NUCLEOSYNTHESIS PREDICTIONS FOR INTERMEDIATE-MASS ASYMPTOTIC GIANT BRANCH STARS: COMPARISON TO OBSERVATIONS OF TYPE I PLANETARY NEBULAE*

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ABSTRACT

Type I planetary nebulae (PNe) have high He/H and N/O ratios and are thought to be descendants of stars with initial masses of \sim 3–8 M_{\odot} . These characteristics indicate that the progenitor stars experienced proton-capture nucleosynthesis at the base of the convective envelope, in addition to the *slow* neutron capture process operating in the He-shell (the *s*-process). We compare the predicted abundances of elements up to Sr from models of intermediate-mass asymptotic giant branch (AGB) stars to measured abundances in Type I PNe. In particular, we compare predictions and observations for the light trans-iron elements Se and Kr, in order to constrain convective mixing and the *s*-process in these stars. A partial mixing zone is included in selected models to explore the effect of a ¹³C pocket on the *s*-process yields. The solar-metallicity models produce enrichments of [(Se, Kr)/Fe] \lesssim 0.6, consistent with Galactic Type I PNe where the observed enhancements are typically \lesssim 0.3 dex, while lower metallicity models predict larger enrichments of C, N, Se, and Kr. O destruction occurs in the most massive models but it is not efficient enough to account for the \gtrsim 0.3 dex O depletions observed in some Type I PNe. It is not possible to reach firm conclusions regarding the neutron source operating in massive AGB stars from Se and Kr abundances in Type I PNe; abundances for more *s*-process elements may help to distinguish between the two neutron sources. We predict that only the most massive ($M \gtrsim 5 M_{\odot}$) models would evolve into Type I PNe, indicating that extra-mixing processes are active in lower-mass stars (3–4 M_{\odot}), if these stars are to evolve into Type I PNe.

Key words: nuclear reactions, nucleosynthesis, abundances – planetary nebulae: general – stars: AGB and post-AGB

Online-only material: color figures

1. INTRODUCTION

After the thermally-pulsing asymptotic giant branch (TP-AGB) phase is terminated, low-to-intermediate-mass stars (\sim 0.8 to 8 M_{\odot}) evolve to become post-AGB stars and possibly planetary nebulae (PNe), if the ejected envelopes have sufficient time to become ionized by the hot central stars before dissipating into the interstellar medium (ISM). For recent reviews of TP-AGB and post-AGB stars, see Herwig (2005) and van Winckel (2003), respectively. The illuminated PN is comprised of material from the deep convective envelope; hence, nebular abundances should reveal information about the efficiency of mixing events and chemical processing that took place during previous evolutionary phases, in addition to the initial composition of the parent star (Dopita et al. 1997; Karakas & Lattanzio 2003a).

Briefly, during the TP-AGB phase, the He-burning shell becomes thermally unstable every 10⁴ years or so, depending on the core mass. The energy from the thermal pulse (TP) drives a convective zone in the He-rich intershell, which mixes the products of He-nucleosynthesis within this region. The energy provided by the TP expands the whole star, pushing the

H-shell out to cooler regions where it is almost extinguished, and subsequently allowing the convective envelope to move inwards (in mass) to regions previously mixed by the flash-driven convective zone. This inward movement of the convective envelope is known as the third dredge-up (TDU), and is responsible for enriching the surface in $^{12}\mathrm{C}$ and other products of He-burning, as well as heavy elements produced by the s-process. Following the TDU, the star contracts and the H-shell is reignited, providing most of the surface luminosity for the next interpulse period. In intermediate-mass AGB stars with initial masses $\gtrsim 4\,M_\odot$, the base of the convective envelope can dip into the top of the H-burning shell, causing proton-capture nucleosynthesis to occur there (hot bottom burning, HBB; see Lattanzio et al. 1996 for a review).

Abundances can be obtained from PN spectra for a number of elements including C, N, O, S, Cl and the noble gases He, Ne, and Ar (Aller & Czyzak 1983; Kingsburgh & Barlow 1994; Dopita et al. 1997; Stanghellini et al. 2000; Leisy & Dennefeld 2006), and heavy elements synthesized by the s-process, including Ge, Se, Kr, Xe, Ba, and Br (Pequignot & Baluteau 1994; Dinerstein 2001; Sterling et al. 2002, 2007; Sharpee et al. 2007; Sterling & Dinerstein 2008, hereafter SD08). Whereas the metallicity of a star is usually taken from the abundance of iron, for ionized nebulae, oxygen is generally the primary index of metallicity. However, O is not an ideal metallicity index for PNe, because it can be destroyed by CNO cycling and/or synthesized during helium burning. Consequently, Ar is sometimes used in place of O (Leisy & Dennefeld 2006, SD08), under the assumption that it remains

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unchanged by AGB nucleosynthesis. Zinc is a better indicator of iron-group element abundances in nebulae than Fe itself, because Zn does not condense into dust as easily as Fe (York & Jura 1982; Sembach et al. 1995; Welty et al. 1999) and can be observed in PNe (Dinerstein & Geballe 2001; Dinerstein 2004). However, Zn is at the beginning of the *s*-process chain and might be produced to some extent in AGB stars. In order to interpret the elemental abundances in PNe, it is important to determine which elements are produced, destroyed or left unaltered by AGB nucleosynthesis.

The set of elements heavier than Fe that can be detected in PNe include all even-numbered elements from Z = 30-36, which lie at the light-element end of the s-process distribution, just below the first s-process peak at Sr, Y, and Zr (Z = 38-40). In Karakas et al. (2007), we attempted to use Ge abundances derived from a small sample of PNe (Sterling et al. 2002) to try and constrain the amount of TDU mixing after the final few TPs. There, a hint was found that efficient TDU at the tip of the AGB may be required in order to match the abundances; however, stronger conclusions were precluded by model uncertainties, the small PNe sample size, and the large uncertainties of the Ge abundance determinations. In the present study, we proceed to analyze Se and Kr abundances from a much larger sample of 120 Galactic PNe from SD08, where the s-process enrichments were investigated for correlations with PN morphology and other nebular and stellar characteristics, as outlined in detail in Section 2. We also use PNe abundances of C, N, and O along with the abundances of post-AGB stars for comparison to the stellar models.

The composition of Type I PNe provides important constraints on nucleosynthesis and mixing in intermediate-mass AGB stars. These PNe tend to exhibit bipolar morphologies and have high He/H and N/O ratios characteristic of proton-capture nucleosynthesis (Stanghellini et al. 2006), suggesting that they are descendants of AGB stars with initial masses $\sim 3-8 M_{\odot}$ (Peimbert 1978; Kingsburgh & Barlow 1994; Stanghellini et al. 2006). Low-mass AGB stars, by contrast, are defined as having initial masses $\sim 1-3~M_{\odot}$ and tend to show carbon and s-process element enrichments (Busso et al. 2001; Travaglio et al. 2004). In the Milky Way, the spatial distribution and kinematics of Type I PNe indicate that they are a young population (e.g., Corradi & Schwarz 1995). This supports their identification with intermediate-mass stars, which have relatively short evolutionary lifetimes. In the Galaxy, intermediate-mass AGB stars are difficult to identify owing to a lack of reliable distances. This has resulted in a paucity of observational evidence for constraining stellar models, and especially the efficiency of the TDU in intermediate-mass AGB stars, which is still not well determined (Karakas et al. 2002; Ventura et al. 2002; Herwig 2004; Stancliffe et al. 2004). García-Hernández et al. (2006) identified several Galactic intermediate-mass AGB stars within a sample of OH/IR stars (i.e., bright O-rich giants with large infrared (IR) excesses). The large enhancements of Rb found by these authors, combined with the fact that these stars are O-rich, support the prediction that HBB and an efficient TDU has occurred in these stars. At lower metallicities, constraints are provided by observations of luminous O-rich AGB stars in the Large and Small Magellanic Clouds (LMC and SMC, respectively) that are rich in Li and s-process elements (Wood et al. 1983; Smith & Lambert 1989; Plez et al. 1993).

In Section 2, we begin with a summary of observational results for *n*-capture elements in PNe. We review the numerical method and present the stellar models in Section 3, and outline

the results in Section 4. In Section 5, we discuss our findings and their implications, and in Section 6 we summarize our conclusions.

2. OBSERVATIONAL CONSTRAINTS

The low cosmic abundances of *n*-capture elements cause their spectroscopic features to be very weak. For that reason, transiron elements were not identified in the spectrum of a PN until 1994 (Pequignot & Baluteau 1994). However, in the last few years, there has been considerable progress in the detection of emission lines from and derivation of abundances for transiron elements in PNe (e.g., Dinerstein & Geballe 2001; Sterling et al. 2007; Sharpee et al. 2007, SD08).

The most comprehensive study is that of SD08, who conducted the first large-scale survey of *n*-capture elements in PNe. They determined Kr and Se abundances in 120 PNe from the near-infrared (NIR) emission lines [Kr III] 2.199 μ m and [Se IV] 2.287 μ m identified by Dinerstein (2001), and corrected for the abundances of unobserved Se and Kr ions using analytical formulae derived from a grid of photoionization models (Sterling et al. 2007). This survey increased the number of PNe with determined n-capture element abundances by nearly an order of magnitude, enabling a detailed study of s-process enrichments in PNe as a population as well as an assessment of enrichment patterns in different classes of PNe. In order to normalize the abundances of the s-process products to the initial metallicity of each PN, the Se and Kr abundances were compared to those of O and Ar reported in the literature. Oxygen was utilized as a reference element for PNe with low-mass progenitors, since its abundance is generally the most reliably determined in PNe, while Ar was used for objects with higher-mass central stars that may have experienced O destruction during HBB. Overall, 41 of the 94 PNe with derived Se and/or Kr abundances or meaningful upper limits were found to be enriched in these elements, with the average [Se/(O, Ar)] = 0.31 and [Kr/(O, Ar)] =0.98.8 SD08 interpreted these enrichments as evidence for in situ s-process nucleosynthesis and dredge-up in PN progenitor stars.

SD08 observed significant numbers of PNe with intermediatemass progenitor stars, as evidenced by their Type I compositions and/or bipolar morphologies (29 and 28 objects, respectively; see their Table 15). They found that Type I and bipolar PNe exhibit smaller Se and Kr enrichments on average than other PNe. In Figure 1, we display [Se/(O, Ar)] and [Kr/(O, Ar)], separated into 0.1 dex bins, for non-Type I, Type I, and bipolar PNe. The distribution of Se and Kr enrichments is clearly skewed to smaller values for Type I PNe than other objects, and to a lesser extent the same is true of bipolar PNe. Notably, all of the Type I PNe observed by SD08 exhibit modest (less than a factor of 2, or 0.3 dex) or no Se and Kr enrichments. These values are significantly lower than the average values for non-Type I PNe: 0.36 dex for [Se/ (O, Ar)] and 1.02 dex for [Kr/(O, Ar)]. In contrast, while some bipolar PNe are among the objects with the lowest [Se/(O, Ar)] and [Kr/(O, Ar)], others display enrichments approaching a factor of 10.

Figure 2 shows [Se/(O, Ar)] and [Kr/(O, Ar)] as a function of the logarithmic He/H, N/O, and C/O abundances of PNe from the SD08 sample, including upper limits (open symbols) for objects without detected Se and/or Kr emission. This figure

 $^{^8}$ We use the notation [Se/(O, Ar)] and [Kr/(O, Ar)] of SD08 to emphasize the use of different reference elements for PNe with different progenitor masses, where [X/Y] = $\log_{10}(X/Y) - \log_{10}(X/Y)_{\odot}$.

not only illustrates the lower average Se and Kr abundances of Type I PNe relative to other objects, but also that upper limits to the Se and Kr abundances in many Type I PNe allow for at most marginal s-process enrichments. SD08 found that s-process enrichments are positively correlated with C/O in non-Type I PNe (bottom panels of Figure 2), a result that agrees with studies of AGB (Smith & Lambert 1990; Abia et al. 2002) and post-AGB stars (van Winckel 2003). Type I PNe often exhibit low C/O ratios (< 1), in agreement with predictions from intermediate-mass stars with HBB. HBB converts dredgedup ¹²C into ¹⁴N, thereby preventing or delaying the formation of C-rich AGB stars (Frost et al. 1998). However, some bipolar PNe display significant enrichments of both C and s-process nuclei, as well as non-Type I compositions. These objects may be descendants of low-mass binary star systems in which the bipolar morphology is a result of binary interactions (Soker 1997; Balick & Frank 2002). This suggests that some bipolar PNe are not descendants of intermediate-mass stars, and that morphology is not as reliable an indicator of progenitor mass as is chemical composition.

Sharpee et al. (2007) derived *n*-capture element abundances in five PNe from high-resolution optical spectra. They identified emission lines of several *n*-capture elements, including Br, Kr, Xe, Rb, Ba, and Pb in each of these objects, and derived abundances for Br, Kr, and Xe. They found that Kr and Xe are enriched in three of the five observed PNe, by 0.3–0.9 dex. The two objects in their sample that do not exhibit *s*-process enrichments, NGC 2440 and IC 2501, are both Type I PNe according to the classification scheme of Peimbert (1978). The [Kr/Ar] and [Xe/Ar] values of these two objects are consistent within the uncertainties with the solar values, except for Xe in NGC 2440, which may be mildly subsolar. These results for [Kr/Ar] are consistent with the findings of SD08 for their larger sample of Type I PNe.

The NIR [Kr III] and [Se IV] lines utilized by SD08 have also been detected in two PNe belonging to metal-poor Milky Way dwarf satellite galaxies (Wood et al. 2006, H. L. Dinerstein et al. 2008, in preparation). Such observations can provide important constraints on AGB models and nucleosynthetic yields for subsolar metallicities. One of the observed objects, LMC SMP 62, is N-rich (N/O \sim 0.5) and, hence, considered a Type I PN in the context of the LMC, where the threshold value of N/O for Type I objects is taken to be lower than for Galactic PNe (Dopita & Meatheringham 1991; Leisy & Dennefeld 2006). While the [Kr III] line is not detected (and does not provide a useful abundance limit), the [Se IV] line yields an Se abundance \sim 15% solar (H. L. Dinerstein et al. 2008, in preparation). Not only is Se not enriched, it is actually *deficient* relative to the α -elements; the abundances of O, Ne, S, and Ar in SMP 62 are \sim 30–40% solar (Leisy & Dennefeld 2006; Bernard-Salas et al. 2008). This is reminiscent of the abundance pattern in LMC red giants, where light s-process elements, such as Y and Zr, are markedly deficient relative to iron and some α elements (Pompeia et al. 2006; Mucciarelli et al. 2008). In contrast, Hen 2-436, a PN in the Sagittarius dSph galaxy, displays Se and Kr abundances as high as those for some of the most enriched Galactic objects shown in Figure 1. However, Hen 2-436 is

Table 1
Details of the Stellar Models

Mass	Z	TPs	$T_{\mathrm{He}}^{\mathrm{max}}$	$T_{\rm bce}^{\rm max}$	$M_{\rm dred}$	$M_{\rm env}$	HBB?	C/O	¹² C/ ¹³ C	N/O	He/H
3.0	0.02	26	302	6.75	8.1(-2)	0.676	No	1.27	108	0.303	0.122
4.0	0.02	17	332	22.7	5.6(-2)	0.958	No	0.99	76.5	0.336	0.118
5.0	0.02	24	352	64.5	5.0(-2)	1.500	Yes	0.77	7.84	0.542	0.133
5.0	0.02^{a}	37	368	57.0	1.0(-1)	1.922	Yes	1.71	15.5	0.465	0.139
6.0	0.02	37	369	83.1	5.8(-2)	1.791	Yes	0.38	10.8	1.251	0.150
6.5	0.02	40	368	86.5	4.7(-2)	1.507	Yes	0.40	11.6	1.205	0.154
3.0	0.012	21	307	7.23	9.2(-2)	0.805	No	2.80	190	0.366	0.117
6.5	0.012	51	369	90.0	6.5(-2)	1.389	Yes	0.76	10.4	2.503	0.146
2.5	0.008	27	302	5.42	1.1(-1)	0.664	No	4.17	392	0.307	0.109
5.0	0.008	57	366	80.8	1.7(-1)	1.795	Yes	0.93	7.48	5.937	0.128
6.0	0.008	68	374	89.6	1.2(-1)	1.197	Yes	1.40	8.80	5.799	0.135
5.0	0.004	81	377	84.4	2.2(-1)	1.141	Yes	3.62	10.8	16.79	0.138

Notes. ^a Computed with Reimers mass loss on the AGB. All other models have the Vassiliadis & Wood (1993) mass loss.

C-rich rather than N-rich, and, therefore, is a non-Type I PN, with an estimated initial stellar mass of $\sim 1.2 \, M_{\odot}$ (Dudziak et al. 2000; Zijlstra et al. 2006).

Zinc is detectable in PNe via the [Zn IV] 3.625 μ m finestructure line identified by Dinerstein & Geballe (2001). This line has recently been observed in about a dozen PNe belonging to the Milky Way and its dwarf satellites (Dinerstein et al. 2007, H. L. Dinerstein et al. 2008, in preparation, hereafter DGS08). Two of these objects exhibit Type I compositions: LMC SMP 62, discussed above, and the Galactic PN M 1-40 (Górny et al. 2004). The gaseous Zn abundance in M 1-40 is $\sim 80\%$ solar, which is consistent with its approximately solar Ne and S abundances. Even allowing for modest depletion into dust, which would raise the total Zn abundance by 0.1–0.2 dex (assuming conditions typical of the warm ISM; see Section 1), it does not appear that Zn is significantly enriched in M 1-40. In LMC SMP 62, the measured Zn abundance is lower relative to solar than the abundances of α elements such as O, Ne, and S (Dinerstein et al. 2007) by a factor very similar to the deficiency in Se. In this context, it is interesting that several of the irongroup elements, including Ni and Cu (which are adjacent to Zn), display behavior similar to light *n*-capture elements, which are also deficient relative to Fe in LMC giants (Pompeia et al. 2006; Mucciarelli et al. 2008).

3. THE STELLAR MODELS

The numerical method we use has been previously described in detail (Lugaro et al. 2004; Karakas et al. 2006). We first compute the stellar structure using the Mt. Stromlo Stellar Structure code (Lattanzio 1986), where each model is evolved from the zero-age main sequence to near the tip of the TP-AGB. For the models considered in this study, we do not evolve to the post-AGB phase due to convergence difficulties that occur when the envelope mass is reduced below $\sim 1.5 \, M_{\odot}$; see Karakas & Lattanzio (2007) for more details. The structure is then used as input into a postprocessing nucleosynthesis code where we obtain abundances for many more species (up to 166) than are included in the structure model (6 species).

The stellar models we computed (see Table 1) cover a mass range of 3.0– $6.5~M_{\odot}$ and metallicities 0.2– $1.0~Z_{\odot}$. The metallicities were chosen to reflect the composition of most of the PNe in the SD08 sample, where O and Ar abundances were used as metallicity indicators. We include a $2.5~M_{\odot}$, Z=0.008 model as an example of a low-mass, low-metallicity progenitor

⁹ Using Spitzer spectra, Bernard-Salas et al. (2008) found higher Ne/H than Leisy & Dennefeld (2006). However, they adopted a higher value for the solar Ne abundance, and, consequently, their ratio to solar was similar. They also derived a low value for S/H, but the significance of this result is unclear, since these authors also found that Galactic PNe had subsolar sulfur abundances (Pottasch & Bernard-Salas 2006).

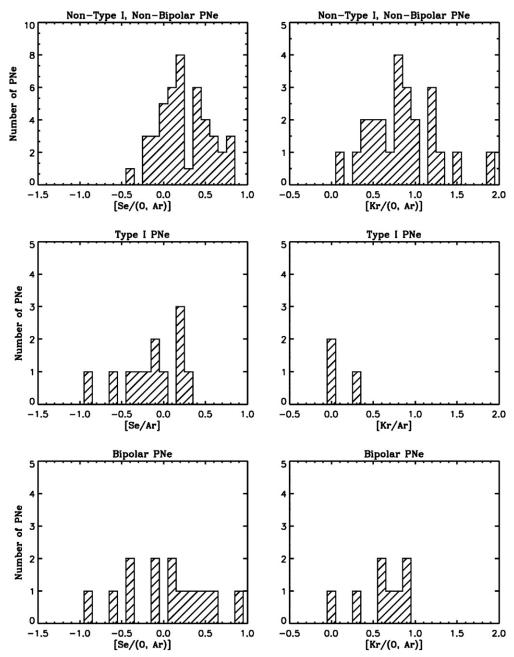


Figure 1. Histograms of Se and Kr abundances from SD08, separated into 0.1 dex bins, for nonbipolar, non-Type I PNe (top); Type I PNe (middle); and bipolar PNe (bottom). Data are shown only for objects in which Se and/or Kr emission was detected. Type I and (to a lesser extent) bipolar PNe exhibit smaller enrichments than PNe with less massive progenitor stars.

that would lead to a non-Type I PN composition. All models use scaled solar abundances from Anders & Grevesse (1989) except for the Z=0.012 models, which are computed with the revised solar elemental abundances from Asplund et al. (2005) for comparison. The Reimers (1975) mass loss was used on the first giant branch, with the parameter $\eta=0.4$, and the Vassiliadis & Wood (1993) mass loss on the AGB. For comparison, we include results for a $5\,M_\odot$, Z=0.02 model computed with Reimers mass loss on the AGB, with the parameter $\eta=3.5$.

In Table 1, we summarize structural information about the models. Most of the structure calculations are taken from Karakas & Lattanzio (2007), with the Z=0.012 models previously discussed in Karakas et al. (2007). In Table 1, we present the initial mass and metallicity, Z; the total number of TPs computed; the maximum temperature in the He-shell,

 $T_{\rm He}^{\rm max}$; the maximum temperature at the base of the convective envelope, $T_{\rm bce}^{\rm max}$; the total mass dredged into the envelope during the TP-AGB, $M_{\rm dred}$; the final envelope mass $M_{\rm env}$; and whether or not HBB occurs. In Table 1, masses are given in solar units, temperatures in millions of Kelvin, metallicity Z is the fraction by mass of metals, and elemental and isotopic ratios are in terms of number ratios.

3.1. Type I PNe and HBB

Observations suggest that the Type I PN progenitor mass range is $\sim 3-8\,M_\odot$ (e.g., Peimbert 1990; Stanghellini et al. 2006). For the purpose of this study, we consider models with masses between 3 and $6.5\,M_\odot$, noting that more massive AGB stars would likely evolve too quickly to form observable

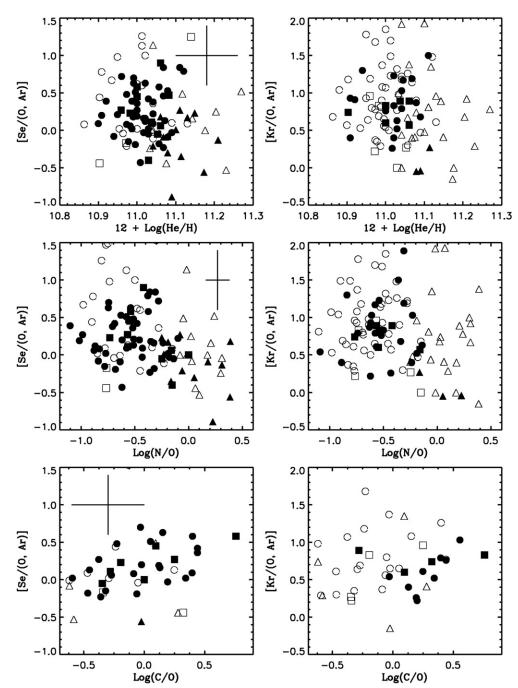


Figure 2. Se and Kr abundances of PNe plotted against He/H (top panels), N/O (middle panels), and C/O (bottom panels). The triangles correspond to Type I PNe, the boxes are non-Type I bipolar PNe, and circles represent all other PNe from the sample of SD08. Se and Kr upper limits are depicted as open symbols. Representative error bars to the abundances are shown in the left-hand panels. The observed correlations show that Type I PNe generally display smaller *s*-process and C enrichments than other PNe. While many bipolar PNe follow the same trends, some are significantly enriched in C, Se, and Kr, suggesting that they arise from less massive stars.

PNe. This mass range encompasses the full range of AGB nucleosynthetic behavior: (1) models that experience the TDU but not HBB, and (2) models that undergo the second dredge-up (SDU) and HBB in addition to the TDU. From inspection of Table 1, the 2.5, 3, and 4 M_{\odot} models fall into the first category while the 5, 6, and 6.5 M_{\odot} models fall into the latter category. The more massive objects that experience both the SDU and HBB are often defined as intermediate-mass AGB stars (see Karakas et al. 2006; Herwig 2005).

Only models with HBB (i.e., models with initial masses $m \gtrsim 5 M_{\odot}$) would evolve into Type I PNe. Hence, efficient extra mixing between the base of the convective envelope and a region

hot enough to allow some CN cycling presumably operates to produce the observed Type I nucleosynthethic signature of high He/H and N/O ratios in the lower-mass ~ 3 –4 M_{\odot} stars. The physical mechanism that could cause such efficient extra mixing is not known, although stellar rotation is one possible candidate (see below). It is probably not thermohaline mixing, which is only efficient in stars less massive than 2 M_{\odot} (Eggleton et al. 2006; Charbonnel & Zahn 2007). The idea that extra-mixing processes are operating in low-mass carbon-rich AGB stars is supported by observational evidence, including lower than predicted $^{12}\text{C}/^{13}\text{C}$ ratios observed in N-type AGB stars (Abia & Isern 1997). The C, O, and Al isotopic ratios found in meteoritic

silicon carbide (SiC) and oxide grains from AGB stars also point toward some sort of extra-mixing process operating in the parent stars (Busso et al. 1999; Nollett et al. 2003; Zinner et al. 2006; Zinner 2008). In these cases, the mixing should not be so efficient to prevent the formation of a carbon-rich atmosphere.

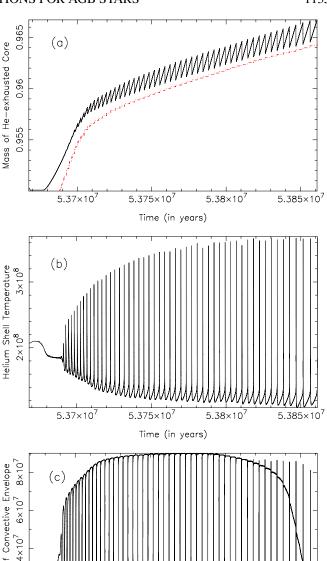
Some fraction of Type I and bipolar PNe presumably formed from low-mass stars via binary evolution (Soker 1997; Balick & Frank 2002; Moe & De Marco 2006), although it is unclear how this would lead to the high He/H and N/O ratios observed. Twodimensional simulations of a star with a point-mass companion in a very close orbit suggested that close binary evolution has little effect on the deep interior structure, despite producing large distortions to the outer layers (Deupree & Karakas 2005). However, these simulations did not go beyond the point where the stars begin to fill their Roche lobes. Rapid stellar rotation, in combination with magnetic fields, has also been proposed as a mechanism to shape bipolar PNe and to produce the overabundances of He and N in single intermediate-mass progenitors ($M \gtrsim 3 M_{\odot}$) (Calvet & Peimbert 1983; Gorny et al. 1997; García-Segura et al. 1999; Dobrinčić et al. 2008). Further modeling is required to address how effectively binary interactions, rotation, and/or magnetic fields can affect the internal structure and nucleosynthesis of AGB stars.

In Figure 3, we plot the temporal evolution of the core, and the temperature in the He-shell and at bottom of the convective envelope for the $6.5 M_{\odot}$, Z = 0.012 model. The mass of the H-exhausted core shows evidence of efficient TDU whereas the He-shell temperature shows that the majority of the TPs reach peak temperatures greater than 300×10^6 K, the temperature at which the ²²Ne neutron source is activated. HBB prevented several models in Table 1 from becoming carbon rich, and also resulted in low 12 C/ 13 C ratios that approach the equilibrium ratio of ~4. The He/H and N/O ratios are also higher for models with HBB compared to the lower-mass models. HBB is more efficient at lower metallicities for a given mass owing to the higher temperatures reached at the base of the convective envelope. For example, the 6.5 M_{\odot} , Z = 0.012 model (corresponding to the Asplund et al. (2005) solar abundances) displays a higher N/O ratio than the Z = 0.02 model of the same mass. The final N abundance is similar in each of these two models, despite a difference of a factor of 1.86 in the initial N abundances, showing that CNO processing is more efficient in the low-metallicity case.

3.2. The Nuclear Network

The most important addition to our models for this study is the extension of the nuclear network to include elements heavier than iron up to niobium (M. A. van Raai et al. 2008, in preparation). We have also made an update of our reaction-rate library—starting from the library described in Karakas et al. (2007), we have included neutron-capture cross-sections from the Bao et al. (2000) compilation. We include 166 species from protons to sulfur and iron to niobium, with a total of 1285 reaction rates corresponding to all β -decay, p, α , and n-capture reactions on all species in the network. We also have two other networks that we use to obtain information for the S and Ar isotopes. The first has 125 species and includes all stable species from H to ⁶²Ni, while the second has 156 species and includes all stable species from H to As (Karakas et al. 2007).

As done in Karakas et al. (2007), we use a "double neutronsink" description (Herwig et al. 2003) to account for neutron captures on species heavier than that at the end of the network



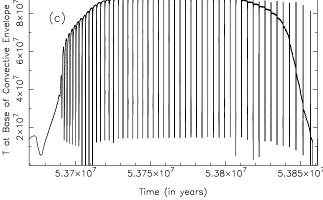


Figure 3. Temporal evolution of the (a) hydrogen (solid line) and heliumexhausted (dashed line) cores, (b) the He-shell temperature, and (c) the temperature at the base of the convective envelope for the 6.5 M_{\odot} , Z = 0.012model during the TP-AGB.

(A color version of this figure is available in the online journal.)

(97Nb in this case). The two artificial species are linked by the reactions ${}^{97}{\rm Nb}(n,\gamma){}^{98}{\rm g}$ and ${}^{98}{\rm g}(n,L){}^{98}{\rm g}$, where ${}^{98}{\rm g}$ has an initial abundance equal to the sum of the solar abundances from Mo to Bi. The second artificial particle, L, is equivalent to the number of neutrons captured beyond Nb. The ratio $(L/^{98}g)$ is a description of the neutrons captured per seed nucleus and could, in principle, be related to the s-process distribution. Note that in the 156 and 166 networks, the species ³⁴S is the sum of all species from ³⁴S to Mn, and the reaction ³⁴S (n, γ) ³⁵S is assigned an averaged cross-section value in order to represent all nuclei from 34 S to Mn.

3.3. Neutron Sources in Intermediate-Mass AGB Stars

The s-process is driven by the production of free neutrons that are subsequently captured by Fe-peak seed nuclei to form heavier elements. The $^{22}\mathrm{Ne}(\alpha, n)^{25}\mathrm{Mg}$ reaction was first suggested as a neutron source in stars by Cameron (1960). Later, Truran & Iben (1977) and Cosner et al. (1980) suggested that it was the dominant source in intermediate-mass AGB stars, because the He-shells of these stars reached high enough temperatures ($T \gtrsim 300 \times 10^6 \, \mathrm{K}$) to allow for this reaction to be efficiently activated (see the middle panel of Figure 3 as an example). These temperatures are reached only in the last few TPs of a lower-mass star. Truran & Iben (1977) found that the 22 Ne source results in enhanced levels of the *n*-rich element Rb owing to the high neutron densities (up to $\sim 10^{13}$ neutrons cm⁻³), as well as increases in the heavy Mg isotopes 25 Mg and 26 Mg from the competing reactions 22 Ne(α , n) 25 Mg and 22 Ne(α , γ) 26 Mg (Kaeppeler et al. 1994; Karakas et al. 2006). Fenner et al. (2003) compared results from a chemical evolution model with observations of the neutron-rich Mg isotopes and concluded that an extra production site besides Type II supernovae was necessary, and that massive AGB stars are a good candidate for such a site. There is, however, some uncertainty at what Galactic epoch AGB stars started contributing to the chemical enrichment of the Galaxy (Simmerer et al. 2004; Meléndez & Cohen 2007). It is also unknown to what extent intermediate-mass stars contribute to the Galactic inventory of s-process elements (e.g., Travaglio et al. 2004).

The other potential source of neutrons in AGB stars is the 13 C(α , n) 16 O reaction, which operates at lower temperatures ($T \gtrsim 90 \times 10^6$) than the 22 Ne source. Observational and theoretical evidence suggests this is the dominant neutron source in low-mass AGB stars (Smith et al. 1987; Gallino et al. 1998). To operate efficiently, this reaction requires more ¹³C than is left over from CN cycling in the H-shell; hence, some mechanism to mix protons from the H-rich envelope into the intershell is needed to produce the extra ¹³C. In our models, protons are mixed into the intershell region by artificially adding a partial mixing zone (PMZ) at the deepest extent of each TDU. These protons are quickly captured by the abundant ¹²C to form ¹³C and ¹⁴N, resulting in the formation of a ¹³C pocket. In the ¹³C pocket, neutrons are liberated by the reaction $^{13}C(\alpha, n)^{16}O$ during the interpulse period (Straniero et al. 1995), in contrast to the ²²Ne source, which operates during TPs. The timescales for neutron production during the interpulse are much longer ($\gtrsim 10^3$ years) than during the convective pulse (~10 years), resulting in much lower neutron densities ($\sim 10^7$ neutrons cm⁻³) than the 22 Ne source. Together, the timescales for neutron production and the neutron source determine the resulting s-process element distribution. The details of how the ¹³C pocket forms and its extent in mass in the He-intershell are still unknown, although various mechanisms have been proposed, including convective overshoot, rotation, and gravity waves; see Herwig (2005) for a discussion of the relative merits of each mechanism.

The importance of the 13 C pocket and the 13 C(α , n) 16 O reaction for nucleosynthesis in intermediate-mass stars is neither clear nor well studied. Adding a PMZ artificially into massive AGB models has little effect on the nucleosynthesis of nuclei lighter than Fe (Karakas et al. 2006) and on the production of Ge in 5 M_{\odot} , Z=0.02 models (Karakas et al. 2007). The first point can be understood by noting that the 13 C pocket is about 10% of the mass of the He-intershell. Since the He-intershell is already smaller in massive AGB stars by about one order of magnitude

compared to lower-mass stars, the inclusion of the PMZ has little effect on the surface abundances of light elements, which are relatively abundant in comparison to heavy elements produced via the *s*-process. The effect of the ¹³C pocket on the production of *s* nuclei is less clear, given their low initial abundances. Se and Kr elemental abundances derived from Type I PN spectra, in combination with observations of the elements Rb and Zr in massive AGB stars in the Galaxy, LMC, and SMC, may provide a way of distinguishing between the relative importance of the two neutron sources in intermediate-mass AGB stars.

For the 3, 4, 5, and $6.5 M_{\odot}$ solar composition models, we present results with and without a PMZ (see Table 2 for the PMZ masses used in each model). No PMZ was included in any of the low-metallicity models, with the exception of the $2.5 M_{\odot}$, Z = 0.008 model, where we only include results with a PMZ. The PMZ masses were chosen such that the resulting 13 C pockets were $\sim 10-15\%$ of the mass of the He-intershell, consistent with the 13 C pocket masses used by Gallino et al. (1998). The method we use to include a PMZ is described in Lugaro et al. (2004) and is similar to that used by Goriely & Mowlavi (2000). At the deepest extent of each TDU episode, we add an exponentially decaying proton profile that covers the mass specified in Table 2. This method is different from that used by Gallino et al. (1998), who included a 13 C profile directly into the intershell.

4. MODEL RESULTS

4.1. Germanium to Strontium

Surface abundances at the tip of the TP-AGB for Zn to Sr are presented in Table 2, and Figure 4 depicts enrichments of Se and Kr as a function of the pulse number in selected models. At solar metallicity, the 3 M_{\odot} models with a 13 C pocket produce the largest surface enrichments of s-process nuclei, with final [Se/Fe] and [Sr/Fe] abundances of \sim 0.50 and 0.85 for the Z=0.02 model, and 0.56 and 1.2 for the Z=0.012 model, respectively. In the lower metallicity models, the largest surface enrichments are seen in the 2.5 M_{\odot} , Z=0.008 model with final [Se/Fe] and [Sr/Fe] abundances of 0.60 and 1.3, and in the 5 M_{\odot} , Z=0.004 model with \sim 0.90 and 0.93, respectively.

The solar metallicity intermediate-mass ($M \ge 5 M_{\odot}$) models exhibit little s-process enrichment ([Kr/Fe] and [Sr/Fe] < 0.25). Using Asplund et al. (2005) solar abundances, the 6.5 M_{\odot} , Z = 0.012 model shows slightly larger enhancements ([Kr/Fe] = 0.40, [Sr/Fe] = 0.30), albeit only when a PMZ is added. The inclusion of a 13 C pocket has little effect ($\lesssim 0.1$ dex) on the production of Se and Ge, as noted by Karakas et al. (2007), but it does enhance the Kr and Sr abundances. Only the lowermetallicity 5 and 6 M_{\odot} models produce substantial enrichments of s-process elements. These models experience a large number of TPs (\gtrsim 60), each with efficient TDU, resulting in significant surface enrichments. No ¹³C pocket was used for these models, and thus it is clear that the higher temperatures obtained during TPs efficiently activate the ²²Ne neutron source. Moreover, the number of neutrons per seed nucleus is larger at lower metallicity owing to the smaller abundance of seed nuclei (e.g., ⁵⁶Fe).

We find that Kr is enhanced in the stellar envelopes to a greater degree than Se, in agreement with the observational results of Sterling et al. (2007) and SD08. This is because Kr lies nearer to the first *s*-process peak than Se, and has an isotope (86 Kr)

Table 2
Surface Abundance Results for Zn Through Sr, Taken at the Tip of the AGB from the Last Computed Model

Mass	PMZ	[Zn/Fe]	[Ge/Fe]	[Se/Fe]	[Br/Fe]	[Kr/Fe]	$\delta^{86} \mathrm{Kr}/^{82} \mathrm{Kr}^{a}$	[Sr/Fe]	$\Delta \mathrm{Fe^b}$		
Z = 0.02, Anders & Grevesse (1989) C, N, and O											
3.0	0.0	0.001	0.004	0.004	< -0.001	0.006	-56.05	0.007	< 0.001		
3.0	0.001	0.071	0.263	0.346	0.299	0.477	-693.3	0.700	-0.00152		
3.0	0.002	0.122	0.397	0.493	0.433	0.628	-774.4	0.853	-0.0021		
4.0	0.0	0.004	0.011	0.009	0.003	0.010	-25.82	0.007	-0.0016		
4.0	1(-4)	0.077	0.282	0.365	0.282	0.519	-40.31	0.587	-0.0026		
5.0	0.0	0.012	0.031	0.026	0.011	0.028	-10.85	0.011	-0.0024		
5.0	1(-4)	0.024	0.096	0.132	0.083	0.241	307.7	0.246	-0.0024		
5.0^{c}	0.0	0.073	0.188	0.181	0.107	0.210	91.56	0.085	-0.0090		
6.0	0.0	0.038	0.106	0.104	0.051	0.131	92.09	0.055	-0.0038		
6.5	0.0	0.047	0.133	0.138	0.064	0.187	186.3	0.083	-0.0037		
		Z	Z = 0.012, A	Asplund et	al. (2005) C,	N, and O					
3.0	0.002	0.106	0.434	0.566	0.473	0.645	-747.2	1.232	-0.0028		
6.5	0.0	0.042	0.113	0.111	0.054	0.136	55.60	0.053	-0.0047		
6.5	1(-4)	0.052	0.164	0.203	0.122	0.397	1171	0.300	-0.0048		
Z = 0.008											
2.5	0.002	0.148	0.478	0.600	0.547	0.794	-722.8	1.31	-0.0040		
5.0	0.0	0.163	0.380	0.401	0.302	0.506	355.3	0.264	-0.0138		
6.0	0.0	0.179	0.432	0.476	0.324	0.657	712.7	0.388	-0.0098		
Z = 0.004											
5.0	0.0	0.403	0.813	0.897	0.734	1.176	1178	0.927	-0.0216		

Notes.

with a magic number of neutrons. ¹⁰ Furthermore, the larger *s*-process enhancement of Kr relative to Se in the AGB models are in agreement with the chemical evolution results of Travaglio et al. (2004), who found that the main *s*-process contributions of AGB stars to the solar system Se and Kr abundances are 14% and 30%, respectively (the weak *s*-process, operative in massive stars, contributes 25% and 20% to the solar Se and Kr abundances, respectively, while the *r*-process provides the remainder).

The [Ge/Fe] abundances in Table 2 are different from those given in Table 2 of Karakas et al. (2007), owing to small changes to the neutron-capture cross sections used in the 166 and 156 species networks. There is a 0.08 dex change in the [Ge/Fe] abundance for the $3 M_{\odot}$, Z = 0.02 model with a PMZ of $0.002 M_{\odot}$. This difference of 0.08 dex is less than found when considering other model uncertainties. For example, there is a 0.16 dex difference in the [Ge/Fe] abundances from the two different $5 M_{\odot}$, Z = 0.02 models with different mass-loss laws.

different $5 M_{\odot}$, Z = 0.02 models with different mass-loss laws. Efficient activation of the ²²Ne neutron source leads to positive $\delta^{86} \mathrm{Kr}/^{82} \mathrm{Kr}$ ratios, ¹¹ as can be seen in Table 2 for the most massive AGB models. These positive $\delta^{86} \mathrm{Kr}/^{82} \mathrm{Kr}$ ratios are a result of neutron densities in excess of $10^8 \mathrm{~cm}^{-3}$, which enables neutron captures on ⁸⁵Kr that produce ⁸⁶Kr. If the density is lower, as is the case when ¹³C(α , n) ¹⁶O is the neutron source, the branching is bypassed and ⁸⁵Rb is produced instead, resulting in negative values of the $\delta^{86} \mathrm{Kr}/^{82} \mathrm{Kr}$ ratio. The Z = 0.02 models reflect this trend, showing an increase in the $\delta^{86} \mathrm{Kr}/$

 82 Kr ratio with mass, with 5 M_{\odot} being the minimum mass for efficient activation of the 22 Ne source. The ratio is negative in the 3–4 M_{\odot} models, where the 13 C reaction dominates as the neutron source and the 22 Ne reaction is only marginally activated. Values of $\delta(^{86}\text{Kr}/^{82}\text{Kr})$ measured in meteoritic stellar SiC grains from AGB stars roughly range from –500 to 900 and increase with the grain size (Lewis et al. 1994). Negative values are well explained by AGB model predictions; however, it is difficult to explain the large observed positive values because they are only produced by HBB models, where the C>O condition necessary for the formation of SiC grains is not attained, except in the lowest metallicity, Z=0.004 models. Further detailed work is required on this issue.

The resulting elemental abundance pattern also differs, as Sr is produced in favor of Rb in the low-neutron density case, resulting in low Rb/Sr ratios (~ 0.05). This is consistent with observations of low-mass AGB stars (Lambert et al. 1995; Abia et al. 2001). In the high-neutron density case, Rb is produced instead, as observed in massive O-rich AGB stars (García-Hernández et al. 2006). Preliminary results for Zr and Rb from intermediate-mass AGB stars are discussed in van Raai et al. (2008), and will be presented in more detail in a forthcoming paper (M.A. van Raai et al. 2008, in preparation).

4.2. The Iron-Peak Elements Iron and Zinc

In Table 2, we show the [Zn/Fe] ratios and Δ Fe values for each model, where Δ Fe is the logarithmic change to the surface iron abundance from the main sequence to the tip of the TP-AGB. The Δ Fe values are all very nearly zero, indicating that the surface abundance of this element is unaltered by AGB nucleosynthesis. The maximum effect among the Z=0.02 models is 2%, and even for the Z=0.004 case, the Fe abundance

^a $\delta(iX)^{\text{ref}}X$) notation is defined according to $[(iX)^{\text{ref}}X)/(iX)^{\text{ref}}X) = -1] \times 10^3$, where ^{ref} X is a reference isotope.

^b Defined by $log(Y_{final}/Y_{initial})$, where Y is the surface abundance in number fraction.

^c The model has Reimers mass loss on the AGB instead of Vassiliadis & Wood (1993).

 $^{^{10}}$ Nuclei with a magic number of neutrons are relatively stable against n-capture due to their small n-capture cross-sections and are the cause of the peaks in the s-process enrichment distribution.

peaks in the *s*-process enrichment distribution. ¹¹ The δ notation is commonly used in meteoritics (see, e.g., Lugaro et al. 2003a), and is a variation from solar in parts permil, or parts per thousand (where a 100 permil change in the isotopic value is equivalent to a 10% variation).

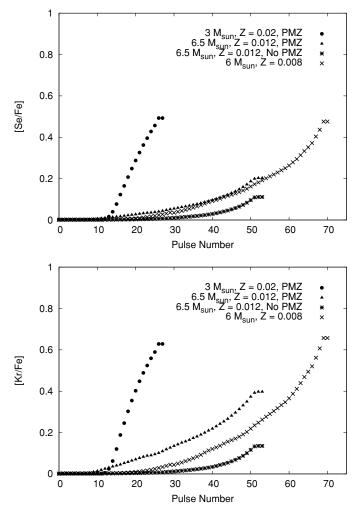


Figure 4. We show the [Se/Fe] ratio (top panel) and the [Kr/Fe] ratio (bottom panel), as a function of the thermal pulse number, from a selected number of stellar models. Each point represents the surface abundance during the interpulse period.

decreases by less than 5%. This makes Fe a suitable reference element for estimating metallicities in AGB stars.

Although the surface Fe abundance is not significantly changed by nucleosynthesis during the AGB, the abundance of Fe in the He-intershell can be depleted by up to \sim 1 dex in a massive AGB star (e.g., $6.5 M_{\odot}$ model). In the intershell, ⁵⁶Fe is destroyed by neutron captures, and as the dominant isotope, it primarily determines the elemental abundance (even if the abundances of the rare neutron-rich isotopes ⁵⁷Fe and ⁵⁸Fe increase). In lower mass models (e.g., $3 M_{\odot}$), depletion of Fe is restricted to the ¹³C pocket that encompasses 10–15% of the mass of the intershell. The next TP homogenizes this small, Fe-depleted region with the much larger Fe abundance in the rest of the shell. Our 3 M_{\odot} results are consistent with those of Werner & Herwig (2006), who found that AGB models cannot account for the large Fe depletions found in some central stars of PNe, particularly the hot, H-deficient stars of the PG1159 class (Miksa et al. 2002; Rauch et al. 2008). Werner & Herwig (2006) estimated that these central stars have mean masses of $\sim 0.62 M_{\odot}$, and thus represent the evolutionary remnants of lower-mass progenitors than those addressed here, and should be compared with models of stars with $\lesssim 3 M_{\odot}$. Note that similar or even more extreme Fe deficiencies—along with dramatic overabundances

of *n*-capture elements—are seen in older white dwarfs where mechanisms such as gravitational settling and levitation by selective radiation pressure play important roles in establishing the surface abundances (Chayer et al. 2005; Werner & Herwig 2006).

In PNe, Fe can be depleted into dust by large factors that may vary from object to object (Perinotto et al. 1999), and even in different regions within the same nebula (Sterling et al. 2005), so that its gas-phase abundance is not a reliable indicator of the true elemental abundance. The heavier iron group element Zn exhibits much milder depletions in the ISM, particularly in the warm phases (e.g., Welty et al. 1999). Consequently, Zn is frequently used as a proxy for iron and the iron group, for example in damped Lyman- α absorbers (e.g., Akerman et al. 2005). However, the use of Zn as an indicator of the initial composition of an AGB star or PN requires that the change in the abundance of Zn due to internal nucleosynthesis is small compared to its initial abundance in the star. It can be seen from Table 2 that the predicted changes in the Zn abundance are modest or negligible for most of the models. This is consistent with the conclusions of Travaglio et al. (2004), who estimated the total contribution of AGB stars to the solar Zn to be \sim 3%, and with Cayrel et al. (2004)'s finding that Zn production in the Milky Way is dominated by other sources (i.e., explosive Si burning in massive stars). However, at low metallicities, the models show a large enough increase that the Zn enrichment may be detectable.

These results can be compared to the Zn abundances derived for two Type I PNe (DGS08). Of the models presented here, the Galactic disk PN M 1-40 is most closely matched by the 6.0 M_{\odot} model with Z=0.02, given its solar Ne and S abundances and N/O = 1.13 (Górny et al. 2004). This model predicts a very small enrichment of Zn, $[Zn/Fe] \sim 0.038$, which is consistent with the measured value (see Section 2), even allowing for a possible small depletion effect. The case of LMC SMP 62 is more problematical. According to its α -element abundances, the most appropriate models are those for Z = 0.008. At this metallicity, all three of the models in Table 2, calculated for 2.5, 5.0, and 6.0 M_{\odot} respectively, predict similar Zn enhancements of $\sim 0.15-0.2$ dex. However, as described in Section 2, the measured Zn abundance in this PN is lower, rather than higher, than those of the α -elements (e.g., Ne, S), in contradiction to the models. The disagreement is even worse for Se, which is predicted to be enhanced by 0.4–0.6 dex, depending on the initial mass. A modest enrichment in both Zn and Se is possible, if their initial abundances were low enough relative to Fe, but, nevertheless, it remains difficult to satisfy the observational constraints for LMC SMP 62 with the listed models. We note, however, that these particular models also predict N/O values either lower (the $2.5 M_{\odot}$ model) or higher (the 5 and $6 M_{\odot}$ models) than the observed value for this PN; in Section 5, we discuss other possible scenarios for explaining the observed properties of LMC SMP 62.

4.3. Neon Through Argon

Abundances of S, Cl, and Ar in PNe are powerful tools for studying the chemical evolution of galaxies and stellar systems, under the assumptions that these elements are unaltered by AGB evolution (Dopita et al. 1997; Stasińska et al. 1998; Leisy & Dennefeld 2006; Idiart et al. 2007) and are produced in the same relative proportions in massive stars (Stasińska et al. 1998). The case of Ne is not as clear, although the remarkably constant Ne/O ratio of ~ 0.20 (by mass) observed in PNe with a wide

Table 3
Abundance Results for O, Ne, P, S, Cl, and Ar

Mass	Z	$\epsilon(O)^a$	ε(Ne)	Ne/O ^b	€(P)	€(S)	€(Cl)	$\epsilon(Ar)$	δ^{38} Ar/ 36 Ar ^c
Initial	0.02	8.935	8.103	0.181	5.591	7.277	5.143	6.570	0.000
3.0	No PMZ	8.940	8.279	0.273	5.595	7.299	5.192	6.592	7.735
4.0	No PMZ	8.933	8.204	0.234	5.613	7.294	5.190	6.586	14.55
5.0	No PMZ	8.931	8.191	0.227	5.617	7.310	5.208	6.603	17.76
6.0	No PMZ	8.908	8.168	0.227	5.696	7.328	5.237	6.621	26.29
6.5	No PMZ	8.905	8.166	0.228	5.635	7.330	5.212	6.623	25.18
Initial	0.012	8.659	7.838	0.189	5.358	7.139	5.498	6.178	0.000
3.0	No PMZ	8.656	8.086	0.336	5.393	7.165	5.530	6.205	17.00
	0.002	8.666	8.666	0.486	5.522	7.165	5.534	6.204	41.34
6.5	No PMZ	8.563	7.868	0.277	5.410	7.188	5.548	6.227	61.39
Initial	0.008	8.514	7.675	0.181	5.155	6.849	4.715	6.142	0.000
2.5	No PMZ	8.521	7.846	0.431	5.178	6.870	4.754	6.162	16.37
	0.002	8.528	7.985	0.653	5.251	6.867	4.762	6.160	32.81
5.0	No PMZ	8.419	7.817	0.313	5.454	6.899	4.886	6.192	92.67
6.0	No PMZ	8.317	7.781	0.364	5.471	6.908	4.861	6.201	63.44
Initial	0.004	8.206	7.367	0.181	4.847	6.542	4.407	5.835	0.000
5.0	No PMZ	8.052	7.665	0.512	5.444	6.609	4.633	5.900	136.7

Notes.

variety of chemical compositions indicates that, in most cases, this ratio is not altered during the AGB. ²⁰Ne, the most abundant isotope of Ne, is not altered significantly by H or Heburning in AGB stars, whereas ²²Ne can be produced by successive α -captures onto ¹⁴N. Therefore, an enhancement in the Ne/O ratio is expected only when ²²Ne is sufficiently enriched that its abundance is comparable to or exceeds that of ²⁰Ne. Karakas & Lattanzio (2003b) predicted that such an increase would only occur in a narrow range of progenitor masses, ~ 2.5 to $\sim 3.5\,M_\odot$ depending on metallicity. Our current models are generally consistent with these earlier results, although from Table 3, it is clear that the $6.5 M_{\odot}$, Z = 0.012 model and the 5 and $6 M_{\odot}$, Z = 0.008 and 0.004 models have enhanced Ne/O ratios as a result of some O destruction by HBB and mild enrichments of Ne via dredge-up (maximum Ne increase of \sim 0.3 dex for the 5, 6 M_{\odot} metal-poor models). Therefore, Type I PNe are not expected to be significantly enriched in Ne except at low metallicities.

²²Ne is produced in the TPs themselves, but in lower mass models (2.5 and 3 M_{\odot}), the partial mixing of protons can further enhance the Ne/O ratio. This is because the partially mixed zone results in a pocket of ¹³C and ¹⁴N, thus increasing the ¹⁴N abundance in the intershell to values higher than that from CN-cycling during the interpulse. The next TP quickly converts ¹⁴N into ²²Ne. The resulting ²²Ne abundances after the TP are slightly higher than in the case with no partially mixed zone. After many TPs and TDU, the PMZ results in an increase in the elemental Ne abundance. The observations by Bernard-Salas et al. (2008) are intriguing in this respect. They determined Ne/S ratios in a sample of 25 PNe in the Magellanic Clouds, and found that four of the objects show high Ne/S ratios. For two of the objects, they attribute the high ratios to low S abundances, but in the other two PNe, the high Ne/S values could be due to Ne enrichments.

Table 3 shows the surface abundance predictions for S, Cl, and Ar. These elements are generally not altered by AGB nucleosynthesis processes by more than the observational errors ($\sim \pm 0.1-0.15$ dex), consistent with the observations of PNe

by Stasińska et al. (1998). Neutron captures in the He-shell can result in variations in the isotopic ratios involving the stable Cl and Ar isotopes; this is demonstrated in the last column of Table 3, where we show the permil variations for 38 Ar/ 36 Ar. Values of $\delta(^{38}\text{Ar}/^{36}\text{Ar})$ measured in stellar SiC grains range from zero (solar composition) to positive values up to ~ 200 (Lewis et al. 1994), in qualitative agreement with our model predictions. Kahane et al. (2000) compared AGB model predictions to measurements of Cl, Mg, Si, and S isotopic ratios in the cool carbon star IRC+10216, and inferred an initial mass of $\lesssim 2 M_{\odot}$ based on the accuracy of the Cl and Mg data. Kahane et al. concluded that a larger mass progenitor would lead to too high Cl and Mg isotopic ratios at the surface, although our models suggest that progenitor masses as high as $\sim 3 M_{\odot}$ produce a good fit to the observed data, within the errors quoted for the isotopic ratios. In the case of our low-metallicity massive AGB models, neutron captures result in modest increases (\sim 0.25 dex) in the elemental Cl surface abundance.

The phosphorous abundance can be determined in hot post-AGB objects such as the PG 1159 stars, whose envelopes are composed of He-intershell material. Observations indicate that PG 1159 stars have solar P abundances, whereas $3\,M_\odot$ models predict P abundances ranging from four times solar to $\sim\!25$ times solar in the He-intershell, depending on the amount of extra mixing included in the calculations to produce the 13 C pocket (Werner & Herwig 2006). Our He-shell predictions are consistent with Herwig's model, in that we estimate P enhancements from 1.3 to \sim 9 times solar for the $3\,M_\odot$ model, without and with a PMZ, respectively. The large P abundances in the He-intershell abundances do not result in large surface enrichments (\lesssim 0.1 dex in all solar metallicity models), so that if measurements could be made of the P abundances in PNe, we would not expect to see variations from solar.

Sulfur is also observed in PG 1159 stars, with abundances varying from 0.01 times solar to solar (Werner & Herwig 2006). It is not clear if this range reflects the initial abundance of the star, various degrees of nuclear processing, condensation of S into dust, or problems in determining accurate S abundances.

 $^{^{}a}$ $\epsilon(Y) = \log 10(Y/H) + 12$, where abundances are measured by number, and H is the abundance of hydrogen.

^b The Ne/O ratio is calculated by mass, to allow comparison to the observations.

^c The δ notation was previously defined in Table 2.

Note that S abundances in several Galactic (Henry et al. 2004) and some Magellanic Cloud PNe (Bernard-Salas et al. 2008) are low relative to O. While IR observations of S^{3+} in Galactic PNe seem to indicate that uncertainties in corrections for unobserved ionization stages are not the reason for the low S abundances, it is possible that S may be depleted into dust in some PNe (especially C-rich objects; Pottasch & Bernard-Salas 2006). AGB models do not predict large depletions of S in the Heshell, with S abundances varying between 0.6 and 0.9 times solar (Werner & Herwig 2006). Our values lie from no change to \sim 0.9 times solar. The results for P and S are puzzling, indicating, on the one hand, that a smaller PMZ is required (to produce less P) or, on the other hand, that more mixing is required (to destroy more S).

4.4. Oxygen in Intermediate-Mass AGB Stars

Oxygen constitutes a large fraction of the total metal content of a star, and in this sense is a more fundamental measure of metallicity than Fe/H, which has been historically used as the primary indicator of metallicity due to its ease of measurement. While it can be challenging to determine O abundances in stars (e.g., Ramírez et al. 2007), O/H is easily measured in ionized nebulae because of its bright emission lines, and the resulting abundances are considered to be among the most accurate for any element. According to the current paradigm for galactic chemical evolution, O production is dominated by massive stars $(M \ge 10 \, M_{\odot})$. Nucleosynthesis models of AGB stars indicate that, collectively, these stars do not significantly contribute to the production of O (Forestini & Charbonnel 1997; Karakas & Lattanzio 2007), although there is evidence for dredge-up of self-produced oxygen in a few special cases, including PNe from metal-poor progenitor stars (e.g., Péquignot et al. 2000; Dinerstein et al. 2003). The inclusion of diffusive convective overshoot at the inner edge of the flash-driven convective zone during a TP can lead to O abundances in the intershell of $\sim 20\%$ (Herwig 2000), in contrast to standard models that predict 2% by mass or less (Boothroyd & Sackmann 1988; Karakas et al. 2002). This can lead to increases in the surface composition of O, particularly at low metallicities. Evidence for this overshoot comes from PG 1159 stars that show compositions consistent with Herwig's models (Werner & Herwig 2006). It is unclear, however, if this overshooting occurs for all AGB stars or only for AGB stars that produce PG 1159 stars as a consequence of late and very late TPs. For example, the observed abundance analyses in intrinsic and extrinsic AGB stars, as well as in SiC grains, suggest that such an overshoot is uncommon (Lugaro et al. 2003b). This is because in the region of the He-rich intershell where primary O is synthesized, the temperature is large enough for efficient activation of the 22 Ne $(\alpha, n)^{25}$ Mg neutron source, even in low-mass AGB stars. In this case, the resulting neutron density is very large (up to $\sim 10^{12}$ neutrons cm⁻³) in contrast to observations of low-mass AGB stars that indicate neutron densities of about $\sim 10^7$ neutrons cm⁻³ (Abia et al. 2001).

Intermediate-mass AGB stars destroy O via HBB when the temperature at the base of the convective envelope is sufficiently high ($T \gtrsim 80 \times 10^6$ K). The O–Na anticorrelation, observed in globular clusters stars, has in fact been associated with HBB in intermediate-mass AGB stars, because HBB can qualitatively account for the destruction of O along with the production of Na. Moreover, SD08 noted that the Ar/O, S/O, and Cl/O ratios of Type I PNe are approximately a factor of 2 larger on average than in non-Type I objects. Since Ar, S, and Cl

are not significantly affected by nucleosynthesis in low- or intermediate-mass stars (see Section 4.3), they interpreted this as evidence for O destruction during HBB in Type I PN progenitors. This result had also been found in previous investigations (Marigo et al. 2003; Leisy & Dennefeld 2006; Pottasch & Bernard-Salas 2006).

The amount of O destroyed by HBB depends on the temperature at the base of the convective envelope. The temperature, in turn, depends on a number of factors including the initial mass and metallicity, with hotter temperatures found in models of increasing mass for a given Z or in models of decreasing metallicity at a given mass. In addition, the predicted efficiency of HBB is sensitive to the treatment of convection and mass loss in the models, neither of which are empirically well constrained. In particular, the convective efficiency affects the luminosity and the mass-loss rate (Ventura & D'Antona 2005), and hence these properties are sensitive to the convective model that is utilized. Mass loss determines the length of the HBB phase, since HBB does not operate once the envelope mass drops below about $1.5 \ M_{\odot}$.

In Table 3, we present the O abundances at the surface of the model star at the tip of the AGB. The O abundance remains unaltered in the lower mass models (3 and $4 M_{\odot}$, Z = 0.02), whereas O destruction takes place in models with HBB. Out of the Z = 0.02 models, the largest amount of O destruction is seen at $6.5 M_{\odot}$, which has a peak temperature at the base of the envelope of 86×10^6 K. The effect of metallicity is seen most clearly by comparing the $6.5 M_{\odot}$ models with Z = 0.02and Z = 0.012, with the latter model computed assuming the Asplund et al. (2005) C, N, O initial abundances. In the Z = 0.012 model the peak temperature is higher, resulting in 0.15 dex destruction of O compared to only 0.09 dex in the Z = 0.02 model. Note that the O that is destroyed is all converted into N. The largest O destruction of 0.26 dex occurs in the $6 M_{\odot}$, Z = 0.008 model. Overall, none of the models suffered O destruction of more than 0.3 dex.

It is not clear that the low O abundances relative to S, Ar, and Cl in Type I PNe can be attributed to HBB. While modeling uncertainties in the treatment of convection and mass loss could, in principle, allow for more efficient O destruction during HBB than we report, this inevitably leads to large increases in the N/Oratio. This result agrees with the findings of Marigo et al. (2003), whose synthetic models with efficient ON-cycling produced N/O ratios considerably higher than those observed in Type I PNe. These authors suggested that the low O abundances may be explained if the initial metallicities of Type I PN progenitors are low. However, this does not explain the approximately solar abundances of S and Ar in these objects. Moreover, our models constrain the metallicities of Type I PN progenitors to be $Z \gtrsim 0.4~Z_{\odot}$ in order to reproduce the observed Se and Kr abundances. This important issue remains unresolved.

5. DISCUSSION

In Figure 5, we illustrate the *s*-process abundance predictions from a selection of the stellar models. The gray-hatched box represents the approximate region occupied by Type I PNe *s*-process abundances. Typical observational uncertainties allow the maximum enrichment to reach ≈ 0.6 dex in some cases. The intermediate-mass AGB models are, in general, a good match to the observed abundances of Se and Kr in Type I PNe. Models in Table 2, which show enhancements of Se and Kr greater than 0.5 dex, are the $2.5 \, M_{\odot}$ model, the $3 \, M_{\odot}$ models, the $6 \, M_{\odot}$,

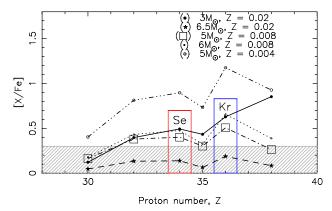


Figure 5. Surface abundances of Zn, Ge, Se, Br, Kr, and Sr at the tip of the AGB phase for a selection of the stellar models. Abundances are shown as [X/Fe] ratios, and are plotted as a function of the proton number, Z. The 3 M_{\odot} , Z=0.02 model includes a PMZ of $0.002\,M_{\odot}$ whereas all other models are without ¹³C pockets. The gray-hatched box with a maximum at [X/Fe] = 0.3 represents the region of observed Type I PN Se and Kr abundances. Note that observational uncertainties allow for [Se, Kr/Fe] up to 0.6. Boxes around the elements Se and Kr indicate that for the present study, we can only use these elements for comparison as large PN abundance datasets of the other trans-iron elements are not yet available.

(A color version of this figure is available in the online journal.)

Z = 0.008 model, and the low-metallicity $5 M_{\odot}$, Z = 0.004model, which gives large enhancements for all elements between Zn and Sr. The results for $3 M_{\odot}$ with a PMZ are only in borderline agreement with the observations, although the results with no PMZ are consistent with no s-process enrichments. In either case, the final composition is carbon-rich, indicating that this model is unlikely to be the precursor of a Type I PN. Indeed, the results for the $3 M_{\odot}$ models are closer to that found for the $2.5 M_{\odot}$, Z = 0.008 model which has a distinct, non-Type I PNe composition, with a high final C/O ratio, and considerable overabundances of all s-process elements (> 0.5 dex for Se to Sr). The results for the $5 M_{\odot}$, Z = 0.004 model suggest that Type I PNe in the Galaxy do not descend from low-metallicity stars, as suggested, for example, by Marigo et al. (2003), and that a minimum metallicity of $\sim 0.4 Z_{\odot}$ is required for these PNe. This result is not unexpected, given that Type I PNe evolve from more massive stars than other PNe, and hence trace a younger population. However, these model results suggest that Type I PNe in the Magellanic Clouds could be more highly enriched in light *n*-capture elements such as Se and Kr than their Galactic counterparts. This is a strong motivation for further observations of s-process elements in PNe belonging to the Magellanic Clouds or to other metal-poor populations.

Observations of other trans-iron elements in PNe in addition to Se and Kr could be used to constrain the mass and metallicity ranges of Type I PNe. For example, Sr and Rb abundances are potentially useful discriminants of progenitor mass. Sr is considerably enriched in the $3\,M_\odot$ models ([Sr/Fe] $\sim 0.85-1.23$), but is only slightly if at all enhanced in the 6 and 6.5 M_\odot models ([Sr/Fe] < 0.4 dex). Note that the inclusion of a PMZ in the $6.5\,M_\odot$, Z=0.012 model increases the level of Sr enrichment expected, from 0.05 to 0.3 dex.

Of these next two elements heavier than Kr (element number 36), Rb (element 37) is more likely to be measurable in a meaningful way in nebulae than Sr (element 38), for the following reasons. First, Sr is refractory and can be strongly depleted into dust grains. In fact, based on its condensation temperature (Lodders 2003), Sr is

expected to be incorporated into dust to a greater degree than Fe, whose gaseous abundance is depleted by 1–2 orders of magnitude in PNe (Perinotto et al. 1999; Sterling et al. 2005) and the ISM (Welty et al. 1999). Therefore, measurements of the gaseous Sr abundance in PNe are not likely to reveal useful information about s-process enrichments. Second, the only emission lines of Sr in the optical spectral region originate from relatively highly ionized states that are not expected to be abundant, except in a few of the highest-excitation PNe. Sr emission has been identified in only one PN to date (Pequignot & Baluteau 1994; Zhang & Liu 2005). On the other hand, based on its condensation temperature (Lodders 2003), Rb does not deplete into dust as readily as Sr, and thus its gaseous abundance should be more representative of the overall elemental abundance. Rb emission has been identified in a number of PNe (Pequignot & Baluteau 1994; Zhang & Liu 2005; Sharpee et al. 2007) and should be detectable in PNe with a broader range of ionization than Sr. This discussion suggests that Rb is a useful element with which to constrain stellar models to PN observations.

Furthermore, Rb is observed to be substantially enhanced in massive Galactic OH/IR stars with the maximum enhancement $[Rb/Fe] \sim 2 \pm 1 \text{ dex (García-Hernández et al. 2006)}$. van Raai et al. (2008) have made a preliminary comparison between Zr, Rb, and Li predictions from 5 to $6.5 M_{\odot}$ solar composition models to the observations of the OH/IR stars. The best match between stellar models and the observations was obtained with the 6.5 M_{\odot} model computed by (1) not including a ¹³C pocket and (2) by accounting for extra TPs not modeled in detail, as done by Karakas et al. (2007). The extra TPs and TDU mixing episodes may occur because not all the envelope mass was lost when convergence difficulties ended the computation. The main uncertainty is the behavior of the TDU efficiency at small envelope masses, with studies generally finding a decrease in the efficiency with decreasing envelope mass (Straniero et al. 1997; Karakas et al. 2002). Further studies on the evolution of the TDU efficiency with decreasing envelope mass are needed to help settle this issue. The tabulated results presented in this study were calculated without the inclusion of these extra TPs and can be considered as lower limits to the final PN abundances, noting that it is highly uncertain how much of an impact the final TPs would have on the final PN abundances.

The final few TPs can potentially have a significant effect on the final abundance of the star and its resulting PN. For example, Frost et al. (1998) noted that intermediate-mass AGB stars may become luminous, optically obscured carbon stars near the end of the TP-AGB, when mass loss has removed much of the envelope, extinguishing HBB but allowing dredgeup to continue. Under these conditions, the envelope mass is significantly reduced, resulting in only minimal dilution of the intershell material that is dredged up. This can allow for large enhancements to the surface composition of C and other Heburning products. In the models with HBB included in this study, all experienced a few TPs and TDU episodes after the cessation of HBB, with some of the lower metallicity models becoming carbon rich (see Table 1, i.e., $6 M_{\odot}$, Z = 0.008; 5 and 6 M_{\odot} , Z = 0.004) before convergence difficulties ended the computation. Note that it is after this stage that we consider the effect of remaining TPs not modeled in detail using a synthetic AGB algorithm.

In van Raai et al. (2008), the inclusion of these remaining TPs only had a large impact on the surface abundances of the $6.5 M_{\odot}$, Z = 0.02 model (out of the 5, 6, and $6.5 M_{\odot}$, Z = 0.02 models), and only when assuming that efficient TDU occurs at a

very small envelope mass. ¹² A final [Rb/Fe] ≈ 0.9 was obtained in the 6.5 M_{\odot} , Z = 0.02 model, in agreement with the observed Rb abundances of OH/IR stars given the large uncertainties. Along with increases in Rb, the [Se, Kr/Fe] abundances were increased to 0.6 and 0.7 dex (respectively), above the observed Type I PN limit of 0.3 dex. This model was estimated to have seven remaining TPs, with the last TP occurring with a very small envelope mass of $\sim 0.03 M_{\odot}$. The small envelope mass during the last TDU leads to the situation discussed above: a substantial increase in the surface abundances, caused by the mass of the envelope being only ~ 10 times greater than the mass of the intershell. It is questionable whether such an efficient TDU would occur for such a low envelope mass; so if we exclude this last mixing episode, the [Se, Kr/Fe] abundances are ≈ 0.4 and 0.45, respectively, in agreement with observed Type I PN abundances. This analysis may indicate that the OH/IR stars are not the progenitors of most Type I PNe and that there are possible observational biases in the sampling of each population. That is, the OH/IR population may sample the most massive AGB stars $(6-7 M_{\odot})$, with the largest [Rb/Fe] (and [Kr/Fe]) abundances, while the Type I PN population may come from slightly less massive AGB stars, with lower Kr and Rb abundances. There is also the problem of explaining the large Rb abundances in the OH/IR stars, given that they seem to require the TDU to efficiently operate at small envelope masses. More observations of Rb in OH/IR stars and PNe will help to settle these issues.

Modeling uncertainties can dramatically affect AGB nucleosynthesis predictions. As previously noted, different convective models have a large impact on the nucleosynthesis of light elements (e.g., N, O, Na) in AGB models with HBB. Improvements in our understanding of convection are desperately needed, but this may only come about through multidimensional studies (e.g., Dearborn et al. 2006; Herwig et al. 2006; Meakin & Arnett 2007). The mass-loss law used in the computations is similarly important, because this can determine (for a fixed convective model) the number of TPs and mixing episodes. For example, if we artificially cut the number of TPs by half for the $5 M_{\odot}$, Z = 0.004 model (40 TPs instead of the computed 80), the final [Kr/Fe] ratio is ~ 0.35 dex instead of 1.17 dex. This simple analysis indicates that we need better constraints on the mass loss from low-metallicity, massive AGB stars if we are to provide constraints on the metallicity range of Type I PNe.

Another important uncertainty relates to the unknown formation mechanism for ¹³C pockets in AGB stars (Gallino et al. 1998; Herwig 2005). These pockets are necessary to provide neutrons in low-mass stars. It is unclear, however, what role the ¹³C neutron source plays in more massive AGB stars. We had hoped to ascertain the dominant neutron source from comparisons of Type I PN abundances to massive AGB stellar models, but the Se and Kr abundances alone are not sufficient. Selenium abundances were not strongly affected by the inclusion of a ¹³C pocket in the 5 and 6.5 M_{\odot} models, whereas the abundance of Kr was affected but not increased above 0.5 dex. Note that in three Type I PNe of SD08 where both Se and Kr were detected, an abundance of [Kr/Se] = 0.5 was found, providing some support for the inclusion of a ¹³C pocket in massive AGB models; this conclusion is, however, limited by small number statistics. We predict that PNe should have larger enrichments of Kr than Se. While this result is valid regardless of whether or not a ¹³C pocket is included, larger [Kr/Se] values are predicted in models with a PMZ (see Table 2). Firmer conclusions regarding the neutron source operating in intermediate-mass AGB stars may come from large data sets of many *n*-capture elements, including Br, Rb, and Xe.

Finally, models with extra mixing may be necessary to reproduce the observed abundances of some PNe. For example, the composition determined for LMC SMP 62 (see Sections 2 and 4.2) suggests that it is a Type I PN, but its low Se and Zn abundances are at odds with our predicted abundances for intermediate-mass models. A $3 M_{\odot}$, Z = 0.02 model with rotation by M. Cantiello & N. Langer (2008, private communication) had an N/O ratio of 0.43 after the first dredgeup, compared to 0.32 from our model with no rotation, whereas the N/O value of LMC SMP 62 is ~ 0.4 –0.5. During core H-burning, rotation mixes processed material to layers further out than they would otherwise be found. Rapid rotation may also inhibit the production of s-process elements during the AGB phase (Herwig et al. 2003), consistent with the lack of Zn and Se enrichments found in this object. An alternative is that LMC SMP 62 evolved from a low-mass progenitor of less than $2 M_{\odot}$, which did not experience efficient TDU. Note that the low C/O ratio of 0.17 found for this object (Aller et al. 1987) is also consistent with a star that did not experience any TDU mixing (suggesting an initial mass of $\lesssim 1.25 M_{\odot}$). It is unclear if rotation can explain the high N/O ratio in such a low-mass star. Other extra-mixing processes (e.g., thermohaline mixing) may need to be invoked in this case.

6. CONCLUSIONS

Type I PNe have high He/H and N/O ratios, indicating that they are the descendants of intermediate-mass AGB stars with initial masses between ~ 3 and $8 M_{\odot}$. Sterling & Dinerstein (2008) found that Type I PNe exhibited significantly smaller enrichments of Se and Kr ($\lesssim 0.3$ dex) on average than other PNe. We calculated s-process enrichments in a set of AGB models covering a range in mass from 2.5 to 6.5 M_{\odot} and metallicity from $0.2~Z_{\odot}$ to Z_{\odot} . The $2.5~M_{\odot}$, Z=0.008 model was included to show an example of a model that would produce a clear non-Type I PN abundance signature, with [Se, Kr/Fe] > 0.5 and C/O \sim 4. The main conclusion is that the results for 3–6.5 M_{\odot} are a good match to the observed abundances. The only real exception out of the HBB models is the low-metallicity $5 M_{\odot}$, Z = 0.004 model that produced much larger enhancements in Se and Kr than observed. This suggests that Galactic Type I PNe do not descend from such low-metallicity objects.

We also compare calculated abundances for selected intermediate-mass (Ne, P, S, Ar) and iron-peak (Fe, Zn) elements to observations of post-AGB stars and PNe. We find that the elemental abundances of P, S, Cl, Ar, Fe, and Zn are essentially unchanged by AGB nucleosynthesis, although there are isotopic shifts caused by neutron captures in the He-shell. These results justify use of elements such as S, Cl, and Ar in PNe as tracers of Galactic chemical evolution.

It is difficult to reach firm conclusions about the neutron source operating in massive AGB stars from Se and Kr abundances in Type I PNe. Certainly, it seems that at the least, the ²²Ne source, with efficient TDU, is required to produce enhancements in *s*-process elements in massive Type I PN progenitors. It is less clear whether a ¹³C pocket is also required in these stars. Increases in the [Kr/Fe] abundance ratio were observed in the models when a ¹³C pocket was included, but not beyond the amounts observed in Type I PN spectra.

Besides the inclusion of the extra TP, the models presented here and in van Raai et al. (2008) are the same.

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Dinerstein, H. L. 2001, ApJ, 550, L223

Obtaining abundances for more *n*-capture elements, particularly Rb and elements beyond the first *s*-process peak, from a large data set of OH/IR stars and PNe will help distinguish among the possibilities.

Finally, only the models with HBB ($M \gtrsim 5\,M_\odot$, depending on Z) show the high He/H and N/O ratios that define the Type I PN class. Given that $3\,M_\odot$ stars are more common than $6.5\,M_\odot$ stars (according to initial mass function (IMF) and evolutionary time considerations), it may be necessary that another mixing process other than HBB is active in stars of the lower-mass range ($3-4\,M_\odot$), if these stars do in fact evolve into Type I PNe. Rapid stellar rotation in single $\sim 3\,M_\odot$ stars may, for example, be able to account for the increased He and N abundances in the progenitor stars. The problems of convection and mixing and of other modeling uncertainties (e.g., n-capture cross sections) requires further study in the context of s-process nucleosynthesis in intermediate-mass AGB stars.

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