who viewed the same transaction take place.

Although the burden of proof is not beyond a shadow of a doubt or all doubt but beyond a reasonable doubt -- and there is no definition for that phrase, beyond a reasonable doubt. That definition applies to the second phase of the trial as well.

Before you can answer those questions

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yes, you have to believe beyond a reasonable doubt that Mr. Bax and I have proven it yourself.

I want to tell you there is no way beyond God himself that Question 2 can be proved beyond a shadow of a doubt. Therefore, the proof is also to those questions not beyond all doubt but beyond a reasonable doubt.

Do you follow me on the burden of

A Yes, sir.

proof?

Let me tell you about judging the credibility of witnesses, and the only thing I want to say in that regard, and I think you understand one of your functions as a juror is to make a judgment call and decide if you are going to believe what a witness has told you or disbelieve what a witness has told you.

You may find it crazy to believe, but there are people who have sworn to God to tell the truth, but let me assure you there are many people who visit the courthouse and get on the stand and swear to God to tell the truth in front of people who have come to watch the trial, and they swear to tell the truth and don't do it.

Unfortunately, it happens more often

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than we would like, and that is why it falls
on the jurors during the course of a trial to
decide whether they are going to believe or
disbelieve any or whatever part of what a witness
has told them, even though they have been under
oath.

The only thing the judge will tell you in that regard is this: When you are judging the credibility of a witness, you are not to give the witness more belief or less belief because of a witness' job.

If a witness' job enters into their testimony, it is perfectly permissible for you, as a juror, to consider that witness' occupation, such as doctors testifying about medical experiences, police officers with twenty-two years' experience telling you what information he gained as a result of his experience and training. That would be proper.

I am only talking about before a witness gets on the stand and testifies, the law does not recognize any witness as being an automatic truth-teller just because of the job.

Do you follow me on that?

A Yes, sir.

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The judge will put that in writing as well.

Let me talk to you about two final things. We mentioned the range of punishment for the offense of murder, and the only reason I mentioned that range of punishment in the first place was by way of explanation, and also to reach this point that I am at right now.

the lesser offense of murder. Now the reason

I say "lesser offense" is because murder carries

a lesser range of punishment than the offense

of capital murder. The person who commits the

offense of murder, the maximum punishment he can

receive is ninety-nine years or life in the

penitentiary. For someone to commit capital

murder, he can receive the death penalty, so

murder is a lesser included offense, a lesser

offense than the offense of capital murder.

Let me explain to you how that pertains to your jury service or might be important to your jury service. If you were a juror in a capital murder case where a man, let's say in the hypothetical situation we have used, the robbery-murder of a convenience store cashier, if that man were charged with capital murder

1 and you were a juror and you heard the evidence surrounding the death of that cashier but yet 3 you learned during the course of the trial that really a robbery didn't take place, that the reason the man killed her was not to steal money, but he had had a relationship with her and 7 they had been girl friend and boyfriend living together off and on and they had had an argument earlier in the day and it was not for money, 10 and that argument culminated in her death, along 11 with the pistol he brought into the store, and 12 some of the witnesses were actually mistaken that 13 it was really a robbery, and you were convinced 14 of that, in that case, your obligation as a 15 juror and the rest of the jurors would be to say 16 not guilty of a capital murder because this man 17 18 19

did not commit the murder during the felony offense of robbery, but the lesser offense of murder.

You see, unless the murder takes place to one of those protected classes of individuals

I have mentioned or unless the murder takes place during the course of one of the felonies I have talked about, the man is only guilty of murder but not capital murder.

Now, our law also applies or says,

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excuse me, that where a person is convicted of
the offense of murder, if that person makes
application to the Court, he can be given a
probated sentence for the offense of murder.

Are you familiar with the phrase "probation" at all?

A. Yes, sir.

Q It means released by the Court under conditions imposed by the Court, is basically what probation means.

But the way probation applies to felony cases is as follows. The jury can only recommend probation for a Defendant where they feel like it is the proper thing to do, first of all. If a jury doesn't feel probation is proper, nobody gets probation, only where the jury arrives at a sentence of ten years or less. In other words, where the jury agrees that the proper punishment is ten years or less, then the jury, if they feel it is a proper case, can recommend probation to the Court.

Now, if they recommend probation to the judge, he must give him probation.

Let me explain to you and give you a hypothetical situation about how in a capital

murder case the lesser included offense of murder and probation might apply to a particular hypothetical, and I hope this might explain all the things we have talked about so far.

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Imagine a case where a man and woman have been married for forty or fifty years. They are in their seventies. They find themselves in the hospital, and he is dying. He has some kind of incurable disease, and the best they can do is make him as comfortable as possible and prolong his life as long as possible. She's got a cot she sleeps on down there. They have loved each other for a number of years. They have talked about it, and all their family resources are dwindling. The only end result of his lingering is she is going to be destitute, on food stamps or what have you.

They decide the best thing is to let him pass, and she intentionally or knowingly disconnects the life-support system and he passes on during the night.

Technically, she commits the murder.

She nonetheless has technically committed the murder, has intentionally and knowingly taken a person's life or assisted in the taking of a

person's life. That is murder.

Let's say some of the brothers and sisters on his part are really upset when they find out how their brother died, and they are convinced the only reason she did this is because they own a little piece of property out there, a half acre they may have bought years ago up in the north of town. They were going to save perhaps to retire on or put a home on sometime, but there is a builder up there who wants that piece of property and he pays her quite a bit of money to get her to sell him that land for one of those condominiums that seem to spring up more and more all the time. A Grand Jury finds she has committed capital murder, murder for money. She has taken his life so she could sell that piece of property he was opposed to selling, to get that money she thought he was going to spend for further medical treatment. She gets indicted and the jury says, "This is the most preposterous thing we have ever heard of," yet they follow their oath and find her guilty of murder.

You see, from those facts, that is what their verdict ought to be, and then when the jury decides what punishment this woman ought to

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receive for what she has done, even though they believe it was an act of love, they assess a punishment in this case, and perhaps that might be a proper case for probation.

I am not trying to find out what you would do in that case, but I hope I have managed to explain that term, "lesser included offense," and how you can wind up with a decision of murder and ultimately even wind up with a verdict arriving at probation.

The only thing I want to ask you is this: If you were a juror in a murder case, can you imagine a set of circumstances in your own mind where you might feel probation was a proper verdict for someone, even though you had found someone guilty of the offense of murder?

- I would have to say it would have to be an extreme exception from the general run.
- Again, that probably puts you in the 99.9 percentile of people who come in here and make statements along those lines. That is what I want.

Is there in your mind, even though an extreme case you can think of, some facts in your mind where the probation would be proper

even though the person had committed the 1 offense of murder? 2 3 I think so, yes. Do you have any questions of me, Mr. Kellogy, as 5 far as -- about anything we have talked about? 6 A. No, sir. 7 Thank you. And Mr. Bax -- did you ever see the picture "Animal House" with the devil on one shoulder and the angel on the other? 10 11 I don't mean to imply he is the devil, but without him, I wouldn't be able to function. 12 13 He whispers things in my ear I might forget. 14 This is important. The judge will 15 charge you on one final thing when you are deliberating on what your answers to 1 and 2 would 16 17 be if you were selected on this jury panel. He will tell you how long a person would have to 18 19 serve in the penitentiary on any given sentence 20 the jury would assess is a matter best left to 21 the Board of Pardons and Paroles and the jury 22 must not consider it. It would be grounds for

a mistrial and we would have to start the trial

all over again. No one wants to see that happen

at all, and it is the reason I tell you.

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bit of a rush on this, because she didn't

understand: English very well, and she was working in one of those clubs off Broadway. 2 Off Broadway? 3 It seems that entire area has been taken over by .5 Asiatics or Koreans. Funny how those clubs go 6 through different ownerships over the years. What type of hunting do you like to do? Bird hunting? Deer hunting? I have done a lot of bird hunting. I haven't 10 A done much deer hunting or big game hunting. 11 I haven't found any big deer to go after. 12 Not a whole lot. 13 Okay. I see you knew Mr. Newburg back 14 when you were living in Portland, Oregon, for 15 five years, and he was a member of the police 16 force there? 17 18 Yes. The only reason I cover that is to mention to you, 19 with regards to the credibility of witnesses, 20 even a police officer is not accorded in the eyes 21 of the law any more or less belief than any other 22 23 witness. Certainly, if his job or experience 24 enters into his testimony, certainly his job 25

can enter into his testimony, but unless he 1 testifies as a police officer, you are not to 2 believe him any more or less than any other 3 witness. Do you follow me on that? 5 Yes. 6 A It seems like there was something else I wanted 7 to ask you. 8 Oh, let me check with Mr. Bax and see 9 10 if he has any --I know what I wanted to bring up to you. 11 I anticipate the trial will probably last about 12 a week, and how is that going -- what is that 13 going to do to your job? What is your employer's 14 position, you know, as far as employees being 15 down here on jury service? 16 We are lucky in that our contract pays for time 17 A. or hours missed while serving on a jury. 18 The only other thing in addition to that -- we 19 check with people about their jobs -- the only 20 other thing is this may or may not happen, but 21 22 the law provides when the jury is deliberating 23 about and making a decision about whether or not

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a man is guilty or not guilty or deliberating on

the punishment in the case, the jury not be

separated. The jurors have to remain together until they have reached a decision about the fact of whether the man is guilty or not guilty, and after they have reached their decision, they can separate and go back to their homes until they are deciding what their answers to Questions 1 and 2 will be, and then they have to be kept together while they are deliberating, and on a 8 working day, they will be sequestered, taken to a hotel, given rooms, and kept together until 10 they come back to their deliberations in the 11 12 courtroom that day. Do you think your wife could handle all 13 your kids at the house while you are down here 14 15 for one night or so? She would be able to handle them, I am quite sure. 16

- Well, it looks like that is a houseful to handle. That certainly is a large family, which you probably know.
- It keeps both of us out of mischief. That is for sure.
- It certainly wouldn't make you lack for something to do.

Mr. Kellogg, I appreciate your letting me visit with you.

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1 Let me ask you one final thing. I didn't, I know they will, and they will probably 2 ask you about it. 3 I think the evidence in the case might show the Defendant is an illegal alien. Would that affect you one way or the other as to whether 6 7 he is guilty or not guilty? Would that affect 8 you? 9 No, sir. It wouldn't do that. 10 Good. a 11 I will pass you to the Defense, and I 12 know they will have questions to ask you, and I 13 appreciate your allowing me to visit with you. 14 Thank you. 15 **EXAMINATION** 17 18 QUESTIONS BY MR. ELIZONDO: 19 How are you doing today? 20 Hello. 21 This part of the trial is called voir dire. Voir dire is a French word which means 23 to speak the truth. The reason we are here is 24 to ask you certain questions about certain things. 25 Once you get in that jury room, you

feel in a criminal trial.

As the prosecutor mentioned to you,
this is a criminal case. As in any criminal
case, the State has to prove certain elements to
you beyond a reasonable doubt.

In this type of case, the State must prove to you beyond a reasonable doubt that on a particular day in Harris County, Texas, this Defendant intentionally and knowingly shot a police officer in the lawful discharge of an official duty knowing at the time he was a police officer by shooting him with a gun. They must prove that to you beyond a reasonable doubt.

The judge will not define what that term means. The Prosecution will not, and I can't, because there is no legal definition of "reasonable doubt."

of analogy, that across the street in the civil courthouse where they try lawsuits over personal injuries and over contract disputes, sometimes for millions of dollars, the burden of proof over there is proof by a preponderance of the evidence,

the greater weight of the credible evidence.

Here in the criminal courthouse, the legislature said, "Wait a minute. Before you can forfeit anybody's life as in this type of case, we are going to put the State to a heavier burden, and that is proof beyond a reasonable doubt." It is a heavier burden, and rightfully so.

Before the State of Texas can forfeit anybody's life, we better make darn sure they have the right man.

Do you agree with me there?

- A Yes, sir. Definitely.
- How do they do that? They do that by presenting witnesses to come out here and sit on the same stand you are sitting on and giving their rendition of the facts. While they are telling you about the facts, you will be sitting there looking at the witness. You will be looking at his demeanor, listening to what he is going to say, and if he has said anything differently from what he has said previously, and based on that, you can judge his credibility. You can believe any part of what he says, all of it, or none of it.

You, as the prospective juror, will be

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the judge of the facts. It will be your job l and your function and your duty to decipher the 2 facts and find out in your own mind if the State has proven its case to you beyond a reasonable doubt. 5 After the State is through presenting 6 witnesses, they will then rest their case. 7 means, "That is all we have." 8 The Defendant can, if it chooses, 9 10 present evidence. We don't have to. We can rest our case right behind the State. 11 How would that affect your deliberations, 12 13 if after hearing the State's evidence, you are 14 sitting back there and you say to yourself, "Well, 15 I think he did it. I am not sure. I am not sure 16 they have proven their case to me beyond a 17 reasonable doubt, but the Defendant didn't testify." 18 What would your verdict be in that 19 situation? 20 Under that situation, I would have to go with 21 the not guilty.

the not guilty.

I would hope that the right of the

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Defendant not to have to testify would be given to me if I were in an action myself, but just because he had not testified in his own behalf,

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I couldn't hold that against a person.

- Q In any way, shape, form, or fashion?
- A No, sir. I don't believe so.
- Okay, then, by the same token, after the State rested their case, we can present evidence. We can call witnesses, and if we do so, I can guarantee you one thing. There will be two diametrically opposed stories, and again, it will be the job of the jury to decide the facts based on what you have heard from that witness stand, and if you believe beyond a reasonable doubt that the State has proven its case, then it will become your job or your duty to find him guilty.

By the same token, though, if you don't believe beyond a reasonable doubt that the State has proven its case, it will become your duty under your oath to find him not guilty.

In this type of case where a police officer is killed, would that make it any harder for you to find him not guilty if the State hadn't proven their case?

A No, I don't believe so. I feel that irregardless of what station a person holds in life, his life is the top priority.

When you say his life, whose life are you . 1 referring to? 2 Everyone's. 3 Okay. In a capital murder case, if you find 5 the Defendant guilty of intentionally and 6 knowingly killing a police officer, then we go 7 to the punishment stage. 8 Every trial in Texas has two parts. 9 They call it a bifurcated trial, a bifurcated 10 11 system. That means two parts. If you find him guilty of killing a 12 police officer, intentionally and knowingly 13 killing a police officer and you believe it 14 beyond a reasonable doubt, then you've got to find 15 16 him guilty. Then we go to the second stage, the 17 18 punishment stage. At that point in time, the 19 only possible punishment is either life or 20 death, and of course, that is determined by how 21 you answer the questions. 22 In the first question, we will ask 23 you whether the conduct of the Defendant that 24 caused the death of the deceased was committed

deliberately. Deliberately is underlined.

Deliberately is one of those terms the . 1 legislature never saw fit to define. There is 2 no legal definition of deliberately. Some people 3 say it means to ponder on or think about, put under consideration. Other people have said 5 premeditate. 6 What do you think the word deliberately 7 means? 8 Well, I would have to define that as purposeful. 9 Q. Purposeful? 10 A. Yes, sir. 11 Let me backtrack a little bit, and in the guilt-12 or-innocence stage, you have found him guilty of 13 intentionally and knowingly killing a police 14 officer, correct? 15 Yes, sir. 16 A. Then we go to the punishment stage, and in 17 Q. Question No. 1, would you automatically answer 18 Question No. 1 yes solely because you found him · 19 guilty of intentionally and knowingly killing a 20 21 police officer in the guilt-or-innocence stage? 22 You see, what I am getting at, you were talking about when the evidence is presented? 23 24 Q. Uh-huh.

And we feel that, let's say, that -- we felt that

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A.

the evidence was justified in bringing in --1 No, like I said, there's two parts to a criminal 2 case. 3 Yes, sir. 4. First of all, there's the guilt-or-innocence ٠5 stage. First of all, at that part, you determine 6 whether or not he is guilty or not guilty. If you find him not guilty, then we don't go to the punishment stage. All right. Yes, sir. 10 We don't even answer those two questions. 11 If you find him guilty, then we've got 12 to answer those two questions. 13 Just because you found him guilty of 14 intentionally and knowingly killing a police 15 officer in the first stage, would you automatically 16 answer Question No. 1 yes or would you want to 17 hear some evidence in the punishment stage? 18 I feel I would have to hear some further 19 evidence in the punishment stage. 20 So if you found someone guilty of knowingly and 21 intentionally killing a police officer, you would 22 not automatically answer those two questions yes 23 just so you would get the desired result of the 24 25 death penalty?

A No, no.

- What is your preference -- again, no right or wrong answers -- life in the penitentiary or death?
- A Well, my personal preference, if I was subject to either one of those, it would have to be life.

  I think that goes without exception.

MR. MOEN: That would be a good choice.

Q (By Mr. Elizondo) Let me -- and I don't want to belabor the point, but Mr. Moen brought it up a while ago. I think it was the last question about illegal aliens.

About three or four months ago, I think it was, the Supreme Court said children of illegal aliens have a right to a free education. How do you feel about that?

- Well, this, to myself, this would be just between the State and the Federal Government. As far as a person being in this country whether legally or illegally, I feel they do have a right to an education because they are trying to assimilate themselves in our particular type of society. I feel they should be given the same advantages that a citizen of this country has.
- Q So it wouldn't affect your deliberations on the

guilt or innocence of this man?

A I would hope it would not. I feel fairly certain it would not.

- Q You are most certain it would not?
- A I feel most certain it would not.
- Let me backtrack a little bit. I am not sure if

  Mr. Moen went through it or not, but back -- I

  am going to give you a brief rendition of facts

  just solely to find out if you have any knowledge

  of this case.

Back on July 13th, 1982, about seven or eight weeks ago, a police officer stopped two people on a routine traffic stop on the east end over here on the corner of Edgewood and Walker streets. The police officer got shot. He was shot three times in the head, and sixty or seventy yards away, an innocent bystander was driving by in a station wagon, and he got shot also, and then about an hour and a half later, a police officer was shot five times by somebody who was later also killed.

Do you recall any of the facts in that case?

A Just from the presentation on the local television stations, the fact that it did make coverage on

- Well, after you heard of that coverage and you read the newspaper reports or listened to it, did you form any kind of opinion as to the guilt or innocence of the man that allegedly perpetrated this crime?
- A. No. In fact, I had questions about that under the circumstances under which the two people were apprehended. I formed no opinion as to, you know, whether they were the actual ones that performed the act at Edgewood and 45 or not.
- Q Okay. You understand, of course, that police officers are also human, and they can make mistakes on judgment calls or otherwise?
- A Yes, sir.

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- 16 Q And that they have the same frailties as you and
  17 I have, right?
- 18 A Yes, sir.
- 19 Q Excuse me a moment.
- 20 A. Yes, sir.
  - Mr. Kellogg, if you are selected on this jury panel, can you commit yourself and can you promise us one thing and that is that you will give this man, Ricardo Aldape Guerra, a fair trial and base your evidence and base it solely

on what you hear from the witness stand? 1 Yes, sir. I can. 2 And can you set aside any feelings or emotions 3 you might have in regards to this case? I believe so, yes. 5 Let me ask you -- you can separate emotion Q. from facts, can't you? I should hope so. A. 8 Who is Dave Newburg? Was he a good friend of 9 yours? 10 He was a personal friend. I had met him shortly A. 11 after moving out to Oregon when I was discharged 12 from the Air Force. We had a fairly close 13 friendship for approximately two years, and then 14 he joined the Portland P.D. at that time, and we 15 16 rather went our separate ways due to working 17 hours. 18 I haven't had personal contact with him since we left the Portland area in about 1970, 19 I believe it was. 20 21 Did you ever talk about any kind of criminal case, 22 you and your friend, Dave Newburg? 23 Not to any great detail. He seemed to be a little bit interested and knew where all the girls were 24 in town, so to speak, and that was about the 25

1 extent of it. 2 The fact that your friend was a police officer, 3 would that bias you or affect you in any way or affect your judgment in a trial such as this? -5 I don't believe so. 6 Consciously or subconsciously? We will say consciously? 8 I don't believe so. Consciously, no. 9 Hold on for a second. Okay. Q. 10 MR. ELIZONDO: We will pass him. 11 MR. MOEN: We will accept him. 12 THE COURT: What says the Defense? 13 MR. ELIZONDO: We will accept him, 14 Your Honor. 15 THE COURT: Mr. Kellogg, you will be a 16 member of this jury. I am going to give you a 17 sheet of paper that will tell you where to report 18 and give you some phone numbers. As I told you earlier this morning, it 19 20 will probably take us maybe two to three weeks 21 to complete the selection of this jury. You 22 are the fourth juror selected, so we have a long 23 way to go. 24 In the meantime, you will be allowed 25 to go about your normal activities, work, or

whatever. Should you have an emergency arise where you need to leave town or anything of that nature, please get in contact with us at the numbers I have given you.

I am going to instruct my coordinator to -- that sounds bad -- I am going to ask my coordinator to check with the jurors from time to time and give them some idea of when we might be calling you back down here for the trial.

I anticipate your having at least a day or two between the time you are selected as a juror until the time you are notified.

Please stand and be sworn as a juror.
Raise your right hand.

(Mr. Kellogg was sworn as a juror.)

THE COURT: The name I have written on the bottom is my court coordinator's, and should you have anything arise that you need to know about, please call and ask for him.

In the meantime, there may well be coverage in the media, one form or the other in the media concerning this particular case. I am almost certain that between now and the time we select the jury there may be isolated times there may be something on it.

1	THURMAN HOWARD MATTHEWS,
2	was called as a prospective juror and responded to
3	questions propounded as follows:
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5	EXAMINATION
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7	QUESTIONS BY MR. BAX:
8	THE COURT: Mr. Matthews, as I told
9	you this morning, I am going to ask you a few
10	questions, or rather, they are; I am not.
11	But please be relaxed as much as you
12	possibly can.
13	There are no right or wrong answers to
14	the questions. We want you to speak about how
15	you feel about certain things. If you have
16	questions, either of me or the lawyers, feel free
17	to ask them.
18	You may proceed.
19	Q (By Mr. Bax) It's been a long afternoon, Mr.
20	Matthews.
21	A. No longer than usual.
22	Q I am scrry we have had to have you stay around
23	all day, but I am sure you understand the
24	necessity of what we are going through today, and

probably will be going through the next couple of

weeks trying to select a jury.

I am Dick Bax with the District

Attorney's Office, and Bob Moen seated to my

right is also with the District Attorney's Office.

We will be representing the State in this case

and also the family of Officer James Harris.

Before I actually get into any discussions with you about the law or any issues we expect will be raised in this case, let me give you some background information, sketchy information, and see if you have perhaps read or seen on TV or heard on the radio anything about this case, and to see if you have formed any type of opinion in this case.

I believe the evidence would show that back on July 13th of this year, an officer named James Harris had stopped a vehicle which was operated by two people on a routine traffic stop, at which time shortly after he had stopped the vehicle, he was shot three times in the head.

As the two people were running off, a civilian driving down the street with his two children was shot once in the head, and he also died.

Around an hour and forty-five minutes

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after these two incidents took place, another police officer named Larry Trapagnier was shot five times and one of the two suspects was shot and killed.

This all took place at the intersection of Edgewood and Walker which is on the east side of Houston around the Harrisburg area, and all these transactions took place basically in the same general area.

With just that little bit of information

I have given to you, does that trigger your memory
as to hearing about or reading anything about this
case?

- A. I remember seeing it on the 10:00 o'clock news.
- All right. Have you, because of what you may have seen on the news, formed any opinion at this point in time as to the guilt or innocence of anyone involved in this case?
- A No. sir.
  - The reason I ask you about that is the law requires that the twelve people ultimately selected as jurors in this case, that they have no preconceived opinions or notions of the guilt or innocence, but rather, they form whatever opinions after they hear the evidence.

are on the death penalty, and to see if -- or

try to avoid, I guess is the easiest way to say 1 it, a conflict that may arise at some later time 2 between a person's religious, moral, or 3 conscientious scruples in the infliction of the death penalty if it were appropriate to anything 5 they hear. There are no right or wrong answers 7 to anything we may ask you. Okay? 8 Certainly, no matter what your view of 9 the death penalty, no one on either side is going 10 to try to convince you you are wrong or your 11 opinion should be other than what it is. Okay? 12 But what we need to know is honestly 13 how you feel and see if whether or not because 14 of your beliefs that you could participate in 15 such a trial, and the easiest way I guess to get 16 into that subject is to just ask you point-blank: 17 How do you feel about the death penalty? Do you 18 feel it is an appropriate punishment in certain 19 types of cases, and do you feel it has any 20 real role in our society? That is sort of a 21

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I believe in capital punishment for certain crimes.

shotgun question to see what you think.

Have you felt that way all your adult life, or is Q.

there anything perhaps you have read or a person would experience or go through that would change your opinion at one time or another?

A. No. I have basically believed in that.

Q. Okay. You have said in certain instances.

Let me outline for you the areas in which a person is subjected to the possibility of the death penalty in Texas and see if that does your conscience any violence and see if

the intentional or knowing taking of another person's life without justification. That alone does not raise the question of the death penalty. Someone who intentionally took another person's life does not automatically themselves to the death penalty. There has to be another element added to it such as he committed the murder during one of five different felony offenses, or if he kills a protected class of persons.

you agree with the types of crimes a person may

receive the death penalty in in Texas.

All right. If you kill a person during the course of a robbery -- a person goes into a Utotem, and during the course of robbing the proprietor of the Utotem, he shoots and kills a

person, if you kill someone during the course of an aggravated rape or during the course of a burglary when you break into someone else's home or during the course of an arson or during the course of a kidnapping, if you kill anyone during any one of those cases, that is capital murder, and the person is subjected to the death penalty.

If you kill a police officer or a fireman who is in the lawful discharge of an official duty and you know that that person was either a police officer or a fireman, then that is elevated to capital murder.

If you kill for money or if you hire someone who kills for money, that raises it to capital murder. If you are escaping from a penal institution and you kill anyone or if you are confined in a penal institution and you kill an employee of that institution, that is also elevated to capital murder.

Those are the only types of cases in

Texas where a person becomes eligible for the

death penalty. If you just have a case where

someone goes out and cold-bloodedly kills women

and children just to further their political

viewpoints, that is not capital murder unless it

has one of those other elements we have talked about.

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All right. Do you feel those are the types of cases for the eligibility of the death penalty? That doesn't mean the person would automatically receive the death penalty if found guilty, but those offenses make a person eligible for the death penalty.

- To be frankly honest about it, my personal opinion is I do not care for what do you phrase that, a class of people. That is as honest as I can be.

  I do not see why the death penalty is called for for cold-bloodedly murdering some people and not for others.
- Would it be fair to say that the law should be expanded not only to include peace officers but under circumstances where anyone takes the life of another person without justification, and then perhaps they would be eligible for the death penalty if it were cold-blooded enough or whatever, or do you just feel police officers and firemen should have that status?
- A Pardon me? I am not quibbling with police officers or firemen. I am saying that under the Constitution, I thought we were all equal. I

think if I believe in capital punishment, which I do, I also believe that it should refer to anybody who cold-bloodedly murders somebody else, and to say that he should only get this if he murders a policeman or a fireman or whatever, I don't think that is right.

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I guess to answer your question honestly, I think it should either be expanded or dropped. Okay. Let me ask you this, then -- with those your feelings and again, I am not going to try to sit here and change your feelings, do you feel you could sit on a case such as this -- in this case, we will be prosecuting the killer of a police officer, and as that class of person, the State will be seeking the death penalty in the case. Could you still sit and listen to the evidence and decide, number one, whether the person was guilty or not guilty based on the evidence and number two, if he is guilty, whether or not he should receive the death penalty by answering the two questions we'll talk about in a moment or two, or do you feel your thoughts in that regard might prevent you from being fair and impartial in that area?

A I don't believe my thoughts on that would prevent

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answers those two questions dictates to the judge

what the judge must do to the Defendant. 1 Yes, sir. 2 Do you follow me on that part? 3 Yes. Assume we have gone through the guilt-or-innocence 5 stage and the jury has decided and returned a verdict in open court that the Defendant is, in 7 fact, guilty of capital murder. That would have 8 to have been proved to the jury, that the Defendant is guilty of capital murder. 10 Simply because a person is found guilty 11 of capital murder does not mean Questions 1 and 2 12 13 are automatically answered yes. If that were 14 the case, we wouldn't need the second portion of 15 the trial and wouldn't need to submit these questions to the jury at that time because the 16 finding of guilt would determine whether he 17 18 received the death penalty or not. Do you follow me there? 19 20 Yes, sir. 21 When we reach this stage, the burden of proof is 22 upon the State. We must prove to the jury beyond 23

a reasonable doubt that the answer to 1 is yes and the answer to 2 is yes.

If we fail in that burden, the answer

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is no, and that is what the jury should return 1 as their verdict. 2 Do you follow me there? 3 Yes, sir. A. These two questions are not peculiar to this 5 judge or court or courtroom. These were created 6 by the legislature back in 1974 when capital 7 murder was reenacted in Texas, and every death 8 penalty case has had to deal with these two 9 questions since then. 10 Have you had a chance to read these 11 over again? I know this morning the judge asked 12 you to look at them. 13 If you will, look those over and we 14 will discuss them for a moment. 15 Okay. A. 16 The first question is rather straightforward. 17 Assuming we have already found the Defendant 18 guilty of intentionally causing the death of 19 another person during the course of a robbery 20 or causing the death of a policeman or fireman,

Yes.

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And at this stage of the trial, you are asked to a

is guilty or not guilty; would you agree?

the question asks you to decide whether the person

decide whether the conduct of that Defendant was, number one, deliberate, and number two, done with the reasonable expectation that someone would die as a result of that conduct.

We have underlined "deliberately," and
I will tell you now the judge will not define that
term for the jury. You will have to use your
common, everyday sense in deciding what that word
means.

purposefully; to some people, it even means the same as intentionally.

The questions asks, if you found someone guilty of intentionally causing someone's death, would you automatically at the punishment stage answer that question yes? At first blush, it may appear that would be the appropriate answer if you have found him guilty.

Let me give you an example of what intentionally means. The law says a person acts intentionally if it was their conscious objective and their intentional conduct.

Take an example where a man goes into a Utotem and says, "Give me your money." The man decides, "I have decided I don't want this person

to chase me, don't want him to run outside and 1 get my license plate number," so he then shoots 2 him in the leg, runs out of the store, and takes 3 off. Unbeknownst to the person who pulled 5 the trigger, the person is hit in the leg and 6 the artery is severed and the person dies. 7 Under our definition and under the 8 law, that person'has intentionally and consciously 9 engaged in that conduct. 10 Can you see that the result of the 11 question would be the man acted intentionally, but 12 he may not have had the reasonable expectation 13 a person would die? This is where your answer 14 could be no even though you found him guilty of 15 intentionally causing the death of another person. 16 That is true. A. 17 Do you feel you could listen to the evidence, and 18 even though you found a person guilty of causing 19 the death, still reevaluate the evidence and not 20 answer that question yes at the punishment stage? 21 I believe it is possible. A. 22 You may hear a fact situation at the guilt-or-23 innocence stage, after you have heard all the 24

information on guilt-or-innocence and you have

already answered this question yes or no. But the law requires you are not to prejudge a case and say, "If I find this person guilty, I am going to or not going to answer yes to this." You have to base your answers on evidence.

Do you follow me on that?

Yes.

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The second question is a little more difficult to It is asking the jury to predict conceive. future human behavior.

We have underlined the word "probability" just to point out that to prove beyond a reasonable doubt that someone is certain to do something in the future, there is no way I could ever prove to a jury beyond a reasonable doubt that a person is certain to do anything in the future.

There is probably only one person who could ever tell us for a certainty what is likely to happen, and that is God himself, and the law only requires that I prove there is a likelihood or chances are this person will commit criminal acts of violence that would be a continuing threat to society.

Do you feel that is a fair question as far as probability is concerned and that I

Society can be life in the penitentiary, not only inmates but guards, librarians, medical people that also have the right to be protected,

Do you agree?

Yes, sir.

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Is there any way about how Questions 1 and 2 are worded that would cause you difficulty about being able to answer these questions?

A No, sir.

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One or two other points: Question 1, of course, asks the juror to look at the same evidence they have already heard.

Question 2 can be answered simply from the facts of one isolated incident. Of course, more evidence can be brought to a jury at the punishment stage telling about other acts of violence that are known and can be proved to aid the jury in answering Question 2, but our law does not require that we prove anything other than the facts of the case, and the case that comes to mind is a case where a man named Ronald Clark O'Bryan was convicted of capital murder for murdering, poisioning one of his children with Halloween candy and attempting to kill his daughter for insurance proceeds. In that case, the only evidence the jury heard was the evidence of that specific crime, evidence of killing his child for insurance money. They didn't hear any other evidence of wrongdoing, and the Courts have held that is a proper burden.

So, can you conceive in your mind where the conduct of a person in one isolated incident can give you enough evidence to answer this

question yes? I am not saying every case where 1 someone kills another person, but certain acts, 2 the way they are performed, and the way they are done, that can give you an idea as to whether the person will commit criminal acts of violence in the future. 6 Yes, sir. Do you have any questions about how the death penalty operates or anything we have gone over 9 to this point? 10 No, sir. 11 A. Let me go over with you some obligations that you 12 would have as a juror and that the judge will tell 13 you about, whether it is a capital murder case or 14 driving while intoxicated case. These are all the 15 same obligations of a juror. 16 First of all, the judge will, at the 17 conclusion of the trial, prepare for the jury what 18 is called the Court's charge. The Court's charge 19 will contain all the law the jury needs to know 20 to decide the case, and it will also include 21 certain admonishments or warnings to the jury. 22

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The first one will be the fact that a person has been indicted is no evidence of his guilt. In other words, the jury cannot go in the

back and say, "Besides all this evidence we heard 1 2 from the witnesses, he was indicted, and therefore, 3 he will be convicted." The judge will instruct you you cannot use that indictment as any evidence whatsoever of the Defendant's guilt, and really, 5 6 a Grand Jury indictment is just a piece of paper 7 that gets us all here, tells the Defendant what 8 he is charged with, tells us what we must prove 9 beyond a reasonable doubt before we are entitled 10 to a guilty verdict. 11 Can you not use the indictment as any 12 evidence of guilt? 13 Yes. 14 Hand in hand, the judge will also tell you all 15 persons are presumed to be innocent until they 16 are proven guilty beyond a reasonable doubt. 17 You must take the jury box with an open mind. 18 You don't have preconceived notions of guilt 19 without first hearing the evidence. 20 To Can you at that time afford this 21 Defendant that right and presume him innocent? 22 Yes, sir. 23 I am not going to try to insult your intelligence. 24 Of course, if a person committed an offense back

on July 13th, he was guilty when he did it, and

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he is guilty the day he answers to that case in 1 court and he will be guilty until the day he 2 dies. I object to the MR. ELIZONDO: prosecutor's last comment. If proven beyond a reasonable doubt. THE COURT: With that stipulation. MR. BAX: If he is guilty, whether I can prove it or not, he is guilty. 9 (By Mr. Bax) You understand what I am saying. 10 The jury must presume he is innocent until his 11 quilt is proven to their satisfaction beyond a 12 reasonable doubt. 13 Can you presume this Defendant innocent 14 at this time and put the burden on the State 15 16 where it rightfully belongs? Yes, sir. You will have to prove it. 17 A. I will have to prove it to you by what we call 18 beyond agreasonable doubt. 19 The judge will not define that term for 20 There are not enough lawyers who can get 21 you. together on anything, let alone what a reasonable 22 23 doubt is. That is something that is individual 24 to each and every juror. 25 I imagine if you have heard all the

evidence and you are convinced he is guilty, it
has been proven to you beyond a reasonable doubt.

If you have heard the evidence and are not
convinced he is guilty, it has not been proven
beyond a reasonable doubt, and you should acquit
the Defendant.

I can only tell you this. It is not proof beyond all doubt or proof beyond a shadow of a doubt or any doubt. The only way I can prove anything to anyone beyond all doubt or a shadow of a doubt would be if that person were an eyewitness to every transaction that took plae. Of course, in that case, they would be waiting with a phone call saying, "We have a jury. Come on down. We are ready to start," and even then, you may have ten different versions of what happened, because of each person's ability to observe a different situation.

Do you follow me there?

A. Yes, sir.

- Would you require the State to prove anything more than beyond a reasonable doubt?
- A No, sir.
  - A Defendant in a criminal trial can sit there and not say one thing throughout the whole trial.

His attorneys are not required by law to prove anything. They do not have to prove that a person is innocent of a crime. The burden rests entirely upon the State to prove its case, and you cannot look to the Defendant for any proof in a case. They don't have to ask one question, don't have to call one witness to the stand.

If I fall down or Mr. Moen falls down in our proof, then you would be required by law to find him not guilty, regardless of any evidence or testimony they do not put on the stand.

Do you follow me so far?

- A Yes, sir.
- Q We are the ones bringing the accusations here, the ones saying he did it. The law says, "Prove it."

The Defendant does not have to testify, and the judge will tell you if the Defendant chooses not to testify, you cannot use that failure to testify as any evidence of his guilt.

You can't go in the back and say, "Well, Bax and Moen did a pretty good job, almost convinced me beyond a reasonable doubt, but, you know, they fell short. I am not convinced, but that Defendant didn't testify, so I am going to add that little extra they failed on and go ahead and

find the person guilty."

You can see that would be wrong to use the Defendant's failure to testify as evidence.

Can you afford the Defendant that right if he decides not to testify, solely disregard that and not consider that in arriving at your verdict?

A. Yes, sir.

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It is natural for us to say, "If I were charged with a crime and I didn't do it, I would be the first one on the stand, you know, telling these people it wasn't me or that's not the way it happened," but again, our law doesn't require that of the Defendant.

Our law requires -- I am having trouble.

I have been talking so long -- the State prove

what is alleged in the indictment.

Okay?

- A Yes, sir
  - One other area -- whenever we talk about capital murder, there is also the lesser included offense of murder.

Let's say you heard a case and you were satisfied beyond a reasonable doubt that the Defendant intentionally killed another person,

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but you were not satisfied beyond a reasonable doubt that he knew that the person he killed was a police officer. In that case, you would not be able to return a verdict of guilty in capital murder because you wouldn't have one of the necessary elements. You would still find him guilty; if you find him guilty of killing another person, you would find him guilty of the offense of murder.

A person guilty of murder is looking at a punishment range of five to ninety-nine years or life in the penitentiary, and in addition, a fine of up to ten thousand dollars can be assessed.

If a person has never been convicted before of a felony and if the jury feels that the proper range of punishment for that particular offense is ten years or less, now the lower end of the range, the jury can recommend probation if they feel it is a proper case.

Now, I know this is sort of putting you on the spot, but can you consider or think of a case where a person has intentionally taken the life of another person where you could consider probation if, number one, you felt that the case

1 was worth ten years or less, and number two, you 2 felt it was proper? 3 No. Okay. I would say with that answer, you have 5 joined about ninety-five percent of the people that have come through here. Let me give you a situation and see 8 how you would feel about it. Suppose a man and woman have been 10 married for fifty years, forty years or whatever, 11 and the woman becomes terminally ill. in their seventies, late seventies or early 13 eighties. 14 She is placed in the hospital and kept 15 alive by some life-support system. The doctors 16 all agree she will die eventually. She has maybe 17 as long as six months or two months, but she 18 will die. 19 The husband and wife talk, and she says, 20 "Look, I have lived a good life. I have done all 21 I wanted to do. I am in pain. I know our savings 22 are going rapidly, and when I die, I don't want 23 you to be left collecting food stamps or on 24 welfare. Would you please reach over there and

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pull the plug?"

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And he says, "I can't do it," and finally, in a moment of weakness, he reaches down and pulls the plug and causes her death.

Under our law, he has intentionally taken her life. He is guilty technically of

Suppose on her side of the family, there are relatives who believe that is not what happened. They know the Defendant owns property up at one of the lake resorts, and there was a contractor who wanted to purchase that land because he wanted to complete a plot for his

They convinced the Grand Jury he pulled that plug for money so he could sell the property and get the money out of them, and somehow, a Grand Jury indicts that person for capital murder, killing for money.

The jury hears the facts and says, "That is preposterous. That man no more killed that woman for money than any one of us. He did it as an act of love." They do find that person quilty of murder.

Can you see in a case like that where probation may be proper?

A Yes, sir.

- And we are going to talk to you about extreme situations, and most people, I am sure myself included, would find it very difficult to think of a fact situation where you could consider probation, but the law only requires that there is case where you thought probation was proper, would you go along with probation and recommend it to the judge if you felt it were proper?
- A Yes, sir.

## Your --

- Do you have some problem?
- Mell, I don't really have a problem, but if I may be excused for saying so, you know, that is a nice supposition and I can see where it fits in the framework, but you're asking me these questions, I am sure, to get a yes or no feeling on my feelings to things, but that is something you would have to have some evidence on to just say point-blank I could find one way or the other.
- Q I apologize for having to talk to you in these hypothetical terms.
- A I realize that.

And the only way we can find out how you feel

is to ask hypothetical questions. I wish there

were some way I could ask you point-blank what

you would do. That wouldn't be right.

You do have a right to listen to the evidence. I guess my real question is, would you listen to the evidence before making a decision one way or the other?

A. Yes, sir.

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One other thing that the judge will instruct the jury on is that, whether talking about a murder case, capital murder, or auto theft, in all those cases, the judge would instruct the jury they are not to consider the parole laws in reaching their decision as to the punishment to be assessed. You are to instruct the jury that the parole laws are governed solely by the Board of Pardons and Paroles, and that should not enter anywhere into the jury's deliberations as to what the punishment should be.

He will further instruct the jury that should anyone on the jury bring up the fact of parole, that they immediately be told to cease that discussion and confine their deliberations to the facts that are before them, and the only

reason I mention that to you is because our

Courts have said if that happens, if a jury

discusses the parole laws and how long a person

would have to serve, that is grounds for automatic

mistrial and we would have to start all over with

a new trial. And you can see the position where

we are in where we spent three or four weeks

selecting a jury and perhaps a week in trial

where we would have to start all over again.

That is the only reason I mention that.

If you were on a jury and bring up parole laws,

do not discuss them at all, and confine your

deliberations to the facts. All right?

- A. Yes, sir.
- Q Do you have any questions of me up to this point?
- 6 A No, sir.
  - Q One other thing I need to mention to you is, I think the evidence may show this Defendant is an illegal alien.

Would that fact bias you or prejudice you in making a decision and make it impossible for you to give him a fair trial?

- A. No, sir.
- Q Let me just ask you a few questions. It says here you are crew chief on some race cars. Where is

	that, and now long is that where thirty-nine
	states come in?
A.	No. My dad was a truck driver. I said thirty-nine
<u> </u>	states or major cities.
	We were in record-holding drag racing
	racing cars out of Southern California.
Q.	What kind of handicap do you have in golf?
A.	Well, I am fixing to shoot for the club
	championship this weekend. I can't answer that
	honestly up here.
	About a sixteen.
	MR. MOEN: No golfer can answer that
	question.
Q	(By Mr. Bax) Let me check with Mr. Moen and see
	if he has any questions.
	MR. MOEN: No.
Q.	Okay, Mr. Matthews. If you have no questions of
•	me, I certainly don't have anything else I have
	to talk with you about at this time.
	I thank you for waiting around and
	talking with us at this time.
	THE COURT: Mr. Elizondo, before you
	begin, I propose to bring Ms. Monroe in and ask
:	her to return in the morning.
1	Does anybody have any objections to
	Q. A.

that? i (Ms. Monroe was brought into the courtroom.) 3 THE COURT: Ms. Monroe, if you will, come on up here. I need to visit with you just 5 a second. The wheels of justice grind exceedingly 7 slow. We have been in here all evening, and will probably be another forty-five minutes before we get through with this juror. 10 Rather than have you wait longer, I 11 am going to ask that you return at 9:30 in the 12 morning, and if you will, have a seat on that 13 bench outside that conference room and we will get to you as soon as possible. 15 Meanwhile, if there is any coverage 16 that you see, newspaper, radio, TV, please don't 17 watch or listen to it. 18 Thank you, ma'am. 19 (Ms. Monroe left the courtroom.) 20 THE COURT: All right, you may proceed. 21 22 23 24 25

## EXAMINATION

QUESTIONS BY MR. ELIZONDO:

MR. ELIZONDO: Thank you, sir.

- Q (By Mr. Elizondo) Mr. Matthews, how are you doing today?
- A. Okay, I think.
  - Q You've been here five or six hours.
- A It seems like more than that.
  - Q This part of the trial is known as voir dire examination.

Voir dire is a French word, they tell me, that means to speak the truth. The reason you were up there on the witness box up there is because we can talk to you.

When you are in the jury box, we can't talk to you at all.

And again, voir dire, there are no right or wrong answers, and all we are going to get at is to see if you can be a fair and impartial juror in the trial of this case for the Defendant, Ricardo Aldape Guerra.

As the Prosecution mentioned to you, this is a capital murder case. As in any case in Texas, the State has the burden of proof. The

burden of proof means to prove their case to you beyond a reasonable doubt. They must prove to you that on a particular day in Harris County, Texas, this Defendant shot and killed a police officer in the lawful discharge of an official duty knowing at the time that he was a police officer.

They must prove that to you beyond a reasonable doubt.

Mr. Bax is right. There is no definition, no real definition of "reasonable doubt." The judge won't give you one. I can't give you one. They can't give you one because there is no legal definition.

What I can do by way of analogy is to compare it to across the street in the civil courthouse.

In the civil courthouse, they try

civil lawsuits over personal injuries, over

contract suits, over medical malpractice

sometimes for millions of dollars. The burden of

proof over there is proof by a preponderance of

the evidence, the greater weight of the credible

evidence. The side that has the most evidence

wins.

"That is all we've got."

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The Defendant can, if he chooses, put

on evidence. He doesn't have to.

How would you feel -- let's assume for a minute that you were sitting in the jury box and the State has rested their case and you are sitting back there in the jury box and you are thinking and you are saying, "I think the man is guilty, but I am not sure the State has proven its case to me beyond a reasonable doubt," and we are sitting back here and we stand up and say, "We rest our case, Your Honor." We don't put on any evidence.

What would your verdict be in that type of situation?

A Not guilty.

- So you can promise me one thing: If you are selected on this jury panel, on the jury, you will make the State prove its case to you beyond your reasonable doubt?
- A Yes, sir. With this penalty.
- 20 0 Pardon?
- 21 A With this penalty, yes, sir.
- 22 Q With this penalty?
- 23 A. With what is at stake, with capital punishment.
- Q Okay, okay.

We can, if we choose, put on evidence.

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We can call the Defendant to the stand and put on other witnesses, and should we do so, I can guarantee you one thing, and that is that you will hear two different versions of the facts and it would become your job then and the jury's to decipher the facts, and based upon that, make a decision as to whether or not this man is guilty.

Can you see where you might get put in the box where you, in your own mind, are thinking that, "I think he is guilty, but they haven't gotten to that plateau yet. They haven't proven it to me beyond a reasonable doubt"?

Can you see where you might get put in that position?

- A. Yes, sir.
- And should you do so, if you get put in that position, your verdict would then be to follow your oath and your verdict would be not guilty?
- A. Right. Yes, sir.
- Q In a capital murder case or in any kind of criminal case in Texas, they have a bifurcated system.

Bifurcated means two parts.

In the first part, your only job is to determine if he is guilty or not. If you determine

that he is not guilty, then that is all there is. 1 We all go home. 2 If you determine that he is guilty in 3 a capital murder case, there is only two possible punishments, life or death. 5 At that particular -- the way you get at that particular punishment is by the way you 7 answer those two questions, the first question being whether the conduct of the Defendant that 9 caused the death of the deceased was committed 10 deliberately and with a reasonable expectation 11 that the death of the deceased would result. 12 In that first question, the word 13 "deliberately" is underlined. 14 Again, there is no definition for the 15 word deliberately. The judge won't give you one. 16 The prosecutors can't give you one. There is 17 no legal definition of the word deliberately. 18 I have been told the word deliberately 19 means to ponder and think about, to premeditate. 20 Would you agree with that? 21 With your definition of deliberately? 22 A. Well, with what I have been told it is, not my 23 definition. 24 I believe that is --25 A.

1 For example, you go into the jury deliberation 2 room and you will think about the evidence in 3 this case, ponder on it, correct? 4 Yes. .5 Okay. Now, some people say that the word deliberately means the same thing as the word 6 7 intentionally. How do you feel about that? 8 9 I believe they are different. 10 Q. In which way? 11 Well, I would have to get into my basic version 12 of deliberate and intent, in which deliberate 13 would be a slow measured approach to something. 14 Q. Premeditated? 15 Deliberate or a very reasonable approach to 16 something, and the intent, I don't know exactly 17 how to give you that answer as to how I 18 differentiate between deliberately and 19 intentionally. 20 Okay. Q. 21 I am sorry. I just --22 That is okay. No apology is needed at all. Q. 23 not sure if I have in my own mind what the 24 difference is either, but in any event, what I 25 am trying to get at is in the first part, you

## question?

THE COURT: He doesn't need to answer that question.

- (By Mr. Elizondo) No. 2 is asking you whether there is a probability that the Defendant will commit criminal acts of violence that would constitute a continuing threat to society in the future. That second question is asking you in so many words to predict the future, correct?
- A Yes, sir.
- Q Do you think that a person can change his mode of behavior or his mode of operation?
- A Yes, sir.
  - Q Okay. In a capital murder case, there are also lesser included offenses.

As Mr. Bax has pointed out to you, there is the lesser included offense of murder.

Murder is when you intentionally and knowingly cause the death of somebody. Mr. Bax gave you an example, and it's probably a very extreme example, but what I am trying to get at is can you in a proper case in your own mind and knowing the penalty range is five to ninetynine years or life, can you consider as little as five years' probation in a murder case if you

think it is a proper case in your own mind? 2 Again, I will say no. You cannot? I would not consider five years' probation for 5 a murder case, no. Let me see if I got that straight. I've got to 6 ask you some more questions, and again, there are no right or wrong answers. All I am trying to get at is how 10 you feel about particular punishment ranges for this type of offense. 11 Can you think of any case in your own 12 13 mind where you could consider probation, five 14 years' probation, as a proper punishment in a 15 murder case where you have found a person quilty 16 of intentionally and knowingly taking the life 17 of somebody else? 18 No, sir. 19 Now, Mr. Bax gave you an example some, maybe 20 fifteen minutes ago, twenty minutes ago. 21 Are you saying then now that you cannot consider probation in a murder case which you 22 23 think would be a proper case for probation? 24 A. No, sir. 25 MR. ELIZONDO: Your Honor, we will

challenge.

QUESTIONS BY MR. BAX:

Mr. Matthews, let me go over this one more time with you. I am not sure if I am confused or you are confused.

**EXAMINATION** 

You have been asked quite a few questions today.

As we said, it's very difficult, especially for someone -- we are down here every day. Mr. Moen is, Mr. Hernandez is, Mr. Elizondo is, and myself. We deal with criminal behavior every day and deal with every fact situation, and all of a sudden, we bring people down here who probably read a few articles and perhaps don't think of what they would do in a fact situation like that, and we expect them to come up with an answer in a hypothetical case.

I think you said earlier it would depend on the evidence or it would be hard to make that decision without hearing the evidence, but again, let me give you another hypothetical case that perhaps you haven't thought about.

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Assume with me a man comes home from work and finds his wife has been savagely raped and is on the verge of dying and his two children have been killed, and in his wife's dying breath, she says, "Joe Blow down the street did it," and the man gets up and gets his pistol and goes down the street and confronts Joe Blow, and Joe Blow says, "Yes, I did it. I enjoyed it," and the man takes his gun and at that point in time kills Joe Blow. He has committed murder under our law.

to a jury. Could you see where that might be a proper case in your mind where you could grant probation for the offense of murder? There may be one or two out of a hundred that come down like this, and the law doesn't require in every case you consider probation, but if the facts were proper in your mind, could you consider probation for the intentional taking of a life? Under circumstances like that, I could consider

We can go on and on with examples.

it, yes.

You can have a woman who's been abused throughout her marriage by her husband. He does

not work or do anything. She comes home from 1 2 work and he beats her up and beats up the kids. 3 She shoots him. Do you see what I mean? I am trying to stimulate your imagination a little bit, but I 5 think what I am saying is if the facts warrant 6 it, there could be situations where the facts 7 8 would warrant granting probation for taking 9 another's life. 10 Under what you just said, that type of case. 11 MR. BAX: Nothing further. 12 MR. ELIZONDO: I am sorry. I feel the 13 same way. 14 15 EXAMINATION 16 17 OUESTIONS BY MR. ELIZONDO: 18 Let me see if I've got this straight now. 19 said you could in the proper case in your own mind, after finding someone guilty of intentionally 20 21 and knowingly taking another's life, you could 22 consider in the proper case five years' 23 probation? 24 Yes, sir. Under certain cases.

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A little while ago, you mentioned something about

I am saying that option should be open, that the

25

A.

sorry, but, to me, your life is no more important

1 to me than mine. It is just as important as mine, but not more so. 3 So to say that a man can be given a death penalty by killing -- only because he killed 5 a certain class of people or under a certain set of circumstances, and that he can't be, you know, if he just walks up to me and blows me away on the street, and you say there is no way he can be tried for capital murder, I don't see why. 10 Q. So you have an objection to the law as it now 11 stands? I don't know how to answer that. All I know is 12 13 that I have no objection to the death penalty. It is just, you know, I think it should be --15 if you want to say expanded, I believe is the 16 term you used, I think it ought to be, because 17 your life is no more important than mine. 18 In other words, I am kind of confused a little Q. 19 bit. You believe that anybody that anybody that 20 kills another person should be subject to the 21 death penalty? 22 Correct. I believe that that ought to be a --23 what word am I looking for? 24 Q. Option?

It ought to be an option.

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A.

Yes.

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I believe I have already stated twice I am in

1		favor of capital punishment.
2	ō.	There are two possible penalties, life or death.
3	A.	I am sorry. My mind is getting muddled. I am
4		in favor of the death penalty under certain
5		circumstances.
6	<b>!</b>	MR. ELIZONDO: Your Honor, we are going
7		to respectfully challenge this juror as having
8		a bias against the law as it now exists.
9		MR. BAX: Judge, we object. We don't
10		feel this juror has in any way, at this point,
11		disqualified himself.
12		THE COURT: Overrule the objection.
13	Q	(By Mr. Elizondo) I hate to keep on asking
14		questions and belabor the point raised by law,
15		but in a capital murder case where you have two
16		possible penalties, life or death, do you have a
17		preference as to the punishment, life or death?
18	A.	No, sir. I
19		THE COURT: You have answered the
20		question.
21	A.	I know
22		Well, I will be quiet.
23	Q	(By Mr. Elizondo) Mr. Matthews, about three or
24		four months ago, a Supreme Court decision came
25		down that said children of illegal aliens had the

1 right to a free education. 2 How did you react to that? 3. I honestly originally questioned it. A Why was that? Q. Well, at the time, it was based on the fact that, you know, they are not per se paying school taxes. Okay? And it was kind of a question to me on that point. I realize that, you know, they do jobs that many of us don't do or consider ourselves to be too good to do, but on that 10 particular question, if they are paying school 11 12 taxes or regular taxes, that is fine, but I do 13 not believe that they just arbitrarily have a 14 right to a free education. 15 Okay. If you came to find out at a later time 16 during the trial of the case that the Defendant 17 was an illegal alien and he is looking for the 18 same rights that all U.S. citizens have, how 19 would that affect you? 20 I think the rights he's got goes beyond being an 21 American citizen as far as justice and the right

- to be heard.
- Do you think he is entitled to all those rights?
- Yes, sir, if he is going to be tried in our system, he is entitled to all the rights.

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1	Q.	I have a couple of other questions and I am going
2		to let you go home.
3		What denomination of Protestant are you?
4	A.	Honestly? None.
5	Q.	None?
6	·.	Where were you born? What were you
7		born?
8	A.	Baptist, Episcopalian, Church of Christ.
9		MR. ELIZONDO: Pass the witness.
10		MR. BAX: We will accept Mr. Matthews.
11		THE COURT: What says the Defense?
12		MR. ELIZONDO: Your Honor, we would
13		re-voice our objection and the motion previously
14		voiced in this court and ask the Court to consider
15		the motion and allow the Defendant to examine the
16		entire venire at the end of the voir dire.
17		THE COURT: That is denied.
18		MR. ELIZONDO: Note our exception.
19		Also, violation of Witherspoon, Your
20		Honor.
21		THE COURT: Mr. Matthews, thank you
22		for your participation in this case.
23		Either side can exercise up to fifteen
24		challenges for whatever reason they choose to base
25		that on and the Defense has desided to excuse

you in this case, and we appreciate your honesty with us. We understand your confusion. Thank you very much, and you are excused.